

# AD-A255 563



### FIRST ANNUAL REPORT

# SYNTHESIS AND EVALUATION OF POLYMERIC MATERIALS

GC-TR-92-2303

Prepared for Naval Research Laboratory 4555 Overlook Avenue, SW Washington, D.C. 20375-5000

> As Required By Contract Number N00014-90-C-2269

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July 1992





#### REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

Public reporting burden 1 - this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources

gathering and maintaining the data needed, and composition of information, including suggestions for re Davis Highway, Suite 1204, Arlington, via 22202-4302	cucing this burgen. In washington Heads	Using Spervices Intectorate i	garding this burden estimate or any other aspect of this for Information Operations and Reports, 1215 Jefferson oject (0704-0188), Washington, DC 20503	
1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE 3. REPORT TYPE AT		ND DATES COVERED	
	30 June 1992	Interim, 30	Sept 90 - 30 April 92	
4. TITLE AND SUBTITLE		·••···································	5. FUNDING NUMBERS	
Synthesis and Evalua First Annual Report 6. AUTHOR(S)	tion of Polymeric	c Materials	C N00014-90-C-2269	
E. Greenawald, J. N. R. Newbegin, H. Sch.	rader, K. Groot,			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) GEO-CENTERS, INC.			8. PERFORMING ORGANIZATION REPORT NUMBER	
7 Wells Avenue Newton Centre, MA	02159	!	GC-TR-92-2303	
9. SPONSORING/MONITORING AGENCY Naval Research Labor Code 6120 4555 Overlook Avenue Washington, D.C. 20	10. SPONSORING/MONITORING AGENCY REPORT NUMBER			
11. SUPPLEMENTARY NOTES				
12a. DISTRIBUTION/AVAILABILITY STATEMENT			12b. DISTRIBUTION CODE	
Approved for public Distribution unlimit				
13. ABSTRACT (Maximum 200 words)				
GEO-CENTERS, INC. ha				

Naval Research Laboratory including novel fluoropolymers, conductive polymers, epoxies, and modified nylons. Major efforts were conducted in radiographic analysis development and use in evaluation of Sonar Rubber Domes (SRD) and Sonar Dome Rubber Windows (SDRW). This report is a summary of accomplishments for Year One of the contract period of performance.

14. SUBJECT TERMS			15. NUMBER OF PAGES
Polymer, Sonar SRD, nondestruc conductive poly	257 16. PRICE CODE		
17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS PAGE	19. SECURITY CLASSIFICATION OF ABSTRACT	20. LIMITATION OF ABSTRACT
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# TABLE OF CONTENTS

TION	
L REP	ORT
EVA	LUATION OF SONAR DOME DAMAGE
1.1	Background
1.2	Radiographic Inspection
	1.2.1 Inspection results
1.3	Improved Nondestructive Evaluation Methods 3
	1.3.1 X-ray Backscatter Tomography
	1.3.2 Image Processing
	1.3.3 Direct Stiffness Measurement
1.4	Database Management
1.5	Failure Analysis
1.6	Improved SDRWs 5
1.7	Cord Load Measurement of the Monolithic SDRW 5
1.8	SRD Corrective Action Program
1.9	SDRW Technical Package for NWSC
POL	YMER SYNTHESIS AND EVALUATION
2.1	Background 6
2.2	Conductive Polymers
2.3	Phthalonitrile Resins
2.4	Elastomers
2.5	Fluorinated Polymers
2.6	L-Proline Modified Nylons
2.7	Characterization of Nylon Webbings
	L REPO EVAL 1.1 1.2 1.3 1.4 1.5 1.6 1.7 1.8 1.9 POLY 2.1 2.2 2.3 2.4 2.5 2.6



10

	3.1	Wind Tunnel Sample Collection System		
APPENDICES				
Appendix A		Sonar dome rubber window (SDRW) and sonar rubber dome (SRD) radiographic inspection reports (September-December 1990)		
Appendix B		Advancements in x-ray backscatter tomography		
Appendix C		Computer simulation of steel cord radiograph profiles		
Appendix D		Application of neural networks to radiograph profile analysis		
Appendix E		Sonar rubber dome structural damage characterization		
Appendix F		An R:BASE database application for an optical disk document storage and retrieval (ODDSAR) system		
Appendix G		On-site inspection of the bead neck regions of the AN/SQQ 23 sonar rubber domes (SRDS) installed on the USS Dahlgren, DDG-43, Norfolk, VA, 21 December 1990		
Appendix H		Radiographic inspection and subsequent evaluation of the damaged bead neck region on the A063 keel dome, formerly installed on the USS Simpson		
Appendix I		Proposed changes to sonar dome rubber window (SDRW) inspection intervals		
Appendix J		Mechanical, physical, and thermal properties of an electrically conductive heat resistant polymer		
Appendix K		Tensile and fracture properties of a phthalonitrile polymer		

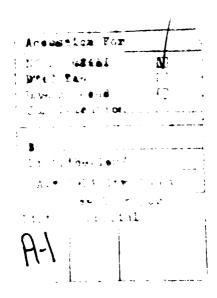
OTHER TECHNICAL SUPPORT .....

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Appendix L	Observation of spinoidal decomposition and polymer diffusion via <sup>129</sup> XeNMR
Appendix M	A novel route to two heavily fluorinated epoxy resins from a fluorodiimidediol
Appendix N	Processable heavily fluorinated acrylic resins with low dielectric constants
Appendix O	Processable heavily fluorinated acrylic resins with low dielectric constants
Appendix P	L-Proline modified nylons
Appendix Q	L-Proline-modified nylons. 1. The alternating copolyamide of L-Proline and 11-Aminoundecanoic acid
Appendix R	Nylon 6 vs Nylon 66 shock loading

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#### INTRODUCTION

This report is a summary of GEO-CENTERS' research efforts for the Naval Research Laboratory (NRL) under contract number N00014-90-C-2269, entitled "Synthesis and Evaluation of Polymeric Materials". The period of performance was from September 30, 1990, through April 30, 1992, the first contract year. The work was carried out at NRL using Chemistry and Materials Science Division facilities and in collaboration with NRL staff scientists. The work has resulted in several publications in scientific journals, presentations at various scientific meetings, and patent disclosures for products and processes developed under the contract. Copies of the publications are appended to this report. The various research projects in which GEO-CENTERS has been involved under this contract are divisible into two main groups, as follows:

- Evaluation of sonar dome damage
- Polymer synthesis and evaluation

The sonar dome work involved fleet support functions as well as research. We provided interpretation and analysis of radiographic inspection data in support of a routine inspection program. We also conducted failure analyses of individual domes. Research projects have focused upon improved materials and nondestructive evaluation methods. In addition, we provided the engineering needed to support our research and the database management support for the sonar dome effort.

In the area of synthesis and evaluation, we conducted research on the synthesis of novel polymers, such as fluoropolymers, epoxies, conductive polymers, and modified nylons. We have also characterized these and a variety of other materials with respect to their mechanical, rheological, chemical, and electrical properties. Detailed discussions of these areas, and the many sub-tasks within them are provided in the following paragraphs and in the appendices.



#### **TECHNICAL REPORT**

#### 1.0 EVALUATION OF SONAR DOME DAMAGE

#### 1.1 Background

Sonar Dome Rubber Windows (SDRW) and Sonar Rubber Domes (SRD) are installed on Navy surface combatants to provide a window for the transmission of sonar signals. These structures provide a hydrodynamic fairing surrounding the sonar transducer arrays for the purpose of eliminating turbulence, and the resulting system self-noise, and hydrodynamic drag. They also protect the sonar system from the action of the sea and collisions with debris. Sonar domes are fabricated of a steel cord reinforced rubber material composite. The SDRW is a large, bow-mounted structure fitted to ten classes of cruisers, destroyers, and frigates. SRDs are of two types, associated with the AN/SQS-56 and AN/SQQ-23 sonar systems. The former is a 273-inch-long keel-mounted dome installed on *Perry* class frigates. The latter is a 400-inchlong keel dome installed on four classes of cruisers and frigates which are currently being phased out of service. The larger keel domes are installed in pairs on some ships. In the following discussion, we will refer to all of these structures as "sonar domes". We will use SDRW or SRD, when specifically applicable.

Sonar domes have a history of rupturing during service. NRL has determined that the SDRW failures are due to corrosion fatigue failure of the steel reinforcement cords in a splice area of the composite structure. SRD failure is less well understood, although corrosion fatigue and other mechanisms have been identified in SRD failure analyses. X-ray radiography is used to detect incipient corrosion fatigue damage. An inspection program has been developed with the goal of maintaining an up-to-date evaluation of the entire antisubmarine warfare fleet's sonar domes. Since replacement domes are subject to the same problems as the originals, the need to inspect, monitor, and repair or replace them has remained. Radiographic inspection is routinely used as a basis for determining these options. In addition, the accumulated radiographic data has contributed to the failure analysis effort by revealing patterns of damage distribution and correlations with other data. The inspection and analysis methods developed in response to the SDRW failure problem have since become applicable to similar problems with the smaller keel domes.

GEO-CENTERS' support of the sonar dome corrective action programs (CAP) has been in the areas of radiographic inspection, database management, failure analysis, materials development, and improved methods of nondestructive evaluation. The following paragraphs discussed these efforts in detail.



## 1.2 Radiographic Inspection

GEO-CENTERS' support role in the radiographic inspection program involves the interpretation of radiographs provided by the Navy's inspection contractors, and the development and maintenance of standards for the radiographic data and criteria for actions taken.

When sonar dome radiographs arrived for processing they were given the highest priority and read immediately. Any damage or other pertinent features were located, measured, and diagrammed either as a hand sketch or as a computer generated image from the database. The appropriate recommendation was determined according to our standardized criteria. The results were then communicated verbally to the NRL task manager and/or others designated by NRL (typically NAVSEA 06U1B). Then the data were entered into the appropriate database, and a report was written and distributed.

## 1.2.1 Inspection results

During the period of performance, GEO-CENTERS staff evaluated 127 radiographic inspections of sonar domes. For each inspection a report was created detailing our findings, recording other inspection data (date, location, etc.) and including illustrations of inspection coverage and damage locations, if applicable. Our recommendation for action is determined by a set of well defined and established criteria. The inspection reports were initially transmitted to NAVSEA via modem for immediate use in determining actions to be taken. We later provided accumulations of these reports as appendices to a summary memorandum to NRL. These memoranda were distributed to NAVSEA as NRL letter reports. Seven such letter reports have been generated during the period of performance. To reduce the volume of paper in this report, we will not provide all of the inspection data here. The summary pages of each report have been compiled as Appendix A.

## 1.3 Improved Nondestructive Evaluation (NDE) Methods

## 1.3.1 X-ray Backscatter Tomography

Steel cord elements of the steel/rubber composite sonar domes are known to fail by tensile, stress corrosion, and corrosion fatigue mechanisms. The radiographic inspection program has been instrumental in managing the problem for large bow-mounted sonar domes, which have accessible interiors. Inspections are conducted using either dry dock or underwater techniques. We are able to identify and monitor damaged domes with the goal of deferring replacement until a scheduled overhaul. Smaller keel domes, however, are accessible only in dry dock and sometimes must be removed from the ship's hull. A one-sided radiography



technique would make possible an inspection schedule similar to that used for the bow domes. We have investigated x-ray backscatter tomography as such a technique. A summary of our review of x-ray backscatter tomography which was presented at the March 1991 meeting of the American Society for Non-destructive Testing (ASNT) in Oakland, California, is included as Appendix B.

#### 1.3.2 Image Processing

Image processing techniques, and the application of neural networks to the processing of SDRW and SRD radiographic data promise to improve the non-destructive evaluation of these structures in the future. A paper on our effort in the computer simulation of steel cord profiles for development of neural network applications is included as Appendix C. Our work towards the application of neural networks to radiographic profile analysis for the detection of corrosion in steel cords was presented at a poster session of the Gordon Conference on Non Destructive Evaluation in Oxnard, California. See Appendix D.

#### 1.3.3 Direct Stiffness Measurement

As part of the task to investigate one-sided inspection techniques for SRDs, alternative methods were researched. One such method attempts to correlate the SRD's local stiffness with internal structural integrity. Preliminary tests done on a sonar dome with known damage locations revealed that the stiffness gradient of the SRD surface in damaged regions differed from measurements taken in areas without damage. The development of improved instrumentation for these measurements is underway. We plan to inspect additional damaged and undamaged domes to determine whether the observed features are indicative of structural damage. Our preliminary report is included as Appendix E.

#### 1.4 Database Management

Under a previous contract, GEO-CENTERS improved upon existing databases for SDRWs and SRDs and developed new databases for the following: SDRW bead seat survey data, sonar dome publications and reports, and engineering drawings.

Thus far under this contract, we have maintained and improved the existing databases for SDRWs and SRDs to meet the expanding needs of the sonar dome program. In addition, we have developed an R:BASE database application for NAVSEA's Optical Disk Document Storage and Retrieval (ODDSAR) System. A draft of the documentation is included as Appendix F.



#### 1.5 Failure Analysis

Failures of SRDs in the bead neck region have been documented in earlier work. The evaluation of two such failures during the current period of performance prompted a proposal for engineering changes to the mold-ring design, and the fabrication processes of SRDs were modified accordingly. Copies of our evaluations are included as Appendices G and H.

#### 1.6 Improved SDRWs

Radiographic inspection and the analysis of failed SDRWs have resulted in changes to SDRW design and construction methods. These changes were made in an attempt to effect short-term improvements to the structure and thus slow or prevent the process of corrosion fatigue failure. A sub-population of SDRWs known as "6-ply" windows have acquired sufficient numbers and time in service to be evaluated statistically. In collaboration with an NRL reliability statistician we have determined that these SDRWs merit being called "improved". This classification has enabled us to increase our recommended radiographic inspection intervals for new and undamaged 6-ply SDRWs from two to four years. A copy of the NRL Letter Report outlining the proposed changes to the inspection intervals is included as Appendix I.

#### 1.7 Cord Load Measurement of the Monolithic SDRW

Cord load data acquisition is a task that must be accomplished whenever a newly designed sonar dome is produced as a prototype. The first spliceless, or "monolithic", SDRW manufactured will have numerous load-cell type gauges installed during the fabrication process. GEO-CENTERS has provided logistical and technical support in preparation for this task. This involved gauge placement and calibration, cabling, and data processing. We anticipate further involvement in both the hydro test measurements and sea-trials.

#### 1.8 SRD Corrective Action Program

The primary cause of SDRW failure is understood to be corrosion fatigue of the steel cords in the composite dome wall. Corrosion fatigue has been implicated in SRD failure, as well. Although various new design changes have increased the projected service life of SDRWs, the same claim cannot be made for SRDs.

The SRD Corrective Action Program (CAP) includes nondestructive evaluation (NDE), finite element modeling (FEM) and analysis, and an investigation into the potential of a new keel dome design. GEO-CENTERS has provided support to all of these technical areas.



In addition to our research in new NDE methods discussed in section 1.3, we have provided technical support to the acoustic deflection measurement project, which is expected to yield data crucial to developing a dynamic finite element model for the 273" SRD. The system will measure distances relative to a fixed receiver and track the deflection of the SRD in numerous locations while the ship is underway at sea. Initially we collaborated on the design, construction, positioning, and installation of a sonar transducer array mock-up for the project. We also provided logistical and technical support in the measurement, placement, and installation of the numerous ultrasonic transducers to be used in this project. We developed a mapping system for the interior surface of the SRD, which will enable us to translate a given surface location to the standardized x-y-z coordinate system used by the manufacturer and other activities. A ship has been chosen by NAVSEA, and system installation and sea trial are to be scheduled.

## 1.9 SDRW Technical Package for NWSC

As part of our task to maintain SDRW/SRD engineering data, we have provided technical assistance to the Naval Weapons Support Center (NWSC) in Crane, Indiana. NWSC is in the process of documenting various manufacturing processes, procedures, and material requirements associated with U.S. Navy weapons and defense systems, including sonar domes. Our knowledge of sonar dome construction requirements and procedures has enabled us to review, evaluate, and make the necessary changes to the engineering documentation. When complete, the new documentation, which includes all manufacturing requirements and drawings, will be the basis for future sonar dome manufacturing contracts.

#### 2.0 POLYMER SYNTHESIS AND EVALUATION

#### 2.1 Background

The Materials Chemistry Branch is responsible for the development and characterization of new polymeric materials for Navy applications. GEO-CENTERS' support of the branch's effort has been broad based involving the synthesis and characterization of many varied materials. Characterization has involved the use of such diagnostic methods as differential scanning calorimetry (DSC), thermal analysis, scanning electron m croscopy (SEM), transmission electron microscopy (TEM), nuclear magnetic resonance (NMR), electron spin resonance (ESR) and infrared and ultraviolet spectroscopies. In addition we have used mechanical, rheologic, and dielectric tests where appropriate. The specific project areas are discussed in detail below.



### 2.2 Conductive Polymers

Our approach to developing electrically conducting organic materials that have high stability in aggressive environments is based on the synthesis of polymers with extended  $\pi$ -electron delocalization. The prepolymers are soluble and meltable, and completely conjugated with reactive end groups that are capable of being polymerized into conjugated/aromatic linking groups to form the polymer structure. Because of the extended conjugation, these materials are intrinsically conducting without doping. We have studied the mechanical properties and thermal behavior of such a conducting polymer, demonstrating that the high temperatures used to introduce conductivity have not resulted in charred materials lacking mechanical integrity. To the contrary, we have seen improved tensile strength, as well as high oxidative and thermal stability. Initially, our results on these materials were presented to the International SAMPE Technical Conference, September 1989. Further work during this contractual period has resulted in the acceptance of our paper (included here as Appendix J) for publication in the Journal of Applied Polymer Science.

#### 2.3 Phthalonitrile Resins

Organic polymers that are both thermally and oxidatively stable above 315°C are in demand as matrix materials for advanced composite applications, as adhesives for high temperature materials, and as weight-reducing replacement materials for metals. A new class of phthalonitrile-based polymers with excellent thermoxidative properties has been under investigation for application in these areas. The phthalonitrile monomers are readily converted to crosslinked thermosetting polymers in the presence of thermally stable aromatic diamines. The polymerization reaction occurs through the terminal phthalonitrile units which are interconnected by aromatic dioxy linkages, yielding heterocyclic crosslinked materials. Shaped components are easily processed by heating the polymerization mixture above its melting point or glass transition until gelation occurs. The prepolymers formed from these monomers are soluble in common solvents and indefinitely stable at room temperature.

Current work during this period of performance detailed the tensile failure and fracture properties of the phthalonitrile polymer following exposure to elevated temperature and oxidative conditions. Our paper has been submitted to Polymer Communications and is included here as Appendix K.



#### 2.4 Elastomers

Polymer blends have been much investigated in recent years. Our previous work in the area of rubber blends reported a miscibility due to specific interactions in a polychloroprene/epoxidized polyisoprene mixture. If entropy due to mixing is negligible, the thermodynamics, and therefore the incidence of miscibility, are governed substantially by component interactions. Characterization of these interactions is obviously useful in the study of polymer blends.

Xenon is soluble in many polymers, with the atoms residing in the free volume. Since the chemical shift of <sup>129</sup>Xe is proportional to the number of collisions per unit time the xenon experiences, or equivalently to the density of its environment, it provides a measure of free volume in the polymer. Below the glass transition temperature, segmental motion of the polymer chains is suppressed, so that Xe atoms are trapped in different sites. The distribution in local free volume produces variations in chemical shift resulting in an inhomogeneously broadened <sup>129</sup>Xe nuclear magnetic resonance (NMR) line. Rapid diffusion of the polymeric components collapses the inhomogeneously broadened resonance into a narrow line; the observed chemical shift is an average over the inhomogeneously broadened line. Since this action is time dependent, <sup>129</sup>Xe NMR will yield the diffusion coefficients for the polymer chains through simple calculations. This work was presented at the March 1992 meeting of the American Physical Society in Indianapolis, Indiana. The abstract and summary figures are included as Appendix L.

## 2.5 Fluorinated Polymers

The highly polar nature of the carbon-fluorine bond has been used to provide improved properties in comparison with their hydrogen-containing or other halogen-containing analogs. Fluorine-containing epoxies or acrylics generally exhibit resistance to water penetration, chemical reaction, and environmental degradation; they also show different degrees of surface tension, friction coefficient, optical clarity, refractive index, vapor transmission rate, and electromagnetic radiation resistance.

Previously, this task included efforts by GEO-CENTERS to maximize the hydrophobicity polymer systems, without compromising the structural characteristics which are necessary in the development of polymeric materials. We have now begun to design and synthesize the heavily fluorinated epoxy and acrylic resins to produce compounds with dielectric constants among the lowest in the literature. This effort has resulted in a major patent disclosure and several publications. The U.S. patent application was filed for "Fluorinated resins with low dielectric constants" on 14 February 1992. A copy of the patent disclosure is included as Appendix M. Three publications outlined a new process for synthesizing heavily fluorinated epoxy resins, and described the structure and preparation of these resins. They are included here as Appendices



N, O, and P. In addition, results of this work were presented at the American Chemical Society meetings in 1991 and 1992, and various invitations have been extended to collaborate on related research and to author chapters in three books. We have also been invited to submit samples of our polymers for publishing in Sadtler Standard Spectra, an infrared (IR) spectra reference book.

#### 2.6 L-Proline Modified Nylons

The conformational constraints that L-proline imposes upon natural polypeptides has been recognized as the most significant factor in the occurrence of  $\beta$  bends and pleats. The amino group of this most unusual natural  $\alpha$ -amino acid is secondary and contained in a five-membered ring structure. One consequence of this, the peptide linkage formed with the amine group lacks an available hydrogen atom for hydrogen bonding. The implications for the materials properties, as opposed to the biological properties, of natural and synthetic polyamides containing L-proline are most interesting. Thus we have begun a synthetic effort with a first objective of defining the minimal structure of synthetic polymer for which L-proline will control the elastic properties.

Two publications, the second of which is in revision, are the current results of this work. They are included as Appendices Q and R. In addition, we presented results of this work at the American Chemical Society meeting in 1991.

## 2.7 Characterization of Nylon Webbings

The synthetic webbings in an air drop parachute system connect the parachute lines with the various pieces of military or industrial equipment involved in the drop. In order to predict the performance of such a system, one must study the interaction of the system with the surrounding air during deployment. A mathematical model was developed by Allied Signal Corporation to predict the system's behavior and estimate the magnitude of strain energy and stresses in the webbing sling.

To assist Allied in evaluating webbings of two types of nylons wound at two separate facilities, we developed a test utilizing NRL facilities. A summary report, prepared by Allied Signal using our data, outlines the results of our efforts. It is included here as Appendix S.



#### 3.0 OTHER TECHNICAL SUPPORT

## 3.1 Wind Tunnel Sample Collection System

During a previous contract with NRL, we provided hardware and software to support a data acquisition project. The system collected target residues from within a wind tunnel environment. We designed and fabricated a portable computer-based control system for this project.

During field use at White Sands, damage to the electronics was sustained. During this period of performance on the current contract, we have repaired the damaged electronics and upgraded to a more robust design. Our software and the system documentation were also updated to accommodate the changes.



## APPENDIX A

SONAR DOME RUBBER WINDOW (SDRW) AND SONAR RUBBER DOME (SRD) RADIOGRAPHIC INSPECTION REPORTS (SEPTEMBER-DECEMBER 1990)



From: James B. Nagode

To: Chester F. Poranski, Jr.

Subj: SONAR DOME RUBBER WINDOW (SDRW) AND SONAR RUBBER DOME (SRD) RADIOGRAPHIC INSPECTION REPORTS (SEPTEMBER - DECEMBER 1990)

- 1. USS RADFORD (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection scheduling is recommended. See Appendix A.
- 2. USS WAINWRIGHT (SDRW): The SDRW's first damage site is apparent from the radiographs. Supplemental inspection of a section 90 degrees port revealed an additional damage site. Monitoring of this SDRW is recommended. See Appendixes B, and C, respectively.
- 3. USS VALDEZ (SDRW): Previously reported damage is unchanged. No new damage is apparent from the radiographs. The continued monitoring of this SDRW is recommended. See Appendix D.
- 4. USS STUMP (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection scheduling is recommended. See Appendix E.
- 5. USS SIMPSON (SRD): Four damage sites are apparent in the forward areas of the dome; two starboard, two port. No damage is apparent in the aft areas. See Appendix F.
- 6. USS INGERSOLL (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection scheduling is recommended. See Appendix G.
- 7. USS CARON (SDRW): Previously reported damage has grown. The continued monitoring of this SDRW is recommended. See Appendix H.
- 8. USS KIDD (SDRW): Previously reported damage is unchanged. The continued monitoring of this SDRW is recommended. See Appendix I.
- 9. USS BROWN (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection scheduling is recommended. See Appendix J.
- 10. USS KNOX (SDRW): Two new damage sites are seen on this SDRW. A previously reported site has grown. Continued monitoring is recommended. See Appendix K.
- 11. B.F.GOODRICH SRD (TYPE 56) LAYUP 99: No structural damage is apparent from the radiographs. See Appendix L.

12. B.F.GOODRICH SDRW LAYUPS 238 (TYPE SL), 29 (TYPE 2), AND 239 (TYPE SL): No structural damage is apparent from the radiographs. See Appendixes M, N, and O, respectively.

From: James B. Nagode

To: Chester F. Poranski, Jr.

- Subj: SONAR DOME RUBBER WINDOW (SDRW) AND SONAR RUBBER DOME (SRD) RADIOGRAPHIC INSPECTION REPORTS (DECEMBER 1990 FEBRUARY 1991)
- 1. USS DAHLGREN (SRD): Pre-existing damage has grown, and new damage sites have emerged on the FORWARD SRD. Two new damage sites have appeared on the AFT SRD as well. See Appendix A.
- 2. USS MILLER (SDRW): Previously reported damage is unchanged. The continued monitoring of this SDRW is recommended. See Appendix B.
- 3. USS PATTERSON (patched SDRW): One new damage site is seen outside of the repair area. The continued monitoring of this SDRW is recommended. See Appendix C.
- 4. USS CAPODANNO (SDRW): A two-ply damage site, as well as indications of bottom damage is apparent from the radiographs. We recommend that this SDRW be repaired or replaced. See Appendix D.
- 5. USS KNOX (SDRW patch): Inspection is for repair verification only. The recommendation remains MONITOR. See Appendix E.
- 6. USS BRISCOE (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix F.
- 7. USS HANCOCK (SDRW): Two-ply damage is now present. We recommend that the SDRW be repaired or replaced. See Appendix G.
- 8. USS VANDEGRIFT (SRD-56): Two-ply damage is apparent on both sides of this SRD. See Appendix H.
- 9. USS PAUL (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix I.
- 10. USS BEARY (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix J.
- 11. USS MONTEREY (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix K.

- 12. B.F. GOODRICH SDRW-2 LAYUP 30, and SDRW-1 LAYUPS 240 and 241: No structural damage is apparent from the radiographs. See Appendixes L, M, and N, respectively.
- 13. B.F. GOODRICH SRD-56 LAYUPS 100 and 101: No structural damage is apparent from the radiographs. See Appendixes O, and P, respectively.

From: James B. Nagode

To: Chester F. Poranski, Jr.

Subj: SONAR DOME RUBBER WINDOW (SDRW) AND SONAR RUBBER DOME (SRD) RADIOGRAPHIC INSPECTION REPORTS (FEBRUARY - MAY 1991)

- 1. USS AINSWORTH (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix A.
- 2. X-USS DAVIDSON (SDRW): Currently on Brazilian Naval vessel Ct Paraiba. No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix B.
- 3. USS ANTIETAM (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix C.
- 4. USS BIDDLE (SDRW): Previously reported damage is unchanged since the last inspection. The continued monitoring of this SDRW is recommended. See Appendix D.
- 5. USS TAYLOR (SRD-56): Two-ply damage is apparent from the radiographs. See Appendix E.
- 6. USS BRADLEY (SRD-56): Both forward inspection areas exhibited moderate damage. See Appendix F.
- 7. USS TRIPPE (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix G.
- 8. USS YORKTOWN (SDRW): Previously reported damage is unchanged since the last inspection. The continued monitoring of this SDRW is recommended. See Appendix H.
- 9. USS TRUETT (SDRW): Previously reported damage has grown. One new damage site is reported. The continued monitoring of this SDRW is recommended. See Appendix I.
- 10. USS GLOVER (SDRW-2): A previously reported damage site has grown. The far-port repair area contains no new structural damage. The continued monitoring of this SDRW is recommended. See Appendix J.
- 11. USS O'BANNON (patched SDRW): No new damage is apparent from the radiographs. The continued monitoring of this SDRW is recommended. See Appendix K.

- 12. B.F.GOODRICH SDRW-SL LAYUPS 242, 243, 244, AND SDRW-2 LAYUP 31: No structural damage is apparent from the radiographs. See Appendixes L, M, N, and O, respectively.
- 13. B.F.GOODRICH SRD-56 LAYUPS 102, 103, 104, 105, AND 106: No structural damage is apparent from the radiographs. See Appendixes P, Q, R, S and T, respectively.

From: James B. Nagode To: Chester F. Poranski, Jr.

Subj: SONAR DOME RUBBER WINDOW (SDRW) AND SONAR RUBBER DOME (SRD) RADIOGRAPHIC INSPECTION REPORTS (JUNE - JULY 1991)

- 1. USS PETERSON (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix A.
- 2. USS MISSISSIPPI (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix B.
- 3. USS RODGERS (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix C.
- 4. USS HAYLER (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix D.
- 5. USS BUNKER HILL (SDRW): One damage site is apparent from the radiographs. Monitor is recommended for this SDRW. See Appendix E.
- 6. USS CHOSIN (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix F.
- 7. USS SIMS (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix G.
- 8. USS GETTYSBURG (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix H.
- 9. USS CUSHING (patched SDRW): Two post-repair damage sites are now apparent from the radiographs. The continued monitoring of this SDRW is recommended. See Appendix I.
- 10. USS SIDES (SRD-56): A two-ply damage site is apparent from the radiographs. See Appendix J.
- 11. USS CONOLLY (SDRW): Previously reported damage is unchanged. The continued monitoring of this SDRW is recommended. See Appendix K.
- 12. USS DANIELS (SDRW): Previously reported damage has grown. The continued monitoring of this SDRW is recommended. See Appendix L.
- 13. USS SOUTH CAROLINA (SDRW): No corrosion fatigue damage is apparent from the radiographs. The routine inspection schedule is recommended. See Appendix M.



- 14. USS SPRAGUE (SRD-56): A two-ply damage site is apparent from the radiographs. See Appendix N.
- 15. B.F.GOODRICH SDRW-1 LAYUP 245 and SDRW-2 LAYUP 32: No structural damage is apparent from the radiographs. See Appendixes O, and P, respectively.
- 16. B.F.GOODRICH SRD-56 LAYUPS 107, 108, and 109, and SRD-23 LAYUP 28: No structural damage is apparent from the radiographs. See Appendixes Q, R, S, and T, respectively.



From: James B. Nagode To: Chester F. Poranski, Jr.

Subj: SONAR DOME RUBBER WINDOW (SDRW) AND SONAR RUBBER DOME (SRD) RADIOGRAPHIC INSPECTION REPORTS (AUGUST - SEPTEMBER 1991)

- 1. USS HART (SDRW): Previously reported damage has grown. The continued monitoring of this SDRW is recommended. See Appendix A.
- 2. USS OLDENDORF (patched SDRW): One post-repair damage site is apparent from the radiographs. The continued monitoring of this SDRW is recommended. See Appendix B.
- 3. USS FLATLEY (SRD-56): One and two ply damage sites are apparent from the radiographs. See Appendix C.
- 4. USS KIDD (SDRW): Previously reported damage has grown. New damage is also apparent. The continued monitoring of this SDRW is recommended. See Appendix D.
- 5. USS TICONDEROGA (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix E.
- 6. EX-USS DAVID (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix F.
- 7. USS FOSTER (SDRW): Two damage sites are apparent from the radiographs. We recommend that this SDRW be monitored. See Appendix G.
- 8. USS BELKNAP (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix H.
- 9. USS MOBILE BAY (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix I.
- 10. USS STERETT (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix J.
- 11. USS PHARRIS (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix K.

- 12. USS MCINERNEY (SRD-56): One and two ply damage sites are apparent from the radiographs. See Appendix L.
- 13. B.F. GOODRICH SDRW-1 LAYUP 246: No structural damage is apparent from the radiographs. See Appendix M.
- 14. B.F. GOODRICH SRD-23 LAYUP 29, and SRD-56 LAYUP 111: No structural damage is apparent from the radiographs. See Appendixes N, and O, respectively.

#### **MEMORANDUM**

From: James B. Nagode To: Chet Poranski

December 11, 1991

Subj: SONAR DOME RUBBER WINDOW (SDRW) AND SONAR RUBBER DOME (SRD) RADIOGRAPHIC INSPECTION REPORTS (OCTOBER - NOVEMBER 1991)

- 1. USS COWPENS (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix A.
- 2. USS FLETCHER (SDRW): Previously reported damage has grown to include both longitudinal plies. One new damage site is apparent. Repair or replacement of this SDRW is recommended. See Appendix B.
- 3. USS SPRUANCE (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix C.
- 4. USS SAN JACINTO (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix D.
- 5. USS MACDONOUGH (SRD-23 FWD): Forward regions were inspected only. Previosly reported damage is unchanged since the last inspection (2/87). See Appendix E.
- 6. USS MACDONOUGH (SRD-23 AFT): Forward regions were inspected only. Previously reported damage is unchanged since the last inspection (2/87). One new damage site is apparent from the radiographs. See Appendix F.
- 7. USS ANTRIM (SRD-56): Five damage sites are apparent from the radiographs. One site involves two structural plies. See Appendix G.
- 8. USS PHILIPPINE SEA (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix H.
- 9. USS CARON (SDRW): Previously reported damage is unchanged since the last inspection (10/90). One new damage site is apparent from the radiographs. See Appendix I.
- 10. USS SCOTT (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix J.
- 11. USS NICHOLSON (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix K.

- 12. USS GATES (SDRW): The first damage site for this SDRW is reported. Monitor is recommended. See Appendix L.
- 13. B.F. GOODRICH SDRW-1 LAYUP 247: No structural damage is apparent from the radiographs. See Appendix M.
- 14. B.F. GOODRICH SRD-23 LAYUPS 30 and 31, and SRD-56 LAYUP 113: No structural damage is apparent from the radiographs. See Appendixes N, O, and P, respectively.

From: James B. Nagode

To: Chester F. Poranski, Jr.

Subj: SONAR DOME RUBBER WINDOW (SDRW) AND SONAR RUBBER DOME (SRD) RADIOGRAPHIC INSPECTION REPORTS (DECEMBER 1991 - MARCH 1992)

- 1. USS KINKAID (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix A.
- 2. USS MOOSBRUGGER (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix B.
- 3. USS PULLER (SRD-56): No structural damage is apparent from the radiographs. See appendix C.
- 4. USS BOWEN (SDRW): Initial damage reported 3/88 is unchanged. Two new damage sites are now apparent from the radiographs. The continued monitoring of this SDRW is recommended. See appendix D.
- 5. USS LEFTWICH (SDRW): One damage site is apparent from the radiographs. We recommend that this SDRW be monitored. See Appendix E.
- 6. USS FORD (SRD-56): No structural damage is apparent from the radiographs. See Appendix F.
- 7. USS LEYTE GULF (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix G.
- 8. USS McCANDLESS (SDRW): No corrosion fatigue damage is apparent from the radiographs. Routine inspection schedule is recommended. See Appendix H.
- 9. USS TISDALE (SRD-56): Seven damage sites are apparent in the forward regions of this SRD, one of which consists of 2-ply damage. See Appendix I.
- 10. USS COPELAND (SRD-56): Four damage sites are apparent in the forward regions of this SRD, one of which consists of 2-ply damage. See Appendix J.

- 11. BF GOODRICH SRD-23 LAYUP 32: No structural damage is apparent from the radiographs. See Appendix K.
- 12. BF GOODRICH SRD-56 LAYUPS 18, 19, and 20: No structural damage is apparent from the radiographs. See Appendixes L, M, and N, respectively.
- 13. BF GOODRICH SDRW-SL LAYUPS 248 and 249, and SDRW-2 LAYUP 34: No structural damage is apparent from the radiographs. See Appendixes O, P, and Q, respectively.

# APPENDIX B ADVANCEMENTS IN X-RAY BACKSCATTER TOMOGRAPHY



#### ADVANCEMENTS IN X-RAY BACKSCATTER TOMOGRAPHY

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#### INTRODUCTION

Of the several processes which may occur when x-rays interact with matter, Compton scattering is dominant in the range of energies commonly used in radiography. The effect is particularly important in elements with low atomic number, Z, due to the low binding energy of the outer shell electrons. The Compton interaction between an x-ray photon and a free or outer shell electron causes the electron to recoil and the photon to be propagated in a new direction with a reduced energy. As the incident photon energy increases, the mean scatter direction moves toward the incident beam direction. However, even at relatively high energies, some photons are scattered in the backwards direction. High Z materials contribute fluorescent photons as well, due to the photoelectric effect. We will refer to all such secondary radiation effects detectible from the source side of the object as backscatter. We will also not distinguish between backscatter produced by bremsstrahlung and  $\gamma$ -ray sources.

The potential for determining material properties by detection of x-ray backscatter has been recognized for some thirty years. Medical applications were demonstrated using the backscatter signal to measure tissue densities. Backscatter imaging techniques were also demonstrated. This work, however, has been eclipsed by the rapid development of computed tomography for medical applications. Research has also been reported on a variety of industrial inspection techniques. Tomographic techniques, which produce images representing planes of interest within the object, are of particular interest to us. We have investigated the use of such techniques for the inspection of steel-reinforced rubber sonar domes on U.S. Navy vessels.

#### BACKSCATTER IMAGING

Ordinary optical images are scatter images. It provides a useful insight to the backscatter imaging process to consider this analogy. Let us substitute the x-ray radiation in our experiment with light, and substitute the object of our interest with an optically transparent one. We can intuitively appreciate the ease with which a flaw or other discontinuity in the transparency of the object can be detected by illuminating the object. The image so produced can be

projected onto our retina or captured on film by a camera. Similarly, illuminating an object with x-rays should produce an x-ray scatter image. Although high energy x-rays can not be sufficiently refracted by lenses, a pinhole camera can be used to produce an image on film. To produce a tomograph the illuminating beam must be collimated through a slit so as to illuminate only the plane of interest. Unfortunately, the pinhole accepts only a tiny fraction of the scatter produced, requiring hours to expose the film. Recently developed techniques produce digital images by using collimated arrays of detectors and scanning x-ray beams. Such systems create and detect scattered photons in such a way as to record the location and signal level of each volume element, or voxel, in a plane.

Incident x-rays and the backscatter signal are attenuated by the material between the plane of interest and the x-ray source. As a consequence, image artifacts are produced and inspection depth is limited. Tomographs however, are superior to transmission radiographs for the detection of thin planar features such as disbonds, delaminations, and cracks. They also excel at revealing small features otherwise obscured by layers of thick or dense materials. The one-sided nature of the inspection process is an advantage where accessibility or object size is a problem.

#### TOMOGRAPHY OF SONAR DOME SPECIMENS

Naval sonar domes sometimes rupture unexpectedly at sea. An NDE method is sought which will not require drydocking and removal of the structure, as is currently done. The sonar dome material consists of layers of steel cord reinforced rubber fabric. Each cord has 29 individual wires (7x4+1) and a diameter of 1.48 mm. The cords are initially calendared into the rubber fabric such that they are parallel and spaced 10 per inch. They are known to fail by tensile, stress corrosion, and corrosion fatigue mechanisms.

Three systems were evaluated for NDE of sonar domes: A system developed by American Science and Engineering (AS&E) for the inspection of rocket motors; A "breadboard" apparatus developed to demonstrate an approach of Advanced Research and Applications Corporation (ARACOR); and a production system manufactured by Philips Electronic Instruments.

The AS&E system (ZT) consisted of a source of scanning radiation and a large, efficient collimated detector. A "sidescatter", or tangential geometry had been chosen for the cylindrical rocket motors. We traversed our samples through the focal line of the detector. This work resulted in the successful imaging of a broken ply 14mm deep within the specimen. We also developed some image processing algorithms to compensate for artifacts created by sample curvature.

We also provided our sonar dome sample to ARACOR for demonstration scans using their Backscatter Imaging Tomography (BIT) breadboard scanner. A simple and time consuming technique was used to interrogate each voxel in a plane perpendicular to the sample surface. ARACOR's unique process acquires the overlying voxels first, and uses this data to compensate for the attenuation of signals from deeper levels. Good high resolution images were obtained of steel

cords in the sample. Although the perpendicular image planes were not appropriate for inspection of sonar domes, the acquisition of full volume data by this method promises the display of arbitrary plane orientation by computer methods.

The Philips system (COMSCAN) uses a unique helical-grooved, rotating cylinder to collimate and sweep its x-ray beam. This compact mechanism allows the positioning of both the x-ray tube target and detector arrays very close to the object. The resulting high flux density results in very low voxel measurement times. Arrays of slit-collimated detectors are used to provide 22 independent overlapping tomographs in one pass of the scanner. As with the other systems, we were able to image the broken ply in our sample. Moreover, the multiple tomographs facilitated "browsing" by paging up and down through the 22 planes to follow non-planar features in our curved sample. Two sets of detector apertures were evaluated. One set projected the 22 slices from a thickness of material from 10mm to 20mm from the scanner head. The other set projected from 10mm to 60mm.

#### CONCLUSION

X-ray backscatter tomography affords a solution to our sonar dome NDE problem. We have demonstrated success in obtaining images of damaged sonar dome plies with three varied systems. We have recommended the development of a system for the inspection of keel mounted sonar domes based upon the COMSCAN instrument. Our primary consideration was that the compact scanner head will facilitate underwater use. Other features of the system design, scan time requirements and system availability were also suitable for our needs.

The proposed system will consist of a COMSCAN system installed in a special shipping/utilization container for use in shippard environments. The scanning head will be installed in an underwater enclosure. After positioning against the sonar dome inspection area, indexing and scanning will be accomplished remotely through the wall of the underwater enclosure. An umbilical to the surface will contain the high voltage cable, cooling water lines, and data and control cable links to the containerized control racks.

#### ACKNOWLEDGEMENTS

We were supported by the NAVSEA Naval Surface Ship ASW Group and the NRL Materials Chemistry Branch under NRL contract number N00014-90-C-2269. The AS&E ZT<sup>TM</sup> system was built for the Air Force Astronautics Laboratory under contract number F04611-88-C-0051. ARACOR BIT scans were supported by the Wright Research and Development Center (WRDC) Materials Lab under contract F33615-87-C-5249 (Ms. C. Kropas, project officer).

# APPENDIX C

COMPUTER SIMULATION OF STEEL CORD RADIOGRAPH PROFILES



# COMPUTER SIMULATION OF STEEL CORD RADIOGRAPH PROFILES

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# INTRODUCTION

Image processing methods have proven useful as an aid in interpreting radiographs of Naval sonar domes. The flaw of interest in these steel cord reinforced rubber structures is a run of cords broken due to corrosion fatigue. Risk assessment is based upon the number and extent of damage sites. The detection of corrosion, which occurs prior to the breakage of cords, would be a welcome addition to our capability. We have investigated radiograph profile analysis as a method for identifying corrosion in the steel cords.

Flaws in standardized parts are often detected by subtracting the radiographic image of a good part from that of the tested part. Although our composite material does not produce a standard image in the same sense as a simple part, individual cords within the radiographic image can be sampled and compared using one dimensional profiles of the image grey level. Such profiles represent projections of the cord cross section. One could expect, then, that the subtraction of a standard cord profile from test profiles would reveal corrosion. But steel cords are standardized only by conformance to a cord specification. Their profiles are infinitely varied due to superposition of the twisted cord sub-structures. Do cord profiles nonetheless contain an indication of corrosion? If so, how can we access this information?

In this paper we present a computer simulator of steel cord profiles to be used for inquiry into these questions. The quantitative simulation of radiographic imagery, taking into account all of the complex interactions involved, is beyond the scope of our inquiry. We have based our simulation upon a simplified x-ray transmission image model. We describe the model and provide samples of its output. We also present an artificial neural network which we have developed to identify corrosion from the simulated profile data. We discuss plans for future work towards bringing neural network based profile analysis to bear upon real inspection data.

# STEEL/RUBBER COMPOSITE

Sonar domes are similar to large tires. They are constructed by manually building up layers of both un-reinforced and steel cord reinforced rubber plies in a mold. The assembly is cured by heating in an autoclave. The wall in the region of interest typically contains 5 or 6 structural plies. Cords within each ply are spaced 2.54 mm apart. The full cord specification [1] is

(1x4)x0.175 + (6x4)x0.175 + 1x0.15 + 10/20/3.5 SZS

which describes seven strands, each of which consists of four 0.175 mm diameter wires twisted together in the left hand direction at a pitch (lay length) of 10 mm per revolution. One strand is in the center and the other six are twisted in the opposite (right hand) direction about the center strand at a pitch of 20 mm per revolution. A single 0.15 mm wrap wire is wound around the cord in the left hand direction at a pitch of 3.5 mm per turn. Figure 1 illustrates this construction. We will use the terms cord, strand, and wire consistent with this description.

## **RADIOGRAPHS**

The Navy inspection parameters were optimized for the detection of broken cords. An x-ray tube operating in the range of 150 to 200 kv and fine grain film (DuPont NDE 45) are used. Resolution is sufficient to identify individual 0.175 mm wire elements protruding from broken cord ends. Although the multiple ply images are superimposed, the 2.5 mm cord spacing allows a number of individual cords to be seen between the images of other plies. Anomalous spacing between cords normal to those being observed also frequently allows unobstructed views as shown in Figure 2. These views present opportunies to measure profiles across single cords.

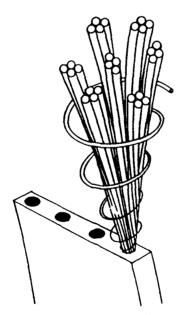


Figure 1. Structure of 1.48 mm 7x4x0.175+0.15 tire cord.

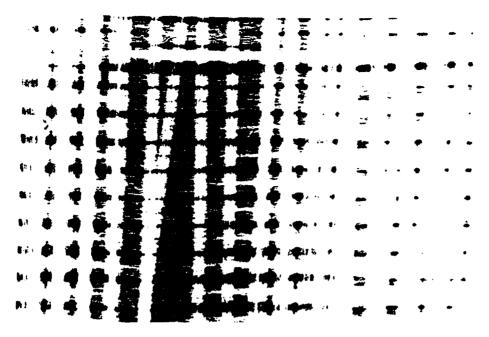


Figure 2. Sonar dome radiograph showing two horizontal (longitudinal) plies seen between three vertical (radial) plies. Cord diameters are 1.48 mm.

# CORD PROFILES

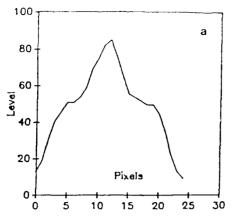
We digitized illuminated radiograph images and measured profiles using a Quantex QX-7 image processing system with MTI Series 68 video camera. We wrote programs for the image processor to allow the selection and acquisition of cord profiles using a mouse. The resulting data was saved to disk files for further analysis and plotting. We collected data from radiographs of both new sonar domes, known to be uncontaminated by seawater, and domes which had failed in service soon after inspection and were therefore likely to contain corrosion. Figure 3 shows example profiles of the known good cords and suspected rusty cords. We used a magnification which provided a sampling resolution of 25 pixels per cord width (.059mm / pixel).

A difficulty with this approach is that while the general condition of the dome can be inferred from its status (e.g. new, damaged), the actual condition of a cord at a particular location is unknown. We needed a simulator of cord profiles to provide information about cord structure along with the profile data. An additional benefit is the capability of the simulator to provide the large sets of data needed for artificial neural network training and testing.

# SIMULATION

The fundamental relationship between monoenergetic incident and transmitted x-ray intensities and a material's properties is described by the formula

$$I = I_0 e^{-\mu z} \tag{1}$$



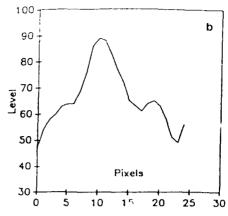


Figure 3. Examples of digitized cord profiles from radiographs of a new sonar dome (a), and a known contaminated dome (b). Each plot represents 25 measurements of the image brightness level.

where I and  $I_0$  are the transmitted and incident intensity,  $\mu$  is the linear absorption coefficient for the material, and z is the material thickness [2]. The mass absorption coefficient,  $\mu/\rho$ , is obtained by dividing the linear coefficient by the density of the material,  $\rho$ . For homogeneous materials consisting of more than one element,

$$\frac{\mu}{\rho} = \sum_{i} w_{i} \left( \frac{\mu}{\rho} \right)_{i} \tag{2}$$

where  $\mathbf{w}_i$  and  $(\mu/\rho)_i$  are the weight  $\mathbf{f}_i$  ction and mass absorption coefficient, respectively, of element 1 component i [3]. Using equation (2), we have calculated values of  $\mu/\rho$  for neoprene  $(C_4H_5C1)_x$  from published tables of elemental mass absorption coefficients for 150 KeV radiation [4]. A similar treatment was applied to the carbon black filled rubber matrix assuming a ratio of 2 parts neoprene to 1 part carbon black [5]. Linear absorption coefficients of 0.206 cm<sup>-1</sup> and 1.85 cm<sup>-1</sup> were obtained for the matrix and steel cords, respectively, by multiplying the mass coefficients by published density values.

Radiographic images are produced by the action of the transmitted rays on a film  $emu_*sion$  [6]. We are concerned with the brightness image observable by placing developed x-ray film on an illuminator. The film density D is defined in terms of exposure as

$$D = \gamma \log(It) \tag{3}$$

where  $\gamma$  is a film response characteristic, I is x-ray intensity, and t is exposure time. In terms of the incident and transmitted light intensities,  $L_0$  and  $L_{\rm t}$ ,

$$D = \log\left(\frac{L_0}{L_t}\right) \tag{4}$$

Solving equations (1), (3), and (4) for  $L_{\rm t}$  in terms of material properties, inspection parameters, and film illumination we obtain

$$L_{c} = \frac{L_{0} e^{\gamma \mu z}}{(I_{0} t)^{\gamma}} \tag{5}$$

For non-homogeneous materials, such as our steel/rubber composite,  $\mu$  becomes a function of position. For a given exposure the inspection and illumination parameters are constant and the image L(x,y) over a small area of interest can be approximated by assuming flat radiation and illumination fields:

$$L(x,y) = ke^{\gamma \int \mu(x,y,z) dz}$$
 (6)

where k and  $\gamma$  are constants.

We modeled the cord cross sections as arrays of filled (colored) circles on a computer graphics screen. Each wire is represented by an appropriately sized and located circle. Random angle values are used to orient the wires about strand centers, strands about the cord center and the wrap wire about the cord. Sequential cross sections can also be generated by incrementing the angles according to the lay lengths of the three twisted sub-structures. Corrosion was modeled as a random thinning of wires up to 50% of their diameters. We chose a resolution of 200 pixels per millimeter for the simulation. This is a convenient scale for display and printing, and is well above the 10 pixel/mm resolution typically used on our image processor for radiographs. Geometric unsharpness, determined to be on the order of 0.05 mm, [7] was modeled by convolution with a blurring filter mask.

Normalized intensity profiles P(x) were simulated by algorithms implementing a three step process. First the profile L(x) was generated by the discrete form of equation (6) for one dimension

$$L(x) = ke^{\gamma \sum_{z=0}^{T} \mu(x,z) \Delta z}$$
 (7)

where T is the cord thickness,  $\mu(x,z)$  is the absorption coefficient for steel (colored pixels) or for rubber (black pixels) as determined above,  $\Delta z$  is the thickness represented by one pixel, k-1, and  $\gamma-1$ . Blurring of the image due to geometric unsharpness,  $U_g$ , was then simulated by convolution:

$$P(x) = \sum_{i=x-m}^{x+m} L(i)$$
 (8)

where  $m=U_{\rm g}/2$ . Lastly, the profiles were normalized over the range 0 to 255, consistent with the 8 bit grey level depth of our digitized radiograph images. Output was in the form of disk files of numeric profile data (406 profile data points and 2 cord condition indicators) and printed graphics showing the cord cross sections and associated profiles. Figure 4 shows examples of the graphics output. Program input variables include the number of profiles to be generated in one run, material attenuation coefficients, wire diameters, lay lengths, scale factors, inter-cord wire spacing, and plot resolution. Menu control is also provided for selecting options.

# ARTIFICIAL NEURAL NETWORK

Classification of the simulated profiles was demonstrated using Brainmaker, a feed forward artificial neural network using a back

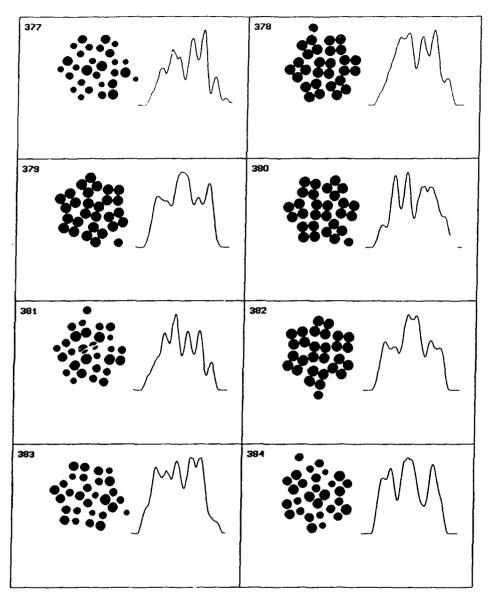


Figure 4. Examples of cord profile simulator output. Simulated cross sections are shown with their respective normalized profiles. Numbers 377, 381, 383, and 384 represent rusty cords. Numbers 378, 379, 380, and 382 represent good cords.

propagation learning algorithm [8]. We used a three layer network topology, with 25 input neurons, 100 hidden neurons, 2 output neurons, and a sigmoid transfer function. Attempts to train a network using 25 and 50 intermediate neurons both failed, an indication of the complexity of our problem.

Inputs to the network consisted of 25 point samplings of the profile data. The two output neurons corresponded to indications that the input profile represented a "good" cord or a rusty one. Two sets of 1000 profiles were generated by the cord profile simulator: one to be used for network training and the other for testing. Half of each set, selected randomly, represented rusty cords. Since mirror images of the profiles are equally valid as profile data, we made use of a Brainmaker feature which takes advantage of such symmetry and presents the data both ways. This resulted in effective training and testing data sets of 2000 profiles each.

Prior to training, neuron connection weights were randomized. During training, the profile data were sequentially presented to the network along with the known desired outputs. During the back propagation process, output error was used to determine corrections to the weights. 196 presentations of the training data set were required for the network to converge upon a solution (100% accuracy) within a training tolerance of 0.1. This tolerance means that either output in the range 0 to 1.0 found to be greater than 0.1 in error constitutes an incorrect characterization. Testing the trained network with the 2000 profiles of the test data set resulted in 118 wrong answers using the same tolerance, but only 71 wrong with a tolerance of 0.4 (An error of 0.4 on one output still provides an unambiguous characterization). This result represents a success rate of 96.5%. The time required for training was 1:34:12 using a Gateway 2000 486/25C computer at 25 MHz.

# CONCLUSION

We have developed a cord radiograph model to generate simulated profile data. We have used the simulated data to develop an artificial neural network capable of characterizing cord condition with a high success rate. We conclude that profile analysis by neural network is worthy of further development. It remains to be determined whether our success so far is due to the nature of cord profiles or to an artifact of the simulation.

# FUTURE PLANS

Our goal is to train an artificial neural network using simulated data for use in characterizing real profile data. We plan to improve the simulation by taking into account scattered radiation, additional sources of unsharpness, and noise. An experimental determination of the response of the film system and our video camera/image processing system will enable us to quantitatively include these effects in the model. We must also improve our model of corrosion. The random thinning of cords is unrealistically simplistic, although it served to demonstrate the characterization problem. A study of actual rusty cord profiles at higher magnification should lead to a more realistic simulation. The generation of sequential profiles will also enable us to view and compare two dimensional images of simulated cord radiographs as we refine the model.

More work on the neural network configuration could improve its performance. This will be important if, as expected, the improved model results in more difficult characterizations. Various input vectors other than the raw profile data will be considered. Others have used spectral data [9] or various statistics of the input data, such as mean intensity, variance, kurtosis, and skewness [10]. Since most of the wrong characterizations were of rusty cords, more of these can be included in the training data.

## **ACKNOWLEDGEMENTS**

We acknowledge the support of the NAVSEA Naval Surface Ship ASW Group and the NRL Materials Chemistry Branch under NRL contract number N00014-90-C-2269. We also thank Mr. James Nagode of Geo-Centers, Inc. for his assistance with illustrations and calculations.

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# APPENDIX D

APPLICATION OF NEURAL NETWORKS TO RADIOGRAPH PROFILE ANALYSIS



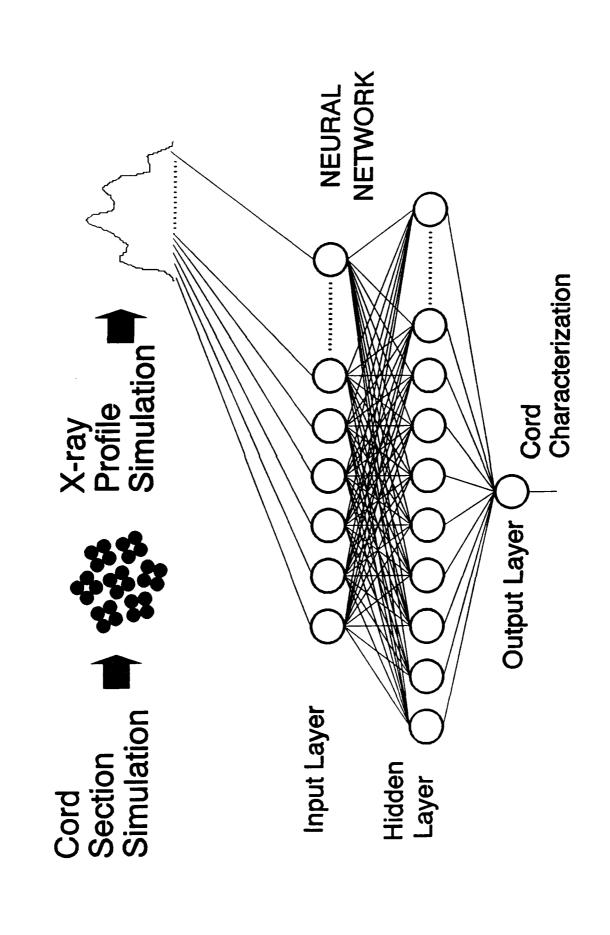
# Application of Neural Networks to Radiograph Profile Analysis

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Naval Research Laboratory (6120) Washington D.C. 20375 Chester F. Poranski

# SUMMARY

have also developed a computer simulator of cord sections Our objective is to develop an artificial neural network for profile data. We conclude that further development of this Results are presented for a feed forward back propagation composites. Our approach is to let a digitized radiograph network configuration and various representations of the the detection of corrosion in steel cord reinforced rubber and their profiles to provide data for testing this concept. projection whether the cord section is well-formed. We profile represent the cross section of an individual steel cord and use the network to determine from this single approach is worthwhile.



# X-RAY IMAGE PROFILE SIMULATION

X-ray attenuation:

$$I = I_0 e^{-\mu z}$$

Mass attenuation coefficient of rubber matrix:

$$\frac{\mu}{\rho} = \sum_{i} w_{i} \left(\frac{\mu}{\rho}\right)_{i}$$

Film density as function of exposure:

$$D = \gamma \log(It)$$

Film density as function of incident/transmitted light:

$$D = \log\left(\frac{L_0}{L_t}\right)$$

Transmitted light as function of x-ray attenuation:

$$L_t = \frac{L_0 e^{\gamma \mu z}}{(I_0 t)^{\gamma}}$$

Image as integration through non-homogeneous material:

$$L(x,y) = ke^{\gamma \int \mu(x,y,z) dz}$$

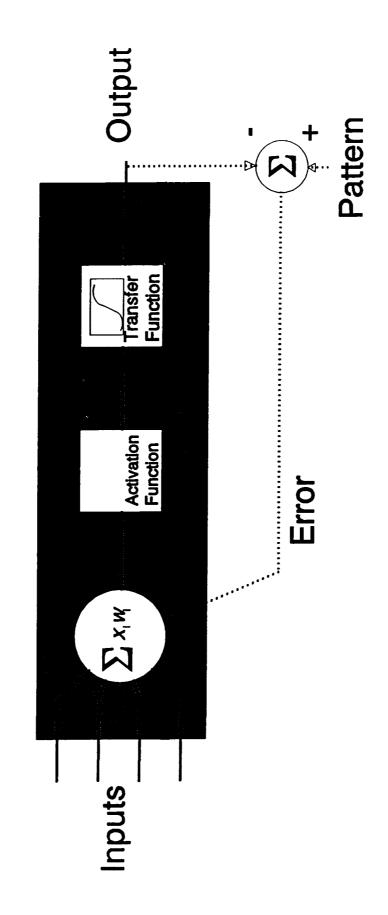
Profile as discrete form in one dimension:

$$L(x) = ke^{\gamma \sum_{z=0}^{T} \mu(x,z) \Delta z}$$

Unsharpness as averaging (blurring) filter:

$$P(x) = \sum_{i=x-m}^{x+m} L(i)$$

# Neuron Schematic



# ARTIFICIAL NEURAL NETWORK

Type: Feed Forward - Back Propagation

Brainmaker by California Scientific Software Software:

Host Computer: Gateway 2000 486/25

Network Topography: 25 input neurons 100 intermediate neurons

1 output neuron

Transfer Function: Sigmoid

Training Parameters: Learning Rate 1.0 Tolerance 0.1

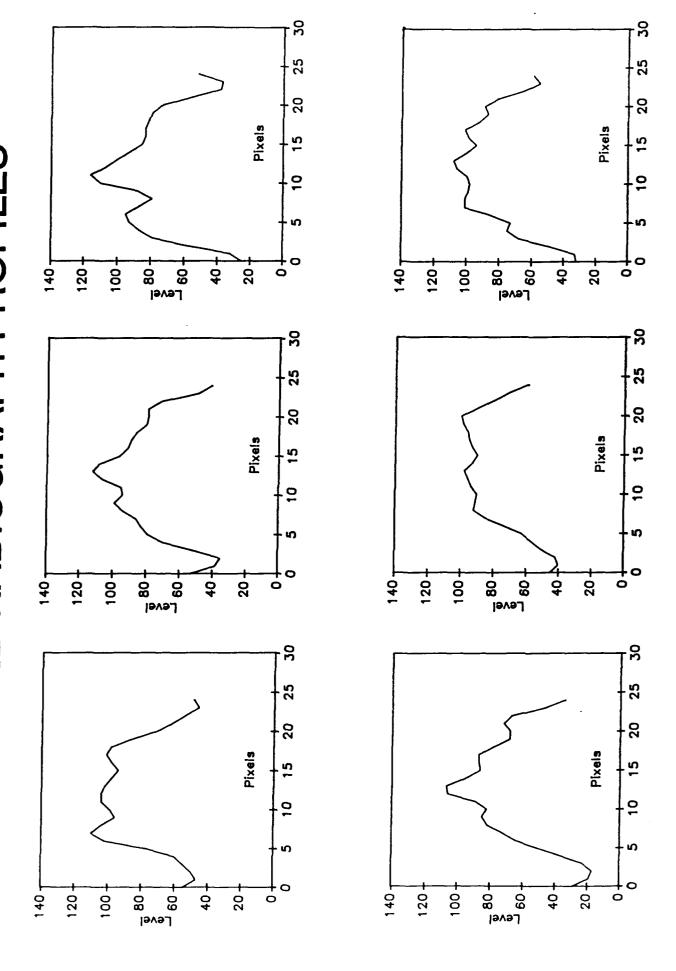
Training data (facts): 2000 Test data: 2000

Convergence upon solution. Elapsed time 0:31. Training Results:

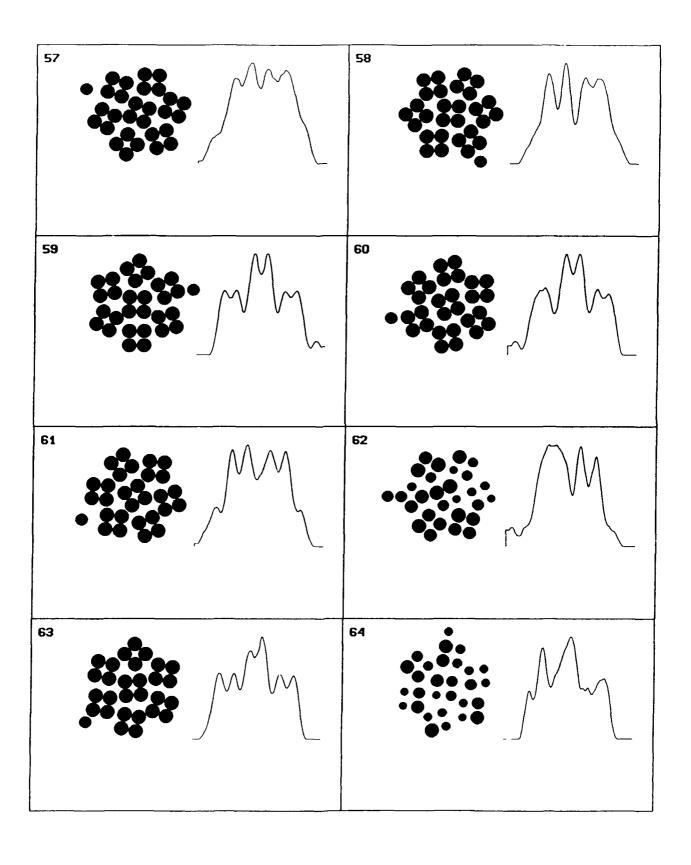
1932 correct out of 2000 profiles with tolerance 0.4 Test Results:

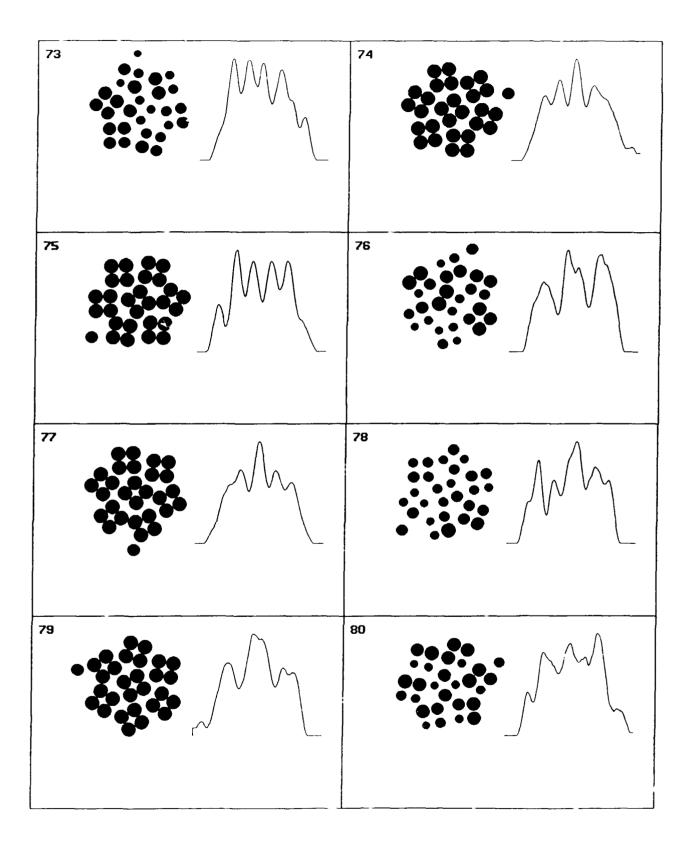
**96.6** %

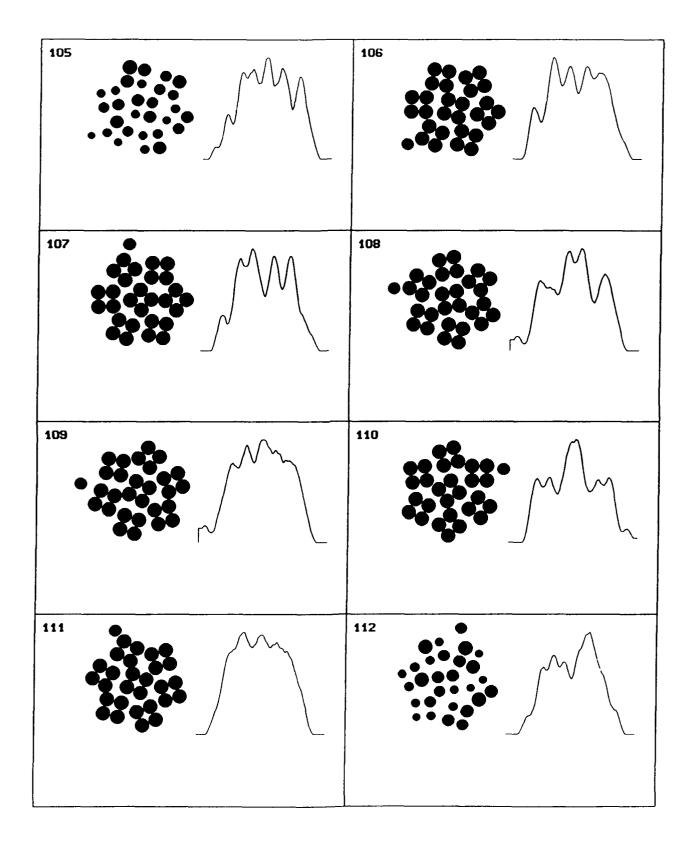
# DIGITIZED RADIOGRAPH PROFILES

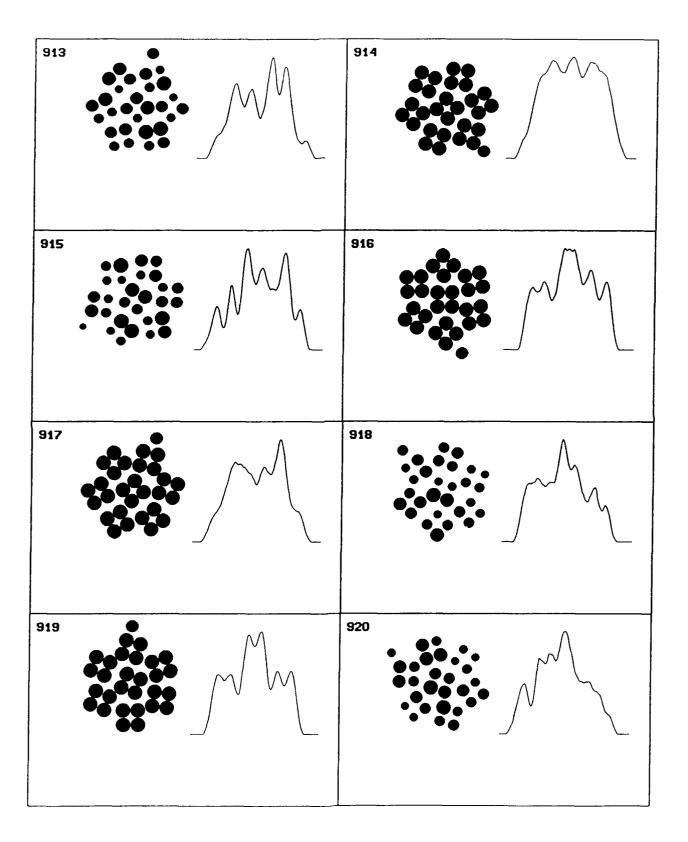


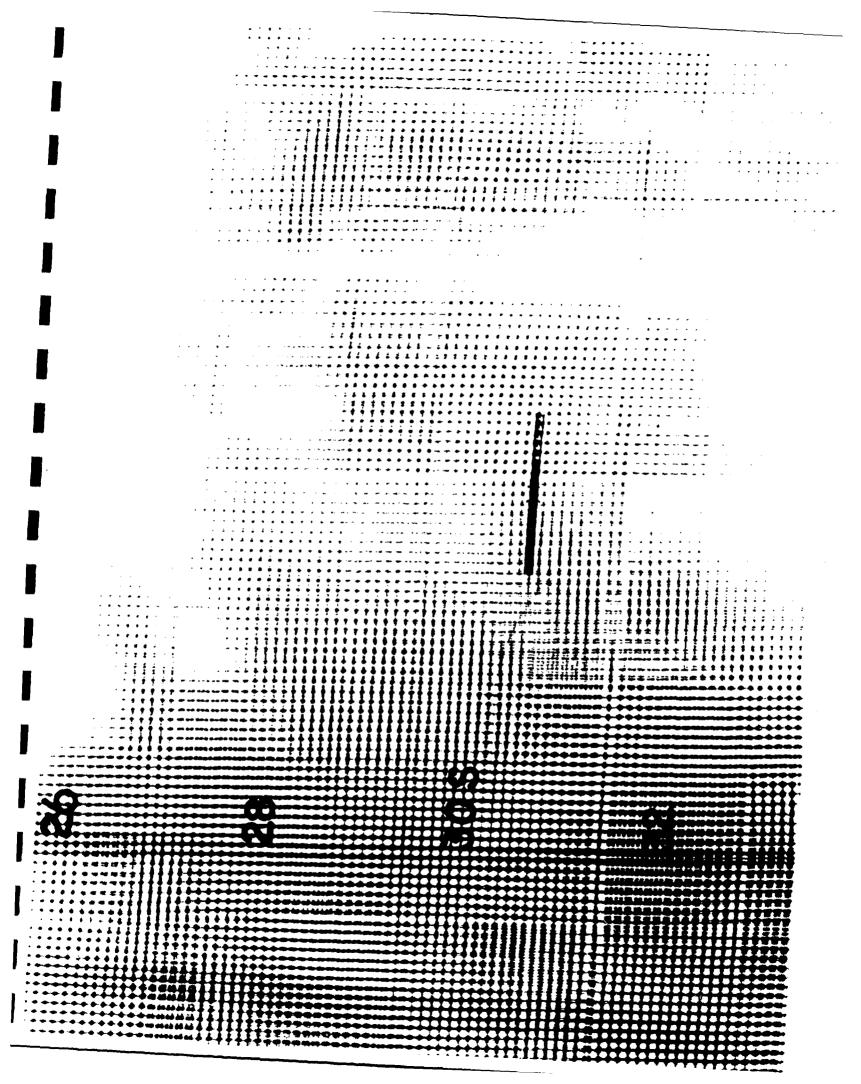
# SIMULATED RADIOGRAPH PROFILES

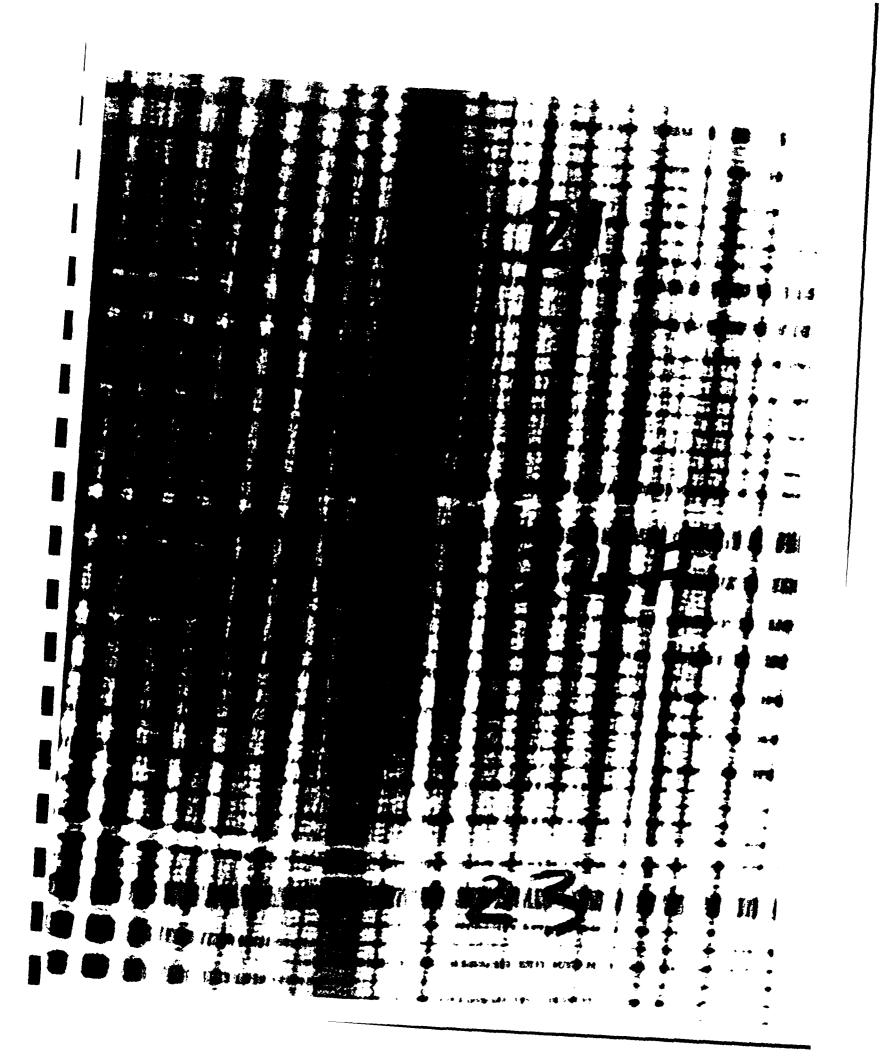












# APPENDIX E

# SONAR RUBBER DOME STRUCTURAL DAMAGE CHARACTERIZATION



# Sonar Rubber Dome Structural Damage Characterization

I. Technical Summary and Analysis of Preliminary Load/Indentation Results for the AN/SQS-56 Sonar Rubber Dome (S/N A008) from the USS Clark, FFG 11

M. L. Warzel

Geo-Centers, Inc. Ft. Washington, MD 20744

# CONTENTS

Introduction	1
Test Apparatus and Procedures	1
Field Test Results	2
Discussion	5
Summary & Conclusions	6
Recommendations	6
References	8
Appendix A — SRD Load/Indentation Data for S/N A008 Removed From the USS Clark (FFG 11)	9
Appendix B — SRD Radiographic Inspection Report — Forward Exposures for the USS Clark (FFG 11), Inspection Date: 6/11/90	

# Sonar Rubber Dome Structural Damage Characterization

I. Technical Summary and Analysis of Preliminary Load/Indentation Results for the AN/SQS-56 Sonar Rubber Dome (S/N A008) from the USS Clark, FFG 11

# Introduction

Recent investigations into the failure mechanisms of sonar rubber domes (SRDs)<sup>1,2</sup> have shown that failure is accompanied by structural damage in the plys. Such damage is typically localized to the forward starboard and port regions near the intersection of the keel band and bead band edges. The gradual development of damage in these regions has led to the development of a continuing radiographic inspection program to aid in the early detection of steel cord failure in the plys. However, due to the difficulty of examining SRDs for the AN/SQS-56 sonar, necessitating the removal of the SRD from the ship, a need has arisen for a pierside screening technique to indicate the possibility of structural damage in SRDs.

This report details the results of recent investigations into the deformation characteristics of a sonar rubber dome structure. This work is intended to provide a preliminary basis for further efforts directed toward the ultimate development of a rubber/steel cord damage screening device and test procedure. The basic procedure involves the application of static point loads within the region of an SRD where the forward keel band and bead band edges intersect. Measurement of the deformation of the SRD surface under load, it is hoped, will allow for a determination of the likelihood of structural damage in the affected area. In combination with x ray examinations, or as a tool to determine if an SRD is in need of a detailed x ray examination, such a test should provide a useful composite picture of SRD structural integrity and help to forestall any future in-service failures.

# Test Apparatus and Procedures

A simple test apparatus was developed for these investigations consisting of a 1000 lb. tension/compression load cell attached to a 24 in. long, 1.0 in. diameter steel rod. The rod was threaded to accept a 5/8 in. x 18 tpi load cell coupling provided on standard Instron load cells. A load was applied to the SRD exterior surface by the use of three aircraft cable ratchet winches attached to both the load cell and the SRD support frame. One cable was typically attached to the interior metal lip of the bead clamps, thereby supporting most of the weight of the load cell fixture. The remaining two winch cables were spaced at approximately 120° intervals around the load cell and attached to the beams of the SRD support frame.

The load cell was powered by a DC voltage excitation from a Measurements Group model 2310 signal conditioning amplifier. The amplifier was powered by a portable 120 volt AC generator. A custom-wired cable was fabricated to connect the load cell to the amplifier. The load cell was operated at a DC excitation of 3.5 volts. A mechanical calibration of the load cell was performed by loading the cell under compression with a total of 40 lb. in weights. The amplifier gain was typically adjusted to provide a load calibration factor of 50 lb./volt.

A standard XY coordinate system was mapped onto the forward port side of the SRD to simplify the placement of the loading fixture. Due to the difficulty of locating the precise positions of the band and ply structures at the intersection of the keel and bead band edges, the origin of the coordinate system was referenced relative to the keel centerline and the top of the rubber dome ("marriage line" or upper rubber line "URL" between the rubber dome and the bead clamps). The advantage of this coordinate system is the ease with which it may be located on the exterior of any SRD surface without knowing the precise positions of the underlying ply structures. The origin was measured along the SRD surface from the keel centerline to the edge of the 20 in. keel band and 24 in. from the top of the dome to the edge of the bead band. The Y axis line was drawn as a line spaced 20 in. from the keel centerline along the surface of the dome. The X axis line was drawn as a line spaced 24 in. from the upper rubber line along the surface of the dome. Positive X and Y directions were taken as aft and above the origin, respectively; negative X and Y directions were forward and below the origin.

The test procedure consisted of holding the rod to the surface of the dome and applying increasing loads by tightening the three winches. The rod was maintained in a position that was approximately normal to the surface. Several methods were evaluated for measuring the indentation of the surface relative to the original profile; the best procedure is summarized as follows: The original surface curvature was matched by placing an aluminum wire along the Y axis or parallel to this axis for measurements forward of the port side keel band edge. A slight amount of tension was placed on the wire to maintain direct contact with the dome prior to deformation (the level of tension was sufficient to maintain contact without distorting the wire profile shape as the dome was indented). A dial caliper mounted on the loading rod was then used to record the SRD indentation as the difference between the rod length and the distance to the original profile wire. Prior adjustment of the caliper provided a direct measure of the indentation from the original surface profile.

# Field Test Results

Results of the load/indentation data obtained from field tests on 8/6/91 are summarized in Figures 1 and 2. Raw data for these figures as well as previous results obtained on 7/31/91 and 8/2/91 are compiled in Appendix A. Figure 1 depicts the data as recorded along vertical (Y axis) lines starting at X=0 (along the edge of the 20 in. keel band) and progressing forward to the keel centerline at 2.0 in. intervals. Due to the single and two-ply damage in this region (see Appendix B), field investigations on 8/6/91 were limited to an area 12.0 in. above and below the X axis, the Y axis, and 6.0 in. forward of the Y axis. Figure 2 depicts these results, arranged to illustrate the effects of loading along the keel band forward of the keel band edge.

Previous investigations on 7/31/91 and 8/2/91 were concerned with load/indentation results along the edge of the 20 in. keel band (Y axis) and the X axis. In addition, preliminary results for the extent of the indentation profile diameter are available in Appendix A. It should be noted that results obtained on 7/31/91 are based on an XY origin that is displaced approximately 3 in. below the origin (on the Y axis) used on 8/2/91 and

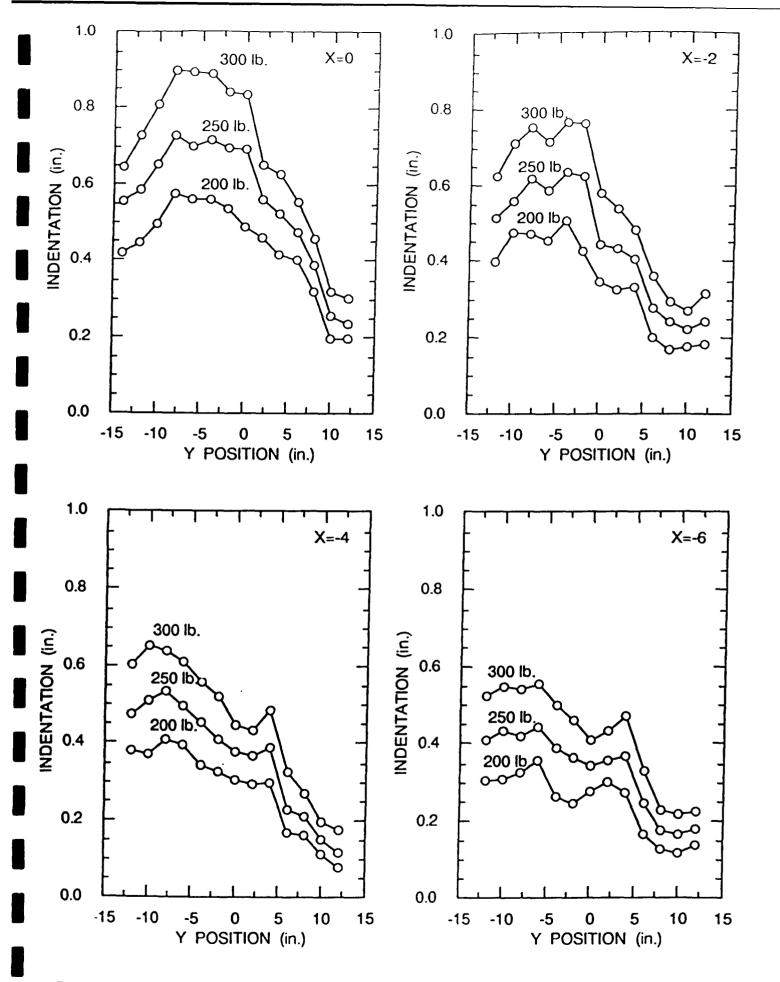


Fig. 1 — Load/indentation results from 8/6/91 for the forward port side of SRD S/N A008 removed from the USS Clark (FFG11). Data are shown for vertical lines paralleling the keel band edge.

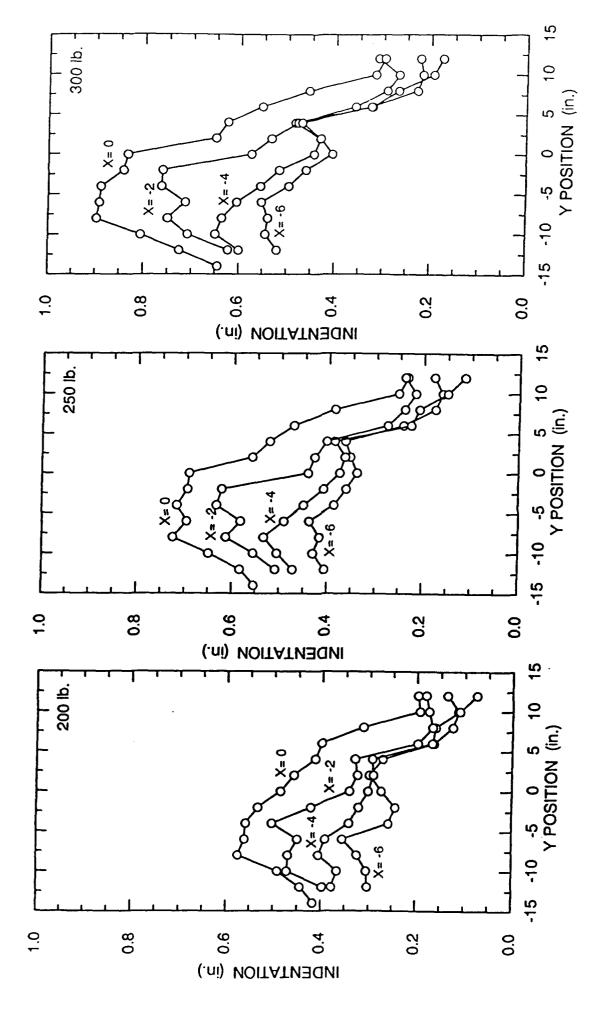


Fig. 2 — Load/indentation results from 8/6/91 for the forward port side of SRD S/N A008 removed from the USS Clark (FFG11). Data are shown at constant load for variations in X and Y position.

8/6/91. Results obtained on 8/2/91 are based on a relative measure of SRD indentation since it was necessary to apply an initial load of 50 lb. to hold the steel rod against the SRD. Indentation results recorded by this procedure do not account for the small indentation that occurs under this load.

The indentation profile diameter was recorded on 7/31/91 as an approximate point where the indented surface matched the original profile of the undistorted surface. Earlier trials had shown that differences in the indentation profile shape are observed for different loads and positions. It was hoped that some characteristic measure of these shapes could provide information that would indicate the presence of a potential damage site. However, due to the large amount of data necessary to develop a picture of the profile shape, these efforts were postponed for possible future investigations.

# Discussion

As shown in Fig. 1, a large variation in stiffness is evident within the damage region. Each vertical line (at constant X) paralleling the edge of the port side keel band shows a general trend of relatively low indentation above the X axis, increased indentation through the region immediately above and below the X axis, and decreased indentation at distances well below the X axis. Each line shows similar trends for loads from 200 to 300 lb., although at higher load the accompanying larger deformation generally improves the measurement precision. The evident indentation maxima between  $-7 \le Y \le 0$  for X = 0 appear to reduce in both magnitude and range from the edge of the keel band (X = 0) forward to the keel band centerline. At a 6.0 in. distance forward of the keel band edge (X = -6), the maxima between  $-7 \le Y \le 0$  are no longer clearly evident. The magnitude of the indentation in this area is also reduced by 40-50% compared with values obtained at X = 0.

The appearance of a second maximum between  $+2 \le Y \le +6$  as X varies from 0 to -6 in. is also evident. At X=0 and X=-2 this region shows an increased indentation that is not clearly identified but rather is characterized by a reduced stiffness or "spongy" behavior. At X=-4 and X=-6, a clear maximum develops in this area. Plotted at constant load for variations in both X and Y positions, Fig. 2 more clearly shows this trend.

Comparisons with the SRD radiographic inspection report for this dome (Appendix B) show several damaged cords and plys along both the keel and bead bands that roughly correspond to the variations in deformation shown in Figs. 1 and 2. Such comparisons are complicated by the lack of an accurate field determination of the position of the bead band edges at the intersection with the keel bands. Nonetheless these results are encouraging since a clear variation in deformation is observed with an apparent correspondence in structural damage. An improved x ray determination of the band edge alignment and corresponding field load/indentation coordinates would improve such comparisons.

Any conclusions of potential damage based on the present deformation results alone are not yet possible. Further testing of this SRD on both the forward port and starboard sides is necessary as well as comparisons with other SRDs before a sufficient amount of information would allow such conclusions. By further investigating the deformation behavior of undamaged and damaged structures a measure of the sensitivity of this technique may be established. The results presented in this report would appear to warrant such further studies.

Profile changes and shape distortions under field load conditions have proved to be of interest during testing. At present these observations are both difficult to characterize and understand. Undoubtedly, the ply structure and layup, orientation of the plys, and overlap in splice regions and the resulting stiffness variations could result in markedly different

surface profiles under static loading. It is reasonable to expect that damage to the cords could therefore be detected some distance from the loading point as a change in the surface profile. During field tests on SRD S/N A008 several surface profile changes were noted, including apparent "crease lines" (lines where the indentation remained relatively flat), ply edges resulting in increased stiffness and reduced indentation away from the load point, and bulges or ripples due to possibly broken cords or damaged plys. For the present, due to the complexity of the effects capable of producing such profile changes, these variations should simply be noted where appropriate. Further testing of such structures may improve the ability to use such profile distortions as a contributory aid in SRD damage detection.

Several complications in SRD deformation testing and data interpretation and potential refinements in the test procedure and apparatus are identifiable. The effect of SRD construction, specifically the effect of ply layup and cord orientation, is difficult to separate from any effects due to damage. For this reason, a clearer picture of the relative influence of damage or absence of damage is very important. Secondly, an absolute measure of band edge positioning on the SRD also needs to be made to allow direct comparisons with radiographic information.

The use of a deformation reference based on the original surface profile, while simple in concept, has presented several complications in the field. The need is for a rigid profile reference that remains fixed as the SRD is deformed and yet is flexible to allow for measurements over a wide area. The wire system used for these preliminary studies was adequate but could undoubtedly be improved upon, thereby improving the speed and accuracy of field measurements. Such a system would also prove less useful in a pierside application. Finally, the alignment of the load device and SRD surface represents a variable that should be controlled for reproducible measurements. In these field trials the rod was positioned by eye so that it was nearly normal to the surface. The degree to which variations in the position of the rod affect the deformation is unknown.

# Summary & Conclusions

Preliminary load/indentation results have been obtained for SRD S/N A008. The present results indicate a substantial variation in SRD stiffness exists in the region of the keel and bead band intersection. Several increases in indentation have been noted that appear to correspond to known damage sites as determined by radiographic inspection. The interdependent effect of SRD construction and damage in these regions upon the present results is unknown. Variations in the surface profile under static load have been identified as an area of potentially useful information indicating possible structural damage. Several improvements in test procedures and apparatus are necessary to alleviate field measurement problems and improve the speed with which measurements are made.

# Recommendations

It is apparent from these investigations that several recommendations are warranted in the following areas:

Apparatus & Procedural Improvements — A continuing development of the testing procedure should be promoted to improve the efficiency and accuracy of load/deformation measurements. The need to rapidly move a load rod to a new position that is normal to the dome surface is apparent as is an absolute measure of the deformation of the surface from the original profile. Such improvements should also be easy to implement and not require continual adjustment in the field.

Program Development — A structured program should be developed to further determine the suitability of SRD deformation testing as a screening aid for x ray examinations. Such a program should encompass further testing of the starboard and port forward regions of SRD S/N A008 and testing of several undamaged and damaged SRDs. In conjunction with x ray examinations such tests could be completed quickly without major expense and with very little modification to the current device and test procedure. Further testing and device development should also lead to a simplified test procedure suitable for pierside application.

# References

- 1. "Failure Analysis of the AN/SQS-56 Sonar Rubber Dome from USS Rodney M. Davis, FFG 60", C.D. Beachem, H.L. Schrader, and C.F. Poranski, Jr., NRL Memo Report 6263, Sept. 22, 1988.
- 2. "Failure Analysis of the AN/SQS-56 Sonar Rubber Dome (S/N A13) from USS Lewis B. Puller, FFG 23", C.D. Beachem, H.L. Schrader, and C.F. Poranski, Jr., NRL Memo Report 6451, Dec. 11, 1989.

# APPENDIX A

SRD Load/Indentation Data for S/N A008 Removed From the USS Clark (FFG 11)

USS CLARK SRD DAMAGE TEST

Load Factor: 50 lb/volt Date: 8/6/91 Page:	50 lb/volt Date:		Point 0.0 is the edge of the 30" Keel here in	$\mathbf{r}$	Ine through X=0" is within 1" of 7/34/04 footis	Section of 1/31/91 location.																	Point .2 0 is 20 in the second section of the	measured along the surface of the ZO" Keel band edge (Port side Forward)	The surface of the SHD and 24" from URL.										
S/N: A008		R LOAD (V)	-6.0	0.833	0.841	0.889	0.891	0.896	0.806	0.725	0.644	0.651	0.624	0.553	0.455	0.316	0.298	0.312	0.268	0.291	0.358	0.478	0.534	0.576	0.761	0.764	0.713	0.750	0.709	0.621					
USS CLARK		INDENTATION (In.) FOR LC	-5.0	0.692	0.694	0.718	0.697	0.725	0.651	0.582	0.552	0.559	0.521	0.471	0.385	0.254	0.233	0.239	0.218	0.239	0.275	0.402	0.429	0.442	0.622	0.633	0.584	0.614	0.555	0.509					·
TEST		INDENTAT	-4.0	0.486	0.533	0.558	0.560	0.574	0.492	0.445	0.416	0.459	0.413	0.400	0.315	0.194	0.196	0.180	0.175	0.167	0.197	0.331	0.324	0.343	0.422	0.503	0.451	0.470	0.472	0.396					
SRD DAMAGE	X,Y	POSITION	(in., ln.)	0,0	0,-2	0,-4	9-'0	0-8	0,-10	0,-12	0,-14	0,+2	0,+4	0,+6	0,+8	0,+10	0,+12	-2,+12	-2,+10	-2,+8	-2,+6	-2,+4	-2,+2	-2,0	-2'-5	2,-4	9-17-	8-17-	01.75	21-12					

S/N: A008
USS CLARK
SRD DAMAGE TEST

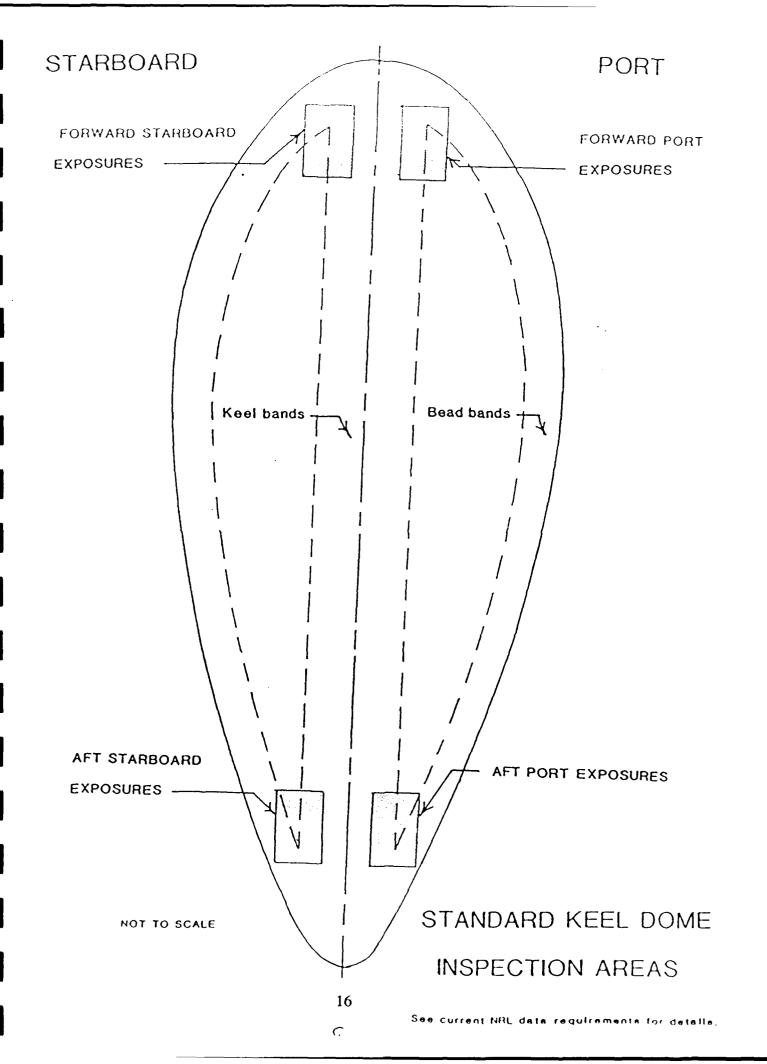
Load Factor: 50 lb/volt Date: 8/6/91 Page: 2/2

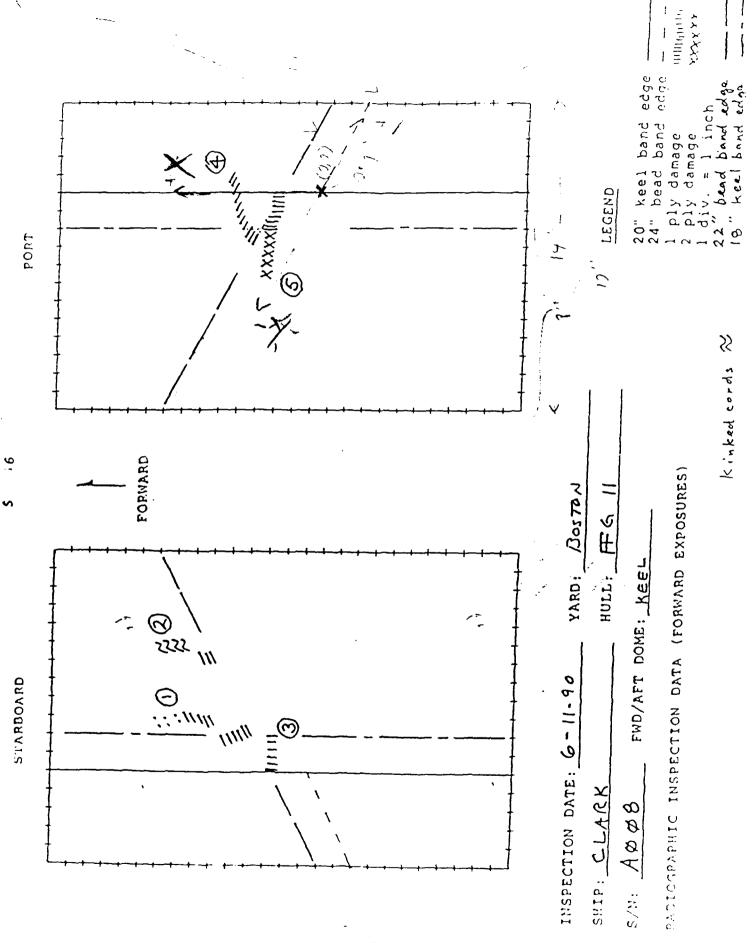
WILL CZ								Point -4.0 is 4.0 in forward of the 20" keel hand odgs (Dog side Eggent)	measured along the Surface of the SBD and 24" from 101													Point -6.0 is 6.0 in forward of the 20" keel hand odds (Dod side East	measured along the surface of the SPD and 24" from 101	STATE OF THE CITY										
OAD (V)	-6.0	0.601	0.650	0.635	0.606	0.556	0.517	0.444	0.430	0.482	0.322	0.266	0.195	0.174	-	0.222	0.217	0.227	0.326	0.470	0.431	0.406	0.460	0.496	0.553	0.539	0.545	0.520						
INDENTATION (in.) FOR L	-5.0	0.472	0.506	0.532	0.492	0.450	0.407	0.374	0.363	0.386	0.225	0.209	0.151	0.114		0.177	0.162	0.174	0.243	0.364	0.354	0.340	0.360	0.387	0.440	0.416	0.430	0.406						7
INDENTATI	-4.0	0.379	0.367	0.405	0.392	0.342	0.322	0.302	0.292	0.295	0.168	0.160	0.110	0.075		0.135	0.114	0.126	0.164	0.272	0.300	0.275	0.244	0.259	0.355	0.324	0.306	0.302						1
(X,Y) POSITION	(in., In.)	-4,-12	-4,-10	-48	-4,-6	4-4-	-4,-2	-4,0	-4,+2	-4,+4	-4,+6	-4,+8	-4,+10	-4,+12		-6,+12	-6,+10	-6,+8	9+'9-	-6,+4	-6,+2	0'9-	-6,-2	-6,-4	9-'9-	-6,-8	-6,-10	-6,-12						7

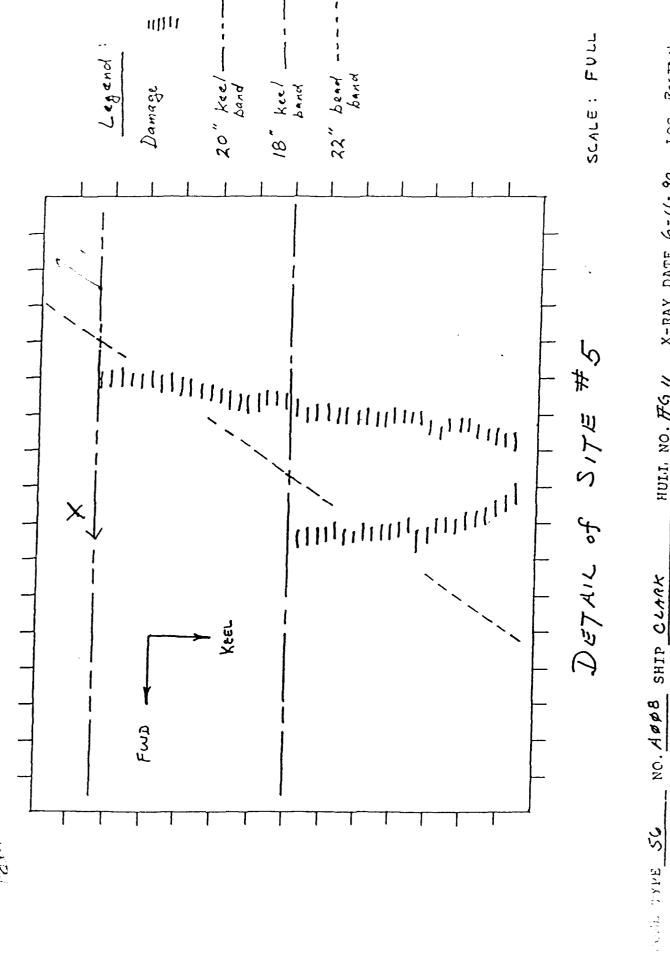
SRD DAMAGE	GE TEST	nss c	CLARK	S/N: A008	80	Load Factor: 50 lb/volt Date: 8/2/91 Page: 1/1
(X,Y)						
POSITION	RELA	RELATIVE INDENTATION		N (in.) FOR LOAD (V)	S	NOTES
(in., in.)	-1.0	-3.0	-4.0	-5.0	-6.0	
0'0	1.03	1.411	1.590	1.796		
0,+2	0.581	0.874	1.025	1.198	1.350	Approx. zero deformation
0,+4	0.965	1.271	1.437	1.556	1.581	ch has reached travel limit
0,+4	1.094	1.339	1.580	1.660	1.719	the second of th
9+'0	0.402	0.608	0.704	0.824	0.931	
0,+8	0.617	0.748	0.856	0.933	1.018	
0,-2	1.300	1.627	1.970	2.077	2.293	Approx. zero deformation at -1 0 volt
0,-4	1.122	1.589	1.783	2.006	2.228	
9-'0	2.382	2.539	2.611	2.946	3.065	
0,-8	2.641	2.955	3.089	3.329	3.575	
0,-10	3.090	3.480	3.668	3.890	4.122	
0,-12	1.787	1.905	1.954	2.045	2.130	
0,-3	1.321	1.425				Deflection appears four compound with a good of
						constant the companie will 0,-2 and 0,-4 positions.
0,0	1.129	1.468	1.657	1.879	2.078	Approx zero deformation
-2,0	0.316	0.568	0.716	0.893	1.068	
-4,0	2.517	2.708	2.837	2.945	3.057	
-6,0	2.218	2.441	2.578	2.708	2.832	
-8,0	1.100	1.324	1.444	1.585	1.723	
+2,0	1.586	1.964	2.196	2.402	2.597	Approx zero deformation
+4,0	0.405	0.708	0.890	1.100	1.305	
0'9+	3.307	3.818	3.864	4.011	4.170	
+8,0	0.000	0.278	0.440	0.600	0.769	HAI
						Point 0,0 is the edge of the 20" Keel hand (Port side Forward)
	1					measured 24 " from URL. X axis line through Y=0" is approved an
	1					above line from 7/31/91. Y axis line through X=0" is within 1" of
				-		

# APPENDIX B

SRD Radiographic Inspection Report — Forward Exposures for the USS Clark (FFG 11), Inspection Date: 6/11/90







HULL NO. #6 1/ X-RAY DATE 6-11.90 LOC. BOSTON

# APPENDIX F

AN R:BASE DATABASE APPLICATION FOR AN OPTICAL DISK DOCUMENT STORAGE AND RETRIEVAL (ODDSAR) SYSTEM



# D - R - A - F - T

ODD

An R:BASE Database Application for an Optical Disk Document Storage and Retrieval (ODDSAR) System

### CONTENTS

1.0	INTRODUCTION	:
2.0	USER'S MANUAL	4
	2.1 Database Description	
	2.2 Installation and Start-up	
	2.3 Updating the Database	
	2.4 Using the Database	
3.0	TECHNICAL DOCUMENTATION	11
	3.1 System Files	
	3.2 R:BASE Data Dictionary	
	3.3 R:BASE Form Layout	
	3.4 R:BASE Form Details	
	3.5 R:BASE Report Layout	
	3.6 R:BASE Report Details	29
	3.7 R:BASE Call Tree	_
	3.8 R:BASE File Cross Reference	31
	3.9 R:BASE Object Cross-Reference	32
	Variables	32
	Reports	32
	Forms	32
		42
	3.11 Ancillary Programs	42

# Appendices

- A Index Record Report B Master List Report

#### 1.0 INTRODUCTION

This document describes an index search and query system developed to assist users of an archival optical disk document storage and retrieval system (ODDSAR). The ODDSAR system was developed by Advance Inc., a NAVSEA contractor. It is capable of scanning, storing, indexing, displaying and printing archived documents. Search capabilities, however are limited to known data field entries. Documents are retrieved by entering an identification number or other identifiers into an index form. Document index records with matching fields are then displayed. There is no subject keyword search capability. The system also is unable to generate summary reports or lists of its contents. To overcome these shortcomings, we have implemented an application of :: BASE entitled ODD. R: BASE is a relational database development system published by Microrim, Inc. Documents found using the R:BASE system can be easily retrieved by number on the ODDSAR system. In addition, listings of various index fields can be generated for all or for a subset of the archived documents.

This documentation consists of a user's manual and technical documentation. The user's manual contains a description of the ODD system and directions for installing, updating, and using it. Explanations and examples are also given for use of the basic R:BASE query commands. The user is referred to the R:BASE documentation for instruction regarding advanced use of the R:BASE command language. The user is also assumed to have a basic understanding of DOS commands. The technical documentation section includes a full description of the system files, R:BASE data structures, form and report designs, and programs.

While the ODD system is developed for use in conjunction with a specific archival data index, it can also serve as a prototype for any indexing sytem using an ASCII formatted data file.

#### 2.0 USER'S MANUAL

In the following sections we will describe the ODD system and how to install and use it. We will use this convention when describing keyboard actions to be taken by the user:

Enter <ABC>

means that the user is to type the letters ABC and then press the Enter key. Do not type the < and > characters.

#### 2.1 Database Description

ODD is an R:BASE database. The system is a collection of data files and application programs. The database files are named ODD1.RBF, ODD2.RBF, and ODD3.RBF. These three files contain the data structure or schema, the data, and pre-sorted indices to key fields, respectively. R:BASE data is organized into collections called tables. A table consists of columns and rows. The rows correspond to records and the columns are attributes or fields within each record. The ODD database contains three tables. Only one, named MASTER, contains document data. Each row in the MASTER table represents one document in the ODDSAR system and contains the indexing data transferred from that system. The other tables in ODD are named FORMS and REPORTS and contain formatting data used by R:BASE for displaying screens and printed reports. Figure 1 illustrates the database structure.

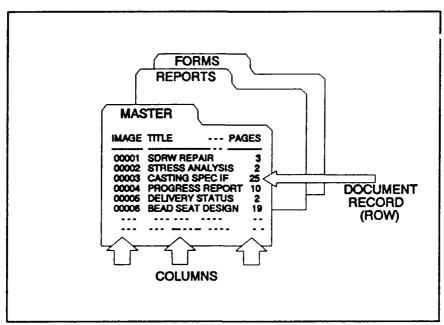


Figure 1. Database tables.

Columns in the MASTER table are named after the corresponding attributes in the ODDSAR system's database. A listing of the 30 columns is contained in section 3.1, the Data Dictionary. The meaning and significance of each column will not be discussed here, except to say that they are the same as specified in the ODDSAR system. A few key columns, however, are important to understanding and using the ODD system: The IMAGE column contains the unique document identification number. This is the most certain unique attribute to use for document retrieval. The PLATTER column contains the identification number of the optical disk which contains the document. This is useful because the ODDSAR system will search the default platter before determining that the file is not present. The TITLE is the document title, the best field to search for an indication of document content. The SORTDATE column is generated from the DOC DATE column, when the system is updated. difference is that SORTDATE is an R:BASE date data type. As such, it can be sorted as a date. DOC DATE is the actual text entered into the field on the ODDSAR system index record. DOC DATE is displayed or printed in forms and reports. SORTDATE should be used in commands requiring sorting on the date.

The R:BASE application program ODD.APX is automatically called by the startup file R:BASE.DAT when the R:BASE command is executed. This program provides a menu-based user interface. The most often needed operations are available via menu selections, although the full capability of the R:BASE language is available from the R:BASE command line prompt. In addition, for less frequent users, prompts for interactive execution of frequently used commands are available from the menu.

### 2.2 Installation and Start-up

The ODD database is designed to run on a Zenith Z-248 computer (PC-AT compatible) with a hard disk drive and Hewlett-Packard Laserjet Series II printer or equivalent. R:BASE for DOS system files must be installed as described in the R:BASE documentation. The directory containing the R:BASE files must be included in the DOS PATH, usually defined by a PATH statement in the AUTOEXEC.BAT file. A directory, C:\ODD, must also be created for the ODD files. As a minimum, 5 files must be present to run the system: The three database files, ODD1.RBF, ODD2.RBF, ODD3.RBF, the application program file ODD.APX, and startup file RBASE.DAT. Other files are necessary for full capability including updating the database and printing reports. See SYSTEM FILES, under the TECHNICAL DOCUMENTATION section for a complete list of the files.

After installing R:BASE for DOS and the ODD system files, change the default directory to C:\ODD and enter the command <R:BASE -R> to start the ODD system. (The -R parameter eliminates the R:BASE logo display.) The menu shown in Figure 2 will be displayed. From this menu, press the Esc key to exit the program and return control to the operating system. From sub-menus, press the Esc key to return to the Main menu. From an R> prompt within the ODD system, enter <EXIT> to return to the DOS operating system.

Figure 2. Main Menu

### 2.3 Updating the Database

Before using ODD, data must be transferred from the ODDSAR system. The vendor responsible for the ODDSAR system has provided a program, NAVCVTO1, for writing all document index data into an ASCII formatted, comma delimited file, MAIN.ASC, which is readable by R:BASE. Since the ODDSAR system currently resides in a different host computer than the ODD database, it is necessary to transfer the data file between computers. A program, SQUEEZE.EXE, is provided to eliminate redundant quote and space characters in the MAIN.ASC file. This program greatly reduces the size of the data file making possible a transfer via floppy disk medium. In the process, the data file is renamed to MAIN.DAT (MAIN.ASC is left unchanged). Since the MAIN.DAT file is still too large for transfer, it must be further compressed using PKZIP, a file compression utility published by PKWare. The compressed file is named MAIN.ZIP. After copying the MAIN.ZIP file to the \ODD subdirectory it must be uncompressed by entering the command <PKUNZIP MAIN>.

Start ODD by entering the command <R:BASE -R>. Select number 7, "Update Data from Btrieve Files" from the menu (Btrieve is the name of the database file format used by the ODDSAR system, a concatenation of B-tree and retrieve.) The output of a directory command is displayed to verify the existence and date of the MAIN.DAT file on drive C, and the user is prompted to enter a Y to continue or an A or B to read MAIN.DAT from a floppy drive. (Enter any other key to abort the process.) The database is then cleared of all data and new data is read from the MAIN.DAT file. Presorted indexes are generated for key fields. This is a time consuming process. A long beep will signal the end of processing. Do not interrupt processing by re-booting or powering off which could result in damage to the database. If interruption occurs by pressing a key and responding to a prompt to abort, the database should be re-initialized by repeating the "Update Data ..." Menu selection. After successfully updating the database, the large MAIN.DAT file can be deleted to reclaim the disk space.

In summary, the steps to update the database are as follows:

- A. On the ODDSAR system host computer, in the directory containing ODDSAR Btrieve files:

  Enter <NAVCVTO1> to generate MAIN.ASC file.

  Enter <SQUEEZE> to condense MAIN.ASC to MAIN.DAT

  Enter <PKZIP -U MAIN MAIN.DAT> to update the MAIN.ZIP file

  (If no MAIN.ZIP is present, use <PKZIP MAIN MAIN.DAT>)

  Copy MAIN.ZIP to a floppy disk
- B. On the ODD database host computer: Make \ODD the default directory. Copy the MAIN.ZIP file from the floppy disk to \ODD. Enter <PKUNZIP MAIN>
- C. Start the database by entering <R:BASE -R>. Select "Update Data from Btrieve Files" from the menu. Wait for a long beep to signal the end of processing. Delete MAIN.ZIP and MAIN.DAT files if free space is needed.

### 2.4 Using the Database

The most frequently needed functions for routine database query are available from the menu shown in Figure 2. Descriptions of the use of each of the 8 selections follow:

(1) Title Keyword Search.

The user is prompted to enter a key word or phrase. A list is displayed of the image number and title of all records where the word or phrase is found in the document title. After each page, the user is prompted to press Esc to quit, any key to continue. After the last page the user is prompted to press a key and returned to the main menu. The full index record for a document may then be viewed or printed by selecting (3) or (4) respectively, and entering the image number.

(2) Display by Title Keyword.

The user is prompted to enter a key word or phrase. The full document index screen is displayed for the first record found in which the document title contains the word or phrase. Additional records satisfying the search criteria are displayed by selecting Next or Previous from a menu bar and pressing the enter key. Alternatively, the F8 and F7 keys can be used to view the Next or Previous records, respectively. If there are many matching records, it may be more useful to use selection (1) first for information to narrow the search.

(3) Display by Image Number.

The user is prompted for an image number. The document index screen is displayed.

### Figure 3. Prompt Menu

### (4) Print by Image Number.

Similar to (3), but the index information is printed. An Example is shown in Appendix A.

#### (5) R:BASE Prompts.

A menu of several R:BASE commands is displayed as shown in Figure 3. Selection of a command results in a series of screens which prompt the user in building up a command to query the database. The user is referred to the "Using R:BASE prompt by example" section in Chapter 1 of the R:BASE for DOS User's Manual and the R:BASE command dictionary for the individual command documentation. The frequent user will find it worthwhile to learn the use of these commands and enter them directly from the keyboard as in selection (8).

#### (6) Print Master List.

This option prints a listing of often needed fields of all records. An example of the output format is shown in Appendix B. A subset of the data may be printed in the same format using the R:BASE PRINT command. The report name is BIGLIST. Use the PRINT prompt under selection (5), or enter the PRINT command directly. See selection (8).

### (7) Update Data from Btrieve Files.

This selection is used to initialize the database or to reload all the data. Because index data on the ODDSAR system can be changed, documents deleted, etc., an update consists of a complete replacement of the data rather than simply adding new records. This process is described in detail under the section "Updating the Database", above.

#### (8) R>

While the most frequent data query requirements can be anticipated and provided for in the application program, ad hoc inquiries often require a more flexible capability. The R:BASE command mode, indicated by an R> prompt, provides access to the extensive R:BASE command language. We refer the user to the R:BASE documentation for the use of this language. The following examples demonstrate how to use some of these commands within the context of

the ODD system. To return to the menu from the R> prompt, enter the command <RUN MENUS>.

Example 1.

Requirement: Create a list of all documents which have the ACTIVITY identified as "B.F. Goodrich", sorted by date.

Solution: This command will display the IMAGE, TITLE, and ACTIVITY fields from all records satisfying the search criteria:

SELECT IMAGE TITLE=50 ACTIVITY FROM MASTER SORTED BY SORTDATE WHERE ACTIVITY CONTAINS GOODRICH

Note that the CONTAINS operator is used in the WHERE clause. Because B.F. Goodrich can appear in the data as BF Goodrich, B F Goodrich, or BFGoodrich, the use of an equality to select the records would result in an incomplete list (with no warning). Note also that the records are sorted by SORTDATE and not DOC\_DATE. DOC\_DATE is the actual text data read in from the ascii file. SORTDATE is a date field created from DOC\_DATE during the update process specifically for use in sorting by date. The -50 suffix to TITLE sets the display width for the field. The default width is only 15 characters.

To print a list of these records using the same fields and format as the Master List printout, use the following command sequence:

COPY 16CPIX8.LJ LPT1
OUTPUT PRINTER
PRINT BIGLIST SORTED BY SORTDATE WHERE ACTIVITY CONTAINS GOODRICH
OUTPUT SCREEN
COPY RESET.LJ LPT1

Example 2.

Requirement: Find documents referring to nut plate repairs.

Solution: Use this command:

SELECT IMAGE TITLE-60 FROM MASTER WHERE TITLE CONTAINS "NUT" AND TITLE CONTAINS "REPAIR"

Note that two keyword/phrases are used instead of one. This is a good example of the limitation of Menu selection (1). The documents entitled "REPAIR 20 INCHES OF DAMAGED NUTPLATES ON THE SDRW" and "SDRW NUT PLATE REPAIRS" can not be found using one keyword, unless a broader net is cast and which might include unwanted documents. Note also the two spellings of nut plates. Searching for NUT picks up both with little risk of bringing in many unwanted titles. Multiple search criteria are more time consuming but less vulnerable to typographical errors in the data. Should we also list titles such as "REPLACE NUT PLATES"? Although R:BASE is capable of complex logic in multiple search criteria, it is safer in this kind of search to make several

simpler searches. However, if a broad search yields a manageable list, this might be better than too narrow a search. The compute command can be used to find out how many records satisfy your search criteria:

COMPUTE COUNT IMAGE IN MASTER WHERE TITLE CONTAINS NUT

will return the number of documents where the title contains "NUT". If there are not too many, then listing them out and visually scanning the list might be easier than trying to narrow the search.

Example 3.

Requirement: List all Naval messages dated 1990.

Solution: Enter this command:

SELECT IMAGE ACTIVITY TITLE-50 FROM MASTER WHERE CODE - MSG AND SORTDATE >- 1/1/90 AND SORTDATE < 1/1/91

Note again the use of SORTDATE for the document date.

In these examples we have used the full spelling of R:BASE keywords. In most cases the first three letters are sufficient. Example 1 would then read:

SEL IMAGE TITLE-50 ACTIVITY FRO MASTER SOR BY SORTDATE WHE ACTIVITY CON GOODRICH

Note that text to be compared must be in quotes if it contains any spaces, for example:

... WHE ACTIVITY CON "B F GOODRICH"

### 3.0 TECHNICAL DOCUMENTATION

This section contains lists and descriptions of the ODD system files and the R:BASE data structures, which are of interest to the user, as well as form and report generation data and program source codes of interest to programmers.

### 3.1 System Files

File Name	
	R:BASE database schema.
ODD2.RBF	R:BASE data file.
ODD3.RBF	R:BASE index file.
RBASE.DAT	R:BASE startup file. Contains R:BASE command to run ODD.APX
ODD.APX	R:BASE application program. Manages menu selections.
ODD.APP	R:BASE source code for ODD.APX
NAVCVT01.EX	E Program on ODDSAR host to create MAIN.ASC from btrieve files
NAVCVT01.C	C source code for NAVCVT01.EXE
MAIN.ASC	ASCII file created by NAVCVT01.EXE containing all the data.
MAIN.DAT	Version of MAIN.ASC created by SQUEEZE.EXE - used to update ODD
	data.
MAIN.ZIP	Version of MAIN.DAT created by the PKZIP compression utility -
	needed to transfer the data file by floppy disk.
SQUEEZE.EXE	Creates MAIN.DAT from MAIN.ASC by removing redundant characters.
SQUEEZE, PAS	Pascal source code for SQUEEZE.EXE
16CPIX8.LJ	Laserjet printer command file - used for formatting Master List
	report.
RESET.LJ	Laserjet printer command file to reset the printer.

# 3.2 R:BASE Data Dictionary

Table: MASTER

C	olumn def	initions			
#	Name	Type	Len	gth	Key
1	SYSTEM	TEXT	21	characters	yes
2	CODE	TEXT	21	characters	_
3	TOPIC	TEXT	21	characters	
4	NUMBER	TEXT	21	characters	
5	DTG	TEXT	21	characters	
6	DOC_DATE	TEXT	21	characters	
7	ACTIVITY	TEXT	21	characters	
8	AUTHOR	TEXT	21	characters	
9	SERIAL	TEXT	21	characters	
10	CTRACTOR	TEXT	21	characters	
11	YEAR	TEXT	9	characters	
12	DOCUMENT	TEXT	21	characters	
13	TYPE	TEXT	21	characters	
14	AMOUNT	TEXT	13	characters	
15	MODIF	TEXT	8	characters	
16	REF	TEXT	21	characters	
17	ORIG_COD	TEXT	11	characters	
18	ORIG_DAT	TEXT	9	characters	
19	REV	TEXT	21	characters	
20	PURPOSE	NOTE			
21	COMMENTS	NOTE			
22	TITLE	NOTE			
23	ACCESS	TEXT	2	characters	
24	SCANDATE	TEXT	9	characters	
25	CONTRACT	TEXT	21	characters	
26	DRAWINGS	TEXT	1	characters	
27	IMAGE	INTEGER			yes
28	PAGES	INTEGER			
29	SORTDATE	DATE			yes
30	PLATTER	TEXT	4	characters	

Current number of rows: (approximately 8000)

Table: FORMS

Column definitions

# Name Type Length Key 1 FNAME TEXT 8 characters yes 2 FDATA TEXT 46 characters

Current number of rows: 69

Table: REPORTS

Column definitions

# Name Type Length Key
1 RNAME TEXT 8 characters yes
2 RDATA TEXT 80 characters

Current number of rows: 95

### 3.3 R:BASE Form Layout

Note: Space occupied by data	fields in fo	orm and report	layouts is	indicated by
brackets containing periods.	Ten, five,	two, and one	character f	ields, for
example, are shown as				

[.....], [...], [], ],

respectively.

Form: master Tables: MASTER

<<	IMAGE	$[\ldots ]$	PLATTER	$[ \dots ]$	>>
----	-------	-------------	---------	-------------	----

[		
SYSTEM:		TOPIC:
NUMBER:		DATE:
[] ACTIVITY:	[] AUTHOR:	[] SERIAL NO:
	[]	[]
		] YEAR:[]] AMOUNT:[]
DRAWINGS?(Y/N): ] ORIG CODE: [] PURPOSE:	MOD: [] ORIG DATE: []	REF: [] REV: []
COMMENTS:		•
TITLE:		]
]		SCAN DATE:[]

#		Name	L	ocation			Туре	Length
1.			-					
1:	M	ASTER						
	1	System	(	7, 1	-	7,21)	TEXT	21
	2	CODE	(	7,24	-	7,44)	TEXT	21
	3	TOPIC	(	7,50	-	7,70)	TEXT	21
	4	NUMBER	(	9, 1	-	9,21)	TEXT	21
	5	DTG	(	9,24	-	9,44)	TEXT	21
	6	DOC DATE	(	9,50	-	9,70)	TEXT	21
	7	ACTIVITY	(	11, 1	-	11,21)	TEXT	21
	8	AUTHOR	(	11,24	-	11,44)	TEXT	21
	9	SERIAL	(	11,50	-	11,70)	TEXT	21
]	10	CTRACTOR	(	13,44	-	13,64)	TEXT	21
]	l 1	YEAR	(	13,71	-	13,79)	TEXT	9

S/N: A008
USS CLARK
SRD DAMAGE TEST

Load Factor: 50.0 lb/volt Date: 7/31/91 Page: 2/2		NOTES			Indentation profile diameter measurements are	approximate.																								
Load Fac	DIAMETER (in.)		200110111		n w		7-3/4	na Da	g	na	4-3/4	4-3/4	7	na	eu	na	na Da	eu C												
en en	INDENTATION DIAM	100	5.470	2/2	5-3/4	9	7.1/2	8-3/4	na	na	5-1/2	5-1/2	9	na	na	na	na	na												-
USS CLARK	NOITATION		27/64	34/64	45/64	56/64	1-4/64	1-17/64	1-32/64	1-44/64	20/64	30/64	40/64	53/64	57/64	1-8/64	1-24/64	1-40/64											+	
E TEST	LOAD	2	3.00	4.00	5.00	6.00	7.00	8.00	9.00	10.00	3.00	4.00	5.00	9.00	7.00	8.00	9.00	10.00												
SRD DAMAGE	POSITION	(in., In.)	0,-3.0								0.7-10																			

12	DOCUMENT	(	14,11 -	14,31)	TEXT	21
13	TYPE	(	14,37 -	14,57)	TEXT	21
14	AMOUNT	(	14,67 -	14,79)	TEXT	13
15	MODIF	(	16,40 -	16,47)	TEXT	8
16	REF	(	16,56 -	16,76)	TEXT	21
17	ORIG COD	(	17,12 -	17,22)	TEXT	11
18	ORIG_DAT	(	17,40 -	17,48)	TEXT	9
19	REV _	(	17,56 -	17,76)	TEXT	21
20	TITLE	(	21, 1 -	21,79)	NOTE	79
21	ACCESS	(	22,15 -	22,16)	TEXT	2
22	SCANDATE	(	22,71 -	22,79)	TEXT	9
23	CONTRACT	(	13,11 -	13,31)	TEXT	21
24	DRAWINGS	(	16,17 -	16,17)	TEXT	1
25	IMAGE	(	3,30 -	3,36)	INTEGER	7
26	PLATTER	(	3,47 -	3,50)	TEXT	4
27	PAGES	(	22,33 -	22,42)	INTEGER	10
28	<b>PURPOSE</b>	(	18,11 -	18,79)	NOTE	69
29	COMMENTS	(	19,11 -	19,79)	NOTE	69
30	TITLE	(	5, 1 -	5,78)	NOTE	78

### 3.4 R:BASE Form Details

### Form Characteristics

-----

Primary Table Name : MASTER Dependent Tables : NONE Number of Screens : 1 Read Password : NONE Modify Password : NONE Clear Screen Before: YES Clear Screen After : YES Status Line : YES : DEFAULT Colors Use with ENTER : NO

ENTER Prompt Line : N/A . n/A : N/A ENTER Options Use with EDIT

EDIT Prompt Line : Document Index Screen EDIT Options : Next Previous Quit

#### Table Characteristics -----

Table Number : 1 of 1 Columns/Variables : 30 Expressions : 0 Add New Rows : NO : NO Replace Rows Automatic Replace : N/A Delete Rows : NO Restrict Delete : N/A Many Side of 1:Many : N/A Region Defined : NO

### Field Characteristics for: SYSTEM -----

Location : ( 7, 1 - 7,21) Length : 21

Lines : 1

Data Type : TEXT 21 Storage Type : COLUMN : NO Allow Enter Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

#### Field Characteristics for: CODE

				- <b>-</b> -	
Location	:	(	7,24	-	7,44)

Length : 21
Lines : 1
Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

### Field Characteristics for: TOPIC

-----

Location : (7,50 - 7,70)
Length : 21
Lines : 1
Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO Restrict Changes: N/A Display Default : NO

Default Value : N/A : DEFAULT Colors

### Field Characteristics for: NUMBER

Location : ( 9, 1 - 9,21)

Length : 21

Lines : 1

Data Type : TEXT 21

Storage Type : COLUMN

Allow Enter : NO

Allow Edit : NO Restrict Changes: N/A Display Default : NO

Default Value : N/A : DEFAULT Colors

#### Field Characteristics for: DTG

Location : ( 9,24 - 9,44)

Length : 21

Lines : 1

Data Type : TEXT 21

Storage Type : COLUMN

Allow Enter : NO

Allow Edit : NO Restrict Changes : N/A Display Default : NO Default Value : N/A Colors : DEFAULT

### Field Characteristics for: DOC\_DATE ------

Location : (9,50 - 9,70)
Length : 21
Lines : 1
Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

### Field Characteristics for: ACTIVITY

-----

Location : (11, 1 - 11,21)
Length : 21
Lines : 1
Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO Restrict Changes : N/A Display Default : NO

Default Value : N/A Colors : DEFAULT

### Field Characteristics for: AUTHOR

.......... Location : (11,24 - 11,44) Length : 21

Lines : 1

Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO Allow Enter : NO Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

### Field Characteristics for: SERIAL

.........

Location : (11,50 - 11,70)
Length : 21

: 1 Lines

Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

### Field Characteristics for: CTRACTOR

...... Location : (13,44 - 13,64) Length : 21

Lines : 1

Data Type : TEXT 21 Storage Type : COLUMN Allow Enter : NO Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

# Field Characteristics for: YEAR .......... Location : (13,71 - 13,79)

Length : 9 Lines : 1

Data Type : TEXT Storage Type : COLUMN Allow Enter : NO Allow Enter Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

# Field Characteristics for: DOCUMENT

------

Location : (14,11 - 14,31) Length : 21

: 1 Lines Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO Allow Edit : NO

Restrict Changes: N/A Display Default : NO Default Value : N/A

Colors : DEFAULT

### Field Characteristics for: TYPE

-----Location : (14,37 - 14,57) Length : 21

Lines : 1

Data Type : TEXT 21 Storage Type : COLUMN Allow Enter : NO Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A : DEFAULT Colors

### Field Characteristics for: AMOUNT

	_	-	-	-				-	-	-	-	-	-	-	-	-	-
Location	:		(		14	4	, 6	7		-			1	4	,	7	9)

: 13 Length

Lines : 1
Data Type : TEXT 13
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO Restrict Changes : N/A Display Default : NO Default Value : N/A Colors : DEFAULT

### Field Characteristics for: MODIF

-----

Location : (16,40 - 16,47)
Length : 8

Length : 6
Lines : 1
Data Type : TEXT
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO Restrict Changes: N/A Display Default : NO

Default Value : N/A Colors : DEFAULT

# Field Characteristics for: REF

......

Location : (16,56 - 16,76)
Length : 21
Lines : 1
Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

```
Field Characteristics for: ORIG COD
......
Location : (17,12 - 17,22)
Length : 11
Lines : 1
Data Type : TEXT 11
Storage Type : COLUMN
Allow Enter : NO
Allow Edit
                 : NO
Restrict Changes: N/A
```

Display Default : NO Default Value : N/A Colors

: DEFAULT

### Field Characteristics for: ORIG\_DAT ......

Location : (17,40 - 17,48) Length : 9 Lines : 1

Data Type : TEXT
Storage Type : COLUMN
Allow Enter : NO : NO Allow Edit Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

### Field Characteristics for: REV

......

Location : (17,56 - 17,76) Length : 21 Lines : 1

Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO Allow Edit : NO Restrict Changes: N/A Display Default : NO Default Value : N/A Colors : DEFAULT

```
Field Characteristics for: TITLE
Location : (21, 1 - 21,79)
Length : 79
Lines : 1
Data Type : NOTE
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO
 Restrict Changes: N/A
 Display Default : NO
Default Value : N/A Colors : DEFAULT
 Field Characteristics for: ACCESS
 ______
Location : (22,15 - 22,16)
Length : 2
Lines : 1
Data Type : TEXT 2
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO
Restrict Changes: N/A
Display Default : NO
Default Value : N/A
Colors
                      : DEFAULT
Field Characteristics for: SCANDATE
 .......
Location : (22,71 - 22,79)
Length : 9
Lines : 1
Data Type : TEXT 9
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO
Restrict Changes: N/A
Display Default : NO
Default Value : N/A Colors : DEFAULT
```

```
Field Characteristics for: CONTRACT
Location : (13,11 - 13,31)
Length : 21
Lines : 1
Data Type : TEXT 21
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO
 Restrict Changes: N/A
 Display Default : NO
 Default Value : N/A
 Colors
                      : DEFAULT
 Field Characteristics for: DRAWINGS
 ------
Location : ( 16,17 - 16,17)

Length : 1

Lines : 1

Data Type : TEXT 1

Storage Type : COLUMN

Allow Enter : NO

Allow Edit : NO
Restrict Changes: N/A
Display Default : NO
Default Value : N/A
Colors
                        : DEFAULT
Field Characteristics for: IMAGE
-----
Location : (3,30 - 3,36)
Length : 7
Lines : 1
Data Type : INTEGER
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO
Restrict Changes: N/A
Display Default : NO
```

Default Value : N/A
Colors : BLACK on WHITE

#### Field Characteristics for: PLATTER ..........

Location	•	(	3,47	_	3,50)
TOCACTOIL	•	`	3,47		3,30,

Length : 4
Lines : 1
Data Type : TEXT
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO Restrict Changes: N/A Display Default : NO

Default Value : N/A Colors : BLACK on WHITE

### Field Characteristics for: PAGES

------

Location : (22,33 - 22,42)
Length : 10
Lines : 1

Data Type : INTEGER
Storage Type : COLUMN
Allow Enter : YES : YES Allow Edit Restrict Changes: YES Display Default : NO Default Value : N/A Colors : DEFAULT

### Field Characteristics for: PURPOSE

------

Location : (18,11 - 18,79)
Length : 69
Lines : 1

Lines : 1 Data Type : NOTE
Storage Type : COLUMN
Allow Enter : YES Allow Edit : YES

Restrict Changes: YES Display Default : NO

Default Value : N/A Colors : DEFAULT

### Field Characteristics for: COMMENTS

......

Location : (19,11 - 19,79)
Length : 69
Lines : 1
Data Type : NOTE
Storage Type : COLUMN
Allow Enter : YES
Allow Edit : YES Restrict Changes : YES

Display Default : NO

Default Value : N/A Colors : DEFAULT

### Field Characteristics for: TITLE

-----

Location : (5,1-5,78)
Length : 78
Lines : 1
Data Type : NOTE
Storage Type : COLUMN
Allow Enter : NO
Allow Edit : NO

Restrict Changes: N/A Display Default : NO Default Value : N/A
Colors : BLACK on WHITE

# 5.5 R:BASE Report Layout

Report: IMAGE Table: MASTER

See Appendix A for visual layout - too wide for this format.

#	Name	Section	Location	Туре	Length
1	IMAGE	D	( 2, 15 - 2, 24)	INTEGER	10
2	PLATTER	D	( 2, 41 - 2, 44)	TEXT	4
3	VDATE	D	( 2, 62 - 2, 69)	DATE	8
4	TITLE	D	(3, 10 - 4, 77)	NOTE	2x68
5	SYSTEM	D	(7, 15 - 7, 35)	TEXT	21
6	CODE	D	( 9, 15 - 9, 35)	TEXT	21
7	TOPIC	D	(11, 15 - 11, 35)	TEXT	21
8	NUMBER	D	(13, 15 - 13, 35)	TEXT	21
9	DTG	D	(15, 15 - 15, 35)	TEXT	21
10	DOC_DATE	D	(17, 15 - 17, 35)	TEXT	21
11	ACTIVITY	D	(19, 15 - 19, 35)	TEXT	21
12	AUTHOR	D	(21, 15 - 21, 35)	TEXT	21
13	SERIAL	D	(23, 15 - 23, 35)	TEXT	21
14	CONTRACT	D	(25, 15 - 25, 35)	TEXT	21
15	CTRACTOR	D	(27, 15 - 27, 35)	TEXT	21
16	YEAR	D	(29, 15 - 29, 23)	TEXT	9
17	DOCUMENT	D	(31, 15 - 31, 35)	TEXT	21
18	TYPE	D	(33, 15 - 33, 35)	TEXT	21
19	AMOUNT	D	(35, 15 - 35, 27)	TEXT	13
20	DRAWINGS	D	(37, 15 - 37, 15)	TEXT	1
21	MODIF	D	(39, 15 - 39, 22)	TEXT	8
22	REF	D	(41, 15 - 41, 35)	TEXT	21
23	ORIG_COD	D	(43, 15 - 43, 25)	TEXT	11
24	ORIG_DAT	D	(45, 15 - 45, 23)	TEXT	9
25	rev _	D	(47, 15 - 47, 35)	TEXT	21
26	PURPOSE	D	(49, 12 - 50, 77)	NOTE	2x66
27	COMMENTS	D	(51, 13 - 52, 77)	NOTE	2x65
28	TITLE	D	(53, 10 - 54, 77)	NOTE	2x68
29	ACCESS	D	(55, 17 - 55, 18)	TEXT	2
30	IMAGE	D	(55, 31 - 55, 40)	INTEGER	10
31	SCANDATE	D	(55, 56 - 55, 64)	TEXT	9

Report: BIGLIST Table/View: MASTER

Note: See Appendix B for an example of this report. It is in a wide format for landscape printing.

-	-	1
v	ы.	П
7/		4

#	Name	Section	Location	Type	Length
1	SYSTEM	D	(4, 4 - 4, 12)	TEXT	9
2	CODE	D	(4, 14 - *, 24)	TEXT	*x11
3	NUMBER	D	(4, 26 - *, 40)	TEXT	*x15
4	DOC DATE	D	(4, 42 - 4, 49)	TEXT	8
5	ACTIVITY	D	(4, 51 - *, 62)	TEXT	*x12
6	TITLE	D	(4, 64 - *,136)	NOTE	*x73
7	TOPIC	D	( 4,138 - *,151)	TEXT	*x14
8	PLATTER	D	(4,153 - 4,156)	TEXT	4
9	IMAGE	D	(4,158 - 4,164)	INTEGER	7
10	PAGES	D	(4,166 - 4,170)	INTEGER	5
11	VDATE	PF	(5,155 - 5,162)	DATE	8
12	VPAGE	PF	(5,170 - 5,174)	INTEGER	5
13	VTOTAL	RF	(9,161 - 9,170)	INTEGER	10

### 3.6 R:BASE Report Details

Report: IMAGE Table/View: MASTER

Configuration Form Feeds

Lines Per Page : 60 Before RH: NO
Remove Initial CR : NO After RH : NO
Manual Break Reset : NO Before RF: NO
Clear Vars After Printing: YES After RF : YES

Page Footer Line Number : 0

## Located Fields (Columns and Variables):

Name	Section	Туре	Var/Col	Location
IMAGE	D	INTEGER	COLUMN	(2, 15 - 2, 24)
PLATTER	D	TEXT	COLUMN	(2, 41 - 2, 44)
VDATE	D	DATE	VARIABLE	( 2, 62 - 2, 69)
TITLE	D	NOTE	COLUMN	(3, 10 - 4, 77)
SYSTEM	D	TEXT	COLUMN	(7, 15 - 7, 35)
CODE	D	TEXT	COLUMN	( 9, 15 - 9, 35)
TOPIC	D	TEXT	COLUMN	(11, 15 - 11, 35)
NUMBER	D	TEXT	COLUMN	(13, 15 - 13, 35)
DTG	D	TEXT	COLUMN	(15, 15 - 15, 35)
DOC_DATE	D	TEXT	COLUMN	(17, 15 - 17, 35)
ACTIVITY	D	TEXT	COLUMN	(19, 15 - 19, 35)
AUTHOR	D	TEXT	COLUMN	(21, 15 - 21, 35)
SERIAL	D	TEXT	COLUMN	(23, 15 - 23, 35)
CONTRACT	D	TEXT	COLUMN	(25, 15 - 25, 35)
CTRACTOR	D	TEXT	COLUMN	(27, 15 - 27, 35)
YEAR	D	TEXT	COLUMN	(29, 15 - 29, 23)
DOCUMENT	D	TEXT	COLUMN	(31, 15 - 31, 35)
TYPE	D	TEXT	COLUMN	(33, 15 - 33, 35)
AMOUNT	D	TEXT	COLUMN	(35, 15 - 35, 27)
DRAWINGS	D	TEXT	COLUMN	(37, 15 - 37, 15)
MODIF	D	TEXT	COLUMN	(39, 15 - 39, 22)
REF	D	TEXT	COLUMN	(41, 15 - 41, 35)
ORIG_COD	D	TEXT	COLUMN	(43, 15 - 43, 25)
ORIG_DAT	D	TEXT	COLUMN	(45, 15 - 45, 23)
REV	D	TEXT	COLUMN	(47, 15 - 47, 35)
PURPOSE	D	NOTE	COLUMN	(49, 12 - 50, 77)
COMMENTS	D	NOTE	COLUMN	(51, 13 - 52, 77)
TITLE	D	NOTE	COLUMN	(53, 10 - 54, 77)
ACCESS	D	TEXT	COLUMN	(55, 17 - 55, 18)
IMAGE	D	INTEGER	COLUMN	(55, 31 - 55, 40)
SCANDATE	D	TEXT	COLUMN	(55, 56 - 55, 64)

### Expressions:

Name Type Var/Col Expression

VDATE DATE VARIABLE .#DATE

Report: BIGLIST Table/View: MASTER

Configuration Form Feeds

Lines Per Page : 59 Before RH: NO
Remove Initial CR : YES After RH : NO
Manual Break Reset : NO Before RF: NO
Clear Vars After Printing: YES After RF : NO
Page Footer Line Number : 0

Located Fields (Columns and Variables):

Name	Section	Туре	Var/Col	Location
SYSTEM	D	TEXT	COLUMN	(4, 4 - 4, 12)
CODE	D	TEXT	COLUMN	( 4, 14 - *, 24)
NUMBER	D	TEXT	COLUMN	(4, 26 - *, 40)
DOC_DATE	D	TEXT	COLUMN	(4, 42 - 4, 49)
ACTIVITY	D	TEXT	COLUMN	(4, 51 - *, 62)
TITLE	D	NOTE	COLUMN	( 4, 64 - *,136)
TOPIC	D	TEXT	COLUMN	( 4,138 - *,151)
PLATTER	D	TEXT	COLUMN	( 4,153 - 4,156)
IMAGE	D	INTEGER	COLUMN	(4,158 - 4,164)
PAGES	D	INTEGER	COLUMN	( 4,166 - 4,170)
VDATE	PF	DATE	VARIABLE	(5,155 - 5,162)
VPAGE	PF	INTEGER	VARIABLE	(5,170 - 5,174)
VTOTAL	RF	INTEGER	VARIABLE	( 9,161 - 9,170)

#### Expressions:

Name	Туре	Var/Col	Expression
VDATE	DATE	VARIABLE	.#DATE
VTIME	TIME	VARIABLE	.#TIME
<b>VPAGE</b>	INTEGER	VARIABLE	.#PAGE
VTOTAL	INTEGER	VARIABLE	SUM OF PAGES

# 3.7 R:BASE Call Tree

Calling Line Number	Called File
0	RUN ODD IN ODD.APX
30	RUN SELKEY IN ODD.Apx
34	RUN FINDKEY IN ODD. Apx
38	RUN FINDIMG IN ODD.Apx
42	RUN PRINTIMG IN ODD.APX
84	RUN LONGLIST IN ODD.APX
87	RUN UPDATE IN ODD.Apx
200	RUN DEFTAB IN ODD.APX
91	RUN CMDMODE IN ODD.Apx
97	EXIT

# 3.8 R:BASE File Cross Reference

Called Block or File Name	In File	Cmd	Calling File	Line Numbers
.VFILE		LOA	ODD.Apx	202
16CPIX8.LJ		COP	ODD.APX	67 308
CMDMODE	ODD.Apx	RUN	ODD.APX	91
DEFTAB	ODD . APX	RUN	xqA. QQO	200
FINDIMG	ODD.Apx	RUN	ODD.APX	38
FINDKEY	ODD.Apx	RUN	ODD.APX	34
LONGLIST	ODD . APX	RUN	ODD.APX	84
LPT1		COP	ODD.APX	67 69 308 313
Main	ODD.Apx	СНО	ODD.APX	25
ODD		OPE	ODD.APX	16
PRINTIMG	ODD.APX	RUN	ODD.APX	42
PROMPT	ODD.Apx	CHO	ODD.APX	51
RESET.LJ		COP	ODD.APX	69 313
SELKEY	ODD.Apx	RUN	ODD.APX	30
UPDATE	ODD.Apx	RUN	ODD.APX	87

# 3.9 R:BASE Object Cross-Reference

## Variables

Name	Block	Line Numbers Where Referenced
PW	TINDING	161
EV	FINDIMG	151
EV	FINDKEY	125
EV	ODD	18
EV	PRINTIMG	166
EV	SELKEY	138
LEVEL2	ODD	47 48 49 79
PICK1	ODD	22 25 26 29 33 37 41 45 83 86 90 96
PICK2	ODD	46 51 52 55 59 64 65 74 80
Q	UPDATE	177 178 187 189 195 196. 219
VFILE	UPDATE	193 194 196 196, 202,
VIMG	FINDIMG	149 150. 155
VIMG	PRINTIMG	163 165. 172
VTAB	UPDATE	209
VWORD	FINDKEY	120 123 124.
VWORD	SELKEY	133 136 137.

# Reports

Name	Block	Line Numbers Where Referenced
BIGLIST	LONGLIST	311
IMAGE	PRINTIMG	165

### Forms

Name	Block	Line Numbers Where Referenced
MASTER	FINDIMG	150
MASTER	FINDKEY	124

### 3.10 Diagrammed Source Listing - ODD.APP

```
| COMMAND: ODD |
______
   1 $COMMAND
   2
      ODD
   3
      SET QUOTES-NULL
   4
       SET QUOTES-"
   5
       SET DELIMIT-NULL
       SET DELIMIT-","
   7
       SET SEMI-NULL
       SET SEMI-";"
   9
       SET PLUS=NULL
  10
       SET PLUS="+"
       SET SINGLE-NULL
  11
  12
       SET SINGLE-"?"
  13
       SET MANY-NULL
  14
      SET MANY="*"
  15
       SET MESSAGE OFF
  16
       OPEN ODD
  17
       SET ERROR MESSAGE OFF
  18
       SET ERR VAR EV
  19
       SET COLOR BACKGRND BLACK
  20
       SET COLOR FOREGRND WHITE
  21
       SET BELL OFF
       SET VAR PICK1 INT
  23 > LABEL STARTAPP
  24
       CLS
      CHOOSE PICK1 FROM Main IN ODD.Apx
  26 - IF PICK1 EQ 0 THEN
  27 < GOTO ENDAPP
  28 - ENDIF
  29 - IF PICK1 EQ 1 THEN
  30 :
        RUN SELKEY IN ODD.Apx
  31 <
         GOTO STARTAPP
  32 - ENDIF
  33 - IF PICK1 EQ 2 THEN
  34 :
        RUN FINDKEY IN ODD.Apx
  35 <
        GOTO STARTAPP
  36 - ENDIF
  37 - IF PICK1 EQ 3 THEN
        RUN FINDIMG IN ODD.Apx
  39 <
        GOTO STARTAPP
  40 - ENDIF
  41 - IF PICK1 EQ 4 THEN
 42: RUN PRINTIMG IN ODD.APX
 43 < GOTO STARTAPP
 44 - ENDIF
 45 - IF PICK1 EQ 5 THEN
 46 : SET VAR PICK2 TEXT
 47 : SET VAR LEVEL2 INT
```

```
48 : SET VAR LEVEL2 TO 0
49 : - WHILE LEVEL2 EQ 0 THEN
50 : | CLS
51 : |
         CHOOSE PICK2 FROM PROMPT IN ODD.Apx
52 : | - IF PICK2 EQ "ESC" THEN
53 : # :
           BREAK
54 : | - ENDIF
55 : | - IF PICK2 EQ "Edit" THEN
56 : | :
          CLS
           SET NULL " "
56:1:
57 : [ :
         PROMPT EDIT
58 : | - ENDI
59 : | - IF PICK2 EQ "Select" THEN
60 : | :
         CLS
60 : | :
         SET NULL " "
61 : | :
         PROMPT SELECT
62 : | : WRI "Press a key.";
62 : 1 :
         PAU
62 : | : SET NULL -0-
63 : | - ENDIF
64 : | - IF PICK2 EQ "Browse" THEN
64 : | : PROMPT BRO
64 : | - ENDI
65 : | - IF PICK2 EQ "Print" THEN
66 : | : SET NULL " "
67 : | :
          COPY 16CPIX8.LJ LPT1
68 : | :
          PROMPT PRINT
69 : | :
          COPY RESET.LJ LPT1
70 : | : SET NULL -0-
71 : | : BEEP
72 : | :
          BEEP
73 : | - ENDI
74 : | - IF PICK2 EQ "Other" THEN
75 : 1 :
         PROMPT
76 : | :
          WRI "Press a key.";
76 : | :
          PAU
77 : | - ENDI
78 : - ENDWHILE
79: CLEAR LEVEL2
80 :
      CLEAR PICK2
81 < GOTO STARTAPP
82 - ENDIF
83 - IF PICK1 EQ 6 THEN
84: RUN LONGLIST IN ODD.APX
85 - ENDIF
86 - IF PICK1 EQ 7 THEN
87 :
      RUN update
                  IN ODD.Apx
88 <
      GOTO STARTAPP
89 - ENDIF
90 - IF PICK1 EQ 8 THEN
91: RUN CMDMODE IN ODD.Apx
92 < GOTO STARTAPP
```

93 - ENDIF

94 < GOTO STARTAPP

95 > LABEL ENDAPP

96 CLEAR PICK1 97 ^ EXIT

98

```
| MENU: Main |
  99
       $MENU
 100
       Main
       COLUMN Document Index Database
 101
 102
       Title Keyword Search
 103
       Display by Title Keyword
 104
       Display by Image Number
 105
       Print by Image Number
 106
       R:BASE Prompts
 107
       Print Master List
 108
       Update Data from Btrieve Files
 109
       R>
| MENU: Prompt |
 110 ŞMENU
 111 Prompt
 112
       ROW Rbase Command Prompts
 113
       Edit
 114 Select
 115 Print
 116 Browse
 117
       Other
| COMMAND: FINDKEY |
-----
 118 $COMMAND
 119 FINDKEY
 120
       SET V VWORD TEXT
 121
       CLS
 122
       SET NULL " "
 123
       FILL VWORD USI "Key word or phrase: "
       EDIT USI MASTER WHE TITLE CON . VWORD
 124
 125 - IF EV NE O THEN
 126 :
        WRI "No match found. Press a key."
 127 :
        PAU
 128 - ENDI
       SET NULL -0-
 129
 130
```

```
| COMMAND: SELKEY |
. . . . . . . . . . . . . . . . . . .
  131 $COMMAND
  132
       SELKEY
  133
        SET V VWORD TEXT
  134
        CLS
  135
        SET NULL " "
        FILL VWORD USI "Key word or phrase: "
  136
        SEL IMAGE TITLE-65 FRO MASTER WHE TITLE CON . VWORD ORD BY IMAGE
  137
  138 - IF EV NE O THEN
  139: WRI "No match found."
  140 - ENDI
  141
        WRI "Press a key.";
  141
        PAU
  142
        SET NULL -0-
  143
| COMMAND: FINDING |
------
 144 $COMMAND
 145
       FINDIMG
 146 SET VIMG INT
      SET NULL " "
 147
 148
       CLS
 149
      FILL VIMG USI "Image number: "
 150 EDI USI MASTER WHE IMAGE - .VIMG
 151 - IF EV NE O THEN
 152: WRI "Not found. Press a key."
 153 : PAU
 154 - ENDI
 155
     CLE VIMG
 156
       SET NULL -0-
 157
| COMMAND: PRINTING |
.......
 158
       $COMMAND
 159
       PRINTIMG
 160
       SET VIMG INT
 161
       SET NULL " "
 162
       CLS
 163
       FILL VIMG USI "Image number: "
 164
       OUT PRI
       PRINT IMAGE WHE IMAGE - . VIMG
 165
 166 - IF EV NE O THEN
 167 : OUT SCR
 168 :
         WRI "Not found. Press a key."
 169 :
         PAU
 170 - ENDI
 171
       OUT SCR
 172
       CLE VIMG
```

```
SET NULL -0-
 173
  174
| COMMAND: UPDATE |
175
       $COMMAND
 176
       UPDATE
 177
       CLE Q
 178
       SET V Q TEXT
 179
       CLS
 180
       WRI " "
 181
       WRI "This selection deletes all data and loads update
       WRI "from a disk file named MAIN.DAT."
 182
 183
       WRI "An updated MAIN.DAT file must be present."
 184
       WRI " "
       WRI "Checking..."
 185
 186
       DIR MAIN.DAT
 187
       FIL Q=0 USI +
 188
       "Enter Y to continue, N to cancel, or drive letter for MAIN.DAT: "
 189 - IF Q - "Y" OR Q - "A" OR Q - "B" THEN
 190:
         CLS
 191:
         SET MESS ON
 192 :
         SET ERR MESS ON
 193 :
         SET V VFILE TEXT
 194 :
         SET V VFILE - "MAIN.DAT"
 195 : - IF Q EQ "A" OR Q EQ "B" THEN
           SET V VFILE = (.Q + ":\" + .VFILE)
 197 : - ENDI
 198 :
         REM TAB MASTER
 199:
         PACK
 200 :
         RUN DEFTAB IN ODD.APX
 201 :
         SET QUOTES-^
 202 :
        LOAD MASTER FROM .VFILE AS ASCII
 203 :
         SET QUOTES-"
 204 :
        RED SORTDATE TO DATE IN MASTER
 205 :
        BUI KEY FOR SYSTEM IN MASTER
206:
        BUI KEY FOR IMAGE IN MASTER
207 :
        BUI KEY FOR SORTDATE IN MASTER
208 :
        LIST TAB
 209 :
        CLE VTAB
210 :
        BEEP
211 :
        BEEP
212 :
        BEEP
213 :
        BEEP
214 :
        WRI "Finished. Press a key."
215 + ELSE
216: WRI "Cancelled. Press a key."
217 - ENDI
218
      PAU
219
      CLE Q
220
      SET MESS OFF
221
      SET ERR MESS OFF
```

```
| COMMAND: DEFTAB |
______
        $COMMAND
 223
 224
        DEFTAB
 225
        DEFINE
 226
        COLUMNS
 227
        SYSTEM
                  TEXT
                              21
                              21
 228
                  TEXT
        CODE
 229
                  TEXT
                              21
        TOPIC
                              21
 230
        NUMBER
                  TEXT
 231
        DTG
                  TEXT
                              21
                              21
 232
        DOC DATE
                  TEXT
 233
        ACTIVITY
                  TEXT
                              21
                              21
 234
        AUTHOR
                  TEXT
                              21
 235
        SERIAL
                  TEXT
 236
        CTRACTOR
                  TEXT
                              21
                               9
 237
        YEAR
                  TEXT
 238
       DOCUMENT
                  TEXT
                              21
                              21
 239
       TYPE
                  TEXT
                  TEXT
                              13
 240
       AMOUNT
                  TEXT
                               8
 241
       MODIF
                              21
 242
       REF
                  TEXT
                              11
 243
       ORIG COD
                  TEXT
 244
       ORIG DAT
                  TEXT
                               9
                              21
 245
                  TEXT
       REV
 246
       PURPOSE
                  NOTE
 247
       COMMENTS
                  NOTE
 248
                  NOTE
       TITLE
                               2
 249
       ACCESS
                  TEXT
                               9
 250
       SCANDATE
                  TEXT
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 251
       CONTRACT
                              21
                               1
 252
                  TEXT
       DRAWINGS
                               4
 253
       PLATTER
                  TEXT
 254
       IMAGE
                  INTEGER
 255
                  INTEGER
       PAGES
 256
       SORTDATE - (SGET('DOC_DATE',8,1)) TEXT
 257
       TABLES
 258
       MASTER
                 WITH +
 259
       SYSTEM
 260
       CODE
                 +
       TOPIC
 261
 262
       NUMBER
                 +
 263
       DTG
 264
       DOC DATE +
 265
       ACTIVITY +
 266
       AUTHOR
 267
       SERIAL
 268
       CTRACTOR +
 269
       YEAR
 270
       DOCUMENT +
```

```
271
       TYPE
       AMOUNT
  272
  273
       MODIF
                +
  274
       REF
  275
       ORIG COD +
  276
       ORIG_DAT +
       REV
  277
 278
       PURPOSE +
 279
       COMMENTS +
 280
       TITLE +
 281
       ACCESS +
 282
       SCANDATE +
 283
       CONTRACT +
 284
       DRAWINGS +
 285
       PLATTER +
 286
       IMAGE
 287
       PAGES
 288
       SORTDATE
 289
       PASSWORDS
 290
       RPW FOR MASTER IS NONE
 291
       MPW FOR MASTER IS NONE
 292
       END
 293
| COMMAND: CMDMODE |
------
 294
       $COMMAND
 295
       CMDMODE
 296
       CLS
 297
       SET NULL -0-
 298
       SET QUOTES-"
 299
       SET MESS ON
 300
       SET ERR MESS ON
 301
       INPUT TERM
 302
```

```
| COMMAND: LONGLIST |
______
 303 $COMMAND
 304 LONGLIST
 305
      CLS
      WRI "Very long list. Fill Laserjet paper tray."
 306
 307
      WRI "Press a key when ready. ";
 307
       PAU
      COPY 16CPIX8.LJ LPT1
 308
 309
      SET NULL " "
 310
      OUT PRI
 311
      PRINT BIGLIST SOR BY SYSTEM SORTDATE
 312
      OUT SCR
      COPY RESET.LJ LPT1
 313
      SET NULL -0-
 314
 315
      BEEP
 316
      BEEP
 317
      BEEP
 318
      BEEP
 319
      WRI "Finished. Press a key."
 320
      PAU
```

# 3.11 Ancillary Programs

```
File:
            SQUEEZE, PAS
            Turbo Pascal Ver. 6.0
Language:
Purpose:
            Removes leading and trailing blanks from text fields. Removes
            text delimiters (^) from empty text fields, leaving the field
            delimiters (,).
Input file: MAIN.ASC
Output file: MAIN.DAT
PROGRAM Squeeze;
Uses CRT;
VAR
  InFile, OutFile : Text;
  InCount, OutCount: longint;
  InQuotes, IOok: boolean;
  ch, prevch: char;
  j, perct, SpaceCt, CharCount: integer;
PROCEDURE Init; (Initialize variables, open files)
BEGIN
  Textcolor(White);
  InCount := 0;
  OutCount :- 0;
  CharCount :- 0;
  SpaceCt :- 0;
  InQuotes := false;
  IOok := true;
  ($I-)
 Assign(InFile, 'MAIN.ASC');
 Reset(InFile);
 IF IOResult ⋄ 0 THEN
 BEGIN
   Writeln('ERROR - MAIN.ASC file not found.');
   IOok := false;
   Exit;
 END:
 Assign(OutFile, 'MAIN.DAT');
 Rewrite(OutFile);
 IF IOResult ⋄ 0 THEN
 BEGIN
   Writeln('ERROR opening MAIN.DAT for output.');
   Close(InFile);
   IOok := false;
   Exit:
 END;
```

```
{$I+}
END;
PROCEDURE ProcessFiles; {read input file 1 char at a time,}
                        {test input, write output.}
 Textcolor(White);
 WHILE NOT EOF(Infile) DO
 BEGIN
   Read(Infile, ch);
   Inc(InCount);
   IF InQuotes THEN Inc(CharCount);
   CASE ch OF
      '^': BEGIN
            IF InQuotes THEN
            BEGIN
              InQuotes := false;
              BEGIN
                Write(OutFile,'^');
                Inc(OutCount);
              END:
            END
            ELSE
            BEGIN
              InQuotes := true;
              CharCount := 0;
            END;
            SpaceCt := 0;
          END:
    ' ' : Inc(SpaceCt);
   ELSE
     BEGIN
       IF InQuotes THEN
       BEGIN
         IF CharCount = SpaceCt + 1 THEN
         BEGIN
           Write(OutFile,'^');
           Inc(OutCount);
        END;
         IF SpaceCt > 0 THEN
        BEGIN
          FOR j := 1 TO SpaceCt DO
          BEGIN
            Write(Outfile,' ');
            Inc(OutCount);
          END;
          SpaceCt := 0;
        END;
      END;
      Write(OutFile, ch);
      Inc(OutCount);
    END;
```

```
END; (CASE)
  END;
END;
BEGIN (Main program)
  ClrScr;
  Writeln;
  Init;
  IF IOok THEN
  BEGIN
    ProcessFiles;
    Close(OutFile);
    Close(InFile);
   Writeln(InCount,' bytes read.');
   Writeln(OutCount,' bytes written.');
    IF InCount > 0 THEN
    BEGIN
      Perct := Round(100*(1 - OutCount/InCount));
      Writeln(Perct,' % reduction.');
    END;
  END;
END.
```

File: 16CPIX8.LJ

Language: Hewlett-Packard Laserjet Command Language

Purpose: This file is copied to the printer port to set up a HP Laserjet series II printer for printing the Master List as follows:

Printer reset.

Landscape orientation

16.67 cpi font

8 lines per inch (60 per page)

Load macro to print shaded bars on alternate lines

Execute macro at start of each page

Note: In the following code, <CR> indicates a carriage return/line feed

sequence (ASCII 013/010). ^[ indicates an escape character (ASCII

027).

^[&f1X^[&f4X

```
^[E^[&110^[(s16.67H^[&18D^[&f6X^[&f1Y^[&f0X^[&18D^[*p0x0Y<CR><CR>
 [*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR>CR>
^[*p0X^[*c3150a38b3g2P<CR>CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
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^[*p0X^[*c3150a38b3g2P<CR>CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR>CR>
^[*p0X^[*c3150a38b3g2P<C ><CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR>CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
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^[*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
`[*p0X^[*c3150a38b3g2P<CR>CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR><CR>
^[*p0X^[*c3150a38b3g2P<CR>CR>
^[*p0X^[*c3150a38b3g2P<CR>CR>
^[*p0X^[*c3150a38b3g2P<CR>
^[*p0X^[*c3150a38b3g2P<CR>
```

File: RESET.LJ

Language: Hewlett-Packard Laserjet Command Language

Purpose: Reset the HP Laserjet printer to its default configuration (ejects

last page if still in printer memory).

Note: Code (not shown) simply consists of an escape (ASCII 027)

character followed by the letter E.

TOPIC PLAT IMAGE PAGES	SDRW OF THE DANIELS REPAIR 0002 17970	_	0002 17957	0002 17954	X-RAY 0002 17945	TEST 0004 67277	X-RAY 0004 66774	REPAIR 0004 66810		REPAIR 0004 6	DESPHUS DANIELS REPAIR 0002 1	CERTIFICATION 0002	KEPOKI 0002 17963 1 SURE PLATE SEPARATED FROM HULL PRODUCT 0103 51287 2	5010	WERHAUL SCHEDULED REPAIR 0103 54535 1		RETURN DOME FAIRNESS REPAIR 0004 68982	PARA 8-3.7.6.11 NOTE RELATING TO EPOXY 0004 66812 2	9EDATE 0000 1700/ 7	0002 17001	0000 17085	FPAIRS REPAIR	X-RAY 0002 18019	CT 0103 51789	REPAIR 0002 18010 1	•	0002 18015	0001 7127	REPAIR 0001 7123	NE FLAU REPAIR 0002 18013	REPAIR 0002 1	REPAIR 0002 18064	DOD 2000 10001	REPAIR 0002 1800.8	0002 18040 1	18049	18042	0005	18057	18073	18076	PRESSURIZATION 0002 18079	MENTS REPAIR 0103 51291	SDRW REPAIR 0103 51290	DOME PRODUCT 0103	ALIGNMENT REPAIR 0002 18124	CHECK PRODUCT 0002 18101	ALIGNMENT CHECK INSPECTION 0002 18100	E ALIGNMENT CHECK PRODUCT 0002 '	IP PRODUCT 0002 1	USS HORNE HARDWARE 0002 18117 6
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# APPENDIX G

ON-SITE INSPECTION OF THE BEAD NECK REGIONS OF THE AN/SQQ 23 SONAR RUBBER DOMES (SRDS) INSTALLED ON THE USS DAHLGREN, DDG-43, NORFOLK, VA 21 DECEMBER 1990



Subj: ON-SITE INSPECTION OF THE BEAD NECK REGIONS OF THE AN/SQQ 23 SONAR RUBBER DOMES (SRDS) INSTALLED ON THE USS DAHLGREN, DDG-43, NORFOLK, VA, 21 DECEMBER 1990

Ref: (a) BFGoodrich TESR #1 (USS DAHLGREN) dated 11-12 December 1990

- (b) "Initial Findings of Failure Analysis on Sonar Rubber Dome (SRD) S/N B022, ex-USS PRATT, Aft Dome," NRL Letter Report 6120-609 of 30 September 1988
- (c) "Trim Mold Fill Plates on Molds S/N 80S1486, 79S1445 and 81S1714," BFGoodrich ECP 6037-31, dated 6 October 1989
- 1. On 19 December 1990, NAVSEA Code 06U1D asked NRL to carry out the subject inspection to clarify the bead neck damage reported in Ref. (a). The inspection was conducted by C. Beachem on 21 December 1990.
- 2. There are two AN/SQQ 23 SRDs installed on the USS DAHLGREN. SRD S/N A066 is the forward one and SRD S/N A058 is the aft SRD. Both domes were installed in March 1978 and by December 1990 have seen 153 months of ser ce. They received the standard x-ray inspection in December 1990. SRD A066 has two forward and two aft damage sites, while SRD A058 has one forward and two aft damage sites. The x-ray report is attached as Appendix A.
- 3. The BFGoodrich report stated that cracks were present along the bead necks of both domes (Ref. (a)). We confirmed the findings of that report. We also found bead neck cracks in the area behind the baffles. While we found exposed wire cords along most cracks, we could find no broken cords. Figure 1 shows a typical crack in the forward dome. The bead neck of the aft dome had been repaired at some time in the past; in this inspection we observed cracks through the repairs, as shown by Figures 2a and 2b.
- 4. Catastrophic failure through the bead neck can occur with little or no indications. The area has received little attention until recently, when NRL became concerned after the failure of the SRD on the USS PRATT (Ref. (b)). Since our work on the SRD from the USS PRATT, the manufacturer has found and corrected a problem in the manufacturing procedure (Ref. (c)). NRL is focusing attention on the bead neck area, not only because domes can fail there, but also because cracking in these areas may be an entry point for water into the main SRD structure, leading to corrosion weakening of the structural wires in the forward and aft quadrants of SRDs where most of the structural damage occurs.

5. We recommend that both SRDs be replaced, since we do not have the ability now to predict their lifetimes. Repair of the bead neck of these domes, which exhibit advanced bead neck damage, will likely have little or no effect in prolonging the service life of these domes. The presence of structural cord damage should also be considered in making decisions about these two SPDs



Figure 1
Bead neck crack, typical, at 70° Starboard on fwd dome.



Figure 2a Close up of damage through the repair area, on aft dome.

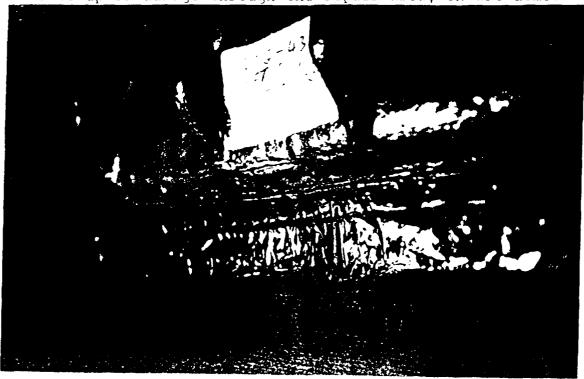


Figure .b.
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# APPENDIX H

RADIOGRAPHIC INSPECTION AND SUBSEQUENT EVALUATION OF THE DAMAGED BEAD NECK REGION ON THE A063 KEEL DOME, FORMERLY INSTALLED ON THE USS SIMPSON



From: James B. Nagode

To: Chester F. Poranski, Jr.

Subj: RADIOGRAPHIC INSPECTION AND SUBSEQUENT EVALUATION OF THE DAMAGED BEAD NECK REGION ON THE A063 KEEL DOME, FORMERLY INSTALLED ON THE USS SIMPSON.

Ref: (a) USS SIMPSON SRD INSPECTION TRIP, NRL Ltr 6120-03, 15 January 1991.

(b) BEAD NECK DAMAGE IN AN/SQS 56 SRD S/N A063: EX-USS SIMPSON SRD - INSPECTION TRIP #2: (AT BFGOODRICH, JACKSONVILLE, FL, 30 JANUARY 1991), NRL Ltr 6120-59, 1 March 1991.

#### REVIEW

The keel dome removed from the USS Simpson experienced two prior inspections where the following discoveries were made:

TRIP #1 (Ref a): The AS-1 filler appeared to be spongy and inhomogeneous in the area of the bead coinciding with water leakage. Futher probing of this area met with little or no resistance. Voids were present running parallel to the bead neck, containing rust and mineral deposits.

TRIP #2 (Ref b): With bead clamps removed, kinked and broken bead wrap cords were seen along the bead neck. The bead neck itself appeared compressed, allowing a channel to form. A water entry point was established on the inside of the SRD.

#### **RADIOGRAPHY**

- 1. At least two bead wrap plies are affected directly. The two outer-most plies are kinked along the port side of the SRD.
- 2. At least one bead wrap ply (outer-most) is broken for an undetermined length, and possibly in multiple locations.
- 3. Excessive rust is present in the bead neck, at the very least the port side is affected. The potential is high that the entire bead neck has been contaminated with sea water (in view of the leak).
- 4. From a standard radiographic inspection (10/90), moderate structural damage is known to exist in the 22" bead band, and 20" keel band, both port and starboard.

#### DISCUSSION

Since structural damage exists in the bead neck region, it is difficult to believe that anything but a structural repair will yield acceptable results. In addition, sea water contamination has already predicted a shorter lifetime for this SRD. Even if a structural repair is implemented, the SRD's service life would be further limited by the onset of corrosion fatigue.

On the other hand, a structural repair presents some unique problems in the bead neck region. The first problem to note should be one of attachment and adhesion of ply. The curved and channelled profile of the bead neck would require specialized hardware and tooling to force the structural ply to conform. Once in place, it is doubtful that the ply would not delaminate over time. Since adhesion is paramount for the repair to be successful, a lack thereof would preclude any load transfer. Even if an effective structural repair could be made, the forces of the bead clamps against the repair ply, combined with mechanical flexure in the bead neck region, would likely cause a delamination to occur.

BF Goodrich engineers have expressed their doubts in being able to complete a successful structural repair of the bead neck region. In light of these data provided, we would not recommend that the A063 SRD be retained for service.

# APPENDIX I

PROPOSED CHANGES TO SONAR DOME RUBBER WINDOW (SDRW) INSPECTION INTERVALS



Subj: PROPOSED CHANGES TO SONAR DOME RUBBER WINDOW (SDRW)
INSPECTION INTERVALS

Ref: (a) "SDRW Radiograph Evaluation and Recommendations," NRL Ltr 6120-120, Feb 20, 1986.

- (b) "Revised NRL Recommendations for Repaired Sonar Dome Rubber Windows (SDRW)," NRL Ltr 6120-53, Feb 11, 1987.
- (c) "Revisions to NRL Technical Data Requirements for the Radiographic Inspection of Sonar Dome Rubber Windows (SDRW)," NRL Ltr 6120-2, Jan 1990.
- (d) "Assessment of Sonar Dome Rubber Window (SDRW) Corrective Actions," NRL Ltr 6120-238, Jun 6, 1989.
- Appendix (1) Atlantic Fleet SDRW Inspection Schedule
  (2) Pacific Fleet SDRW Inspection Schedule

#### 1. Summary.

The purpose of this report is to propose an increase in the interval between radiographic inspections of 6-ply SDRWs, which are a significant sub-set of the SDRWs in service. The proposed change will result in cost savings due to the decreased inspection frequency. Additionally, it will be possible to meet the inspection requirement coincident with scheduled ship overhauls (ROH), eliminating the need for more costly waterborne (pierside) inspection methods. Such a liberalization is justified by a statistical analysis of cumulative inspection results.

#### 2. Current inspection intervals.

The current radiographic inspection intervals are 12 months for SDRWs with known splice damage and 24 months for all others. In References (a) and (b) we recommended these intervals and set forth criteria for determining actions to be taken in response to inspection results. According to our scheme, each SDRW has a current "recommendation" based upon our most recent damage assessment. The recommendation attribute is maintained in our SDRW database along with a "status" attribute. The values of these attributes are used to provide an inspection due date for planning purposes and to flag ships which are overdue. Periodic reports are provided to the Fleet commands from this database to

advise them of SDRW status, inspection due dates, and inspection priorities.

## Drydock vs. pierside inspection.

Radiographic SDRW inspections can be accomplished using either drydock or pierside methods. Initially, standard radiography techniques were implemented in the drydock setting only. As the extent of the SDRW failure crisis became apparent, NRL developed a waterborne method to enable us to monitor those domes which could not be replaced for mission or logistic reasons. Also, we needed to assess the entire SDRW equipped fleet to identify all the damaged domes and accumulate data for failure analysis.

Pierside inspection capability has been important in the management of the SDRW failure problem. But the method is expensive. Divers are required to place film on the outside surface of the SDRW. Time limits apply to the divers and also to x-ray technicians working in the pressurized dome. The radiographic data is also inferior to that obtained in drydock, due to the less optimal inspection geometry. Poor quality data can also result from difficulties maintaining close film contact and preventing film movement underwater.

In Reference (c), we provided revised inspection coverage requirements for pierside inspections to lower the cost. Based upon an analysis of inspection data, coverage of areas with low damage probability was eliminated. But costs remain higher than drydock inspections and compliance with recommended schedules continues to be less than desired.

#### 4. Improved SDRWs.

A number of engineering changes have been implemented in response to the SDRW failure problem. The changes seek to block the entry and migration of seawater to the SDRW splice, to strengthen the splice, and to redistribute the stress gradients present at splice edges. Table 1 chronicles milestones in the implementation of these improvements. Table 2 lists the current distribution of splice improvements in the fleet.

In Reference (c) we considered the question of how long inspection data must be accumulated before it can be shown that SDRW design changes are effective. A statistical procedure was selected for detecting a significant difference between two populations. The procedure was used to analyze the performance of the various improved sub-populations of SDRWs. We found that the best division between improved and unimproved SDRWs was based on the change from 5-ply to 6-ply construction. Although no 6-ply SDRWs had sustained any damage, operating times and numbers of inspections were too low at the time to satisfy the test for

significance. We recommended that the question be revisited after accumulating more data.

We have updated our statistical analysis of cumulative inspection results based upon data as of December 1991. In spite of one occurrence of damage in an improved SDRW (USS Cushing), the conditions to demonstrate lower risk of damage in improved SDRWs have now been satisfied.

Proposed inspection intervals.

We propose that undamaged 6-ply SDRWs be inspected during regular overhaul (ROH) availabilities. We understand that this interval is currently in the vicinity of 42 months for DD963 class destroyers. We propose that a limit of 48 months be used for due date purposes. The pierside inspection option should be used as necessary to prevent exceeding the 48 month limit. 5-ply SDRWs and damaged 6-ply SDRWs should continue to be inspected on 24 month and 12 month schedules, as in paragraph 2. Appendices 1 and 2 provide inspection schedules based upon the proposed intervals for the Atlantic and Pacific fleets, respectively.

6. Recommendations.

We recommend the following:

- (a) NAVSEA adopt the SDRW inspection intervals proposed in paragraph 5.
- (b) NRL incorporate the proposed intervals into the SDRW database system, making the necessary changes to data, structure, and program codes.
- (c) NRL provide a more detailed version of this report, containing an explanation of the statistical method and data used for our determination.

C. F. Poranski

Materials Chemistry Branch

Code 6120

Chemistry Division

# APPENDIX J

MECHANICAL, PHYSICAL, AND THERMAL PROPERTIES OF AN ELECTRICALLY CONDUCTIVE HEAT RESISTANT POLYMER



# MECHANICAL, PHYSICAL, AND THERMAL PROPERTIES OF AN ELECTRICALLY CONDUCTIVE HEAT RESISTANT POLYMER

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## Synopsis

The mechanical, physical, and thermal properties of an electrically conductive, heat resistant polymer prepared by thermal cure of a completely conjugated acetylene-terminated resin, N,N'-(1,3phenylenedimethylidene)bis(3-ethynylaniline), are reported. Properties of the isomer N,N'-(1,4phenylenedimethylidene)bis(3-ethynylaniline) are also reported. The precursors are processed into materials with conductivities ranging from insulating to 10<sup>+2</sup> S/cm. Materials processed from 150 °C to 400 °C in an oxygen-free environment show similar mechanical properties with tensile strengths of approximately 45 MPa, tensile moduli near 5 GPa, and strain to break values near 1.0 %. These materials all have conductivities below 10<sup>-9</sup> S/cm. Materials processed above 400 °C show substantial improvements in electrical conductivity and mechanical properties. Specimens processed 100 hours at 800 °C in an oxygen-free environment, for example, have a room temperature electrical conductivity in excess of 10<sup>+2</sup> S/cm, a tensile strength as high as 120 MPa, a tensile modulus of approximately 30 GPa, and a strain to break of 0.4 %. These materials are both thermally and oxidatively stable. A material with an initial conductivity of 3 x 10<sup>+1</sup> S/cm, for example, has an onset of oxidative degradation at 525 °C and only an 18 % weight loss at 900 °C in an inert atmosphere. Due to their high temperature and oxidative stability in aggressive environments these polymers should find applications as stable electrically conductive materials as well as heat resistant resins for carbon-carbon and carbon-glass composites.

#### INTRODUCTION

Our approach to the development of electrically conducting organic materials that have high stability in aggressive environments is based on the synthesis of polymers with extended  $\pi$ -electron delocalization. The precursors are soluble and meltable, and completely conjugated with reactive end groups that are capable of being polymerized into conjugated/aromatic linking groups to form the polymer structure. Although detailed structural information is difficult to obtain, ideally, the resultant structure is expected to be a completely conjugated polymer network. Due to the extended conjugation, these materials are intrinsically conducting without the necessity of adding reactive dopants that would render the material sensitive to oxygen, water, and/or heat. With our approach, highly stable conductivity has been achieved. For example, a material with a conductivity of 3 S/cm has shown no change in conductivity after

1000 hours in boiling water. Similarly, exposure of the material to 500 °C for 500 hours in an oxygen-free atmosphere gave no change in the room temperature conductivity.

Earlier papers have described the synthesis, characterization, and polymerization of acetylene-terminated and ortho-dinitrile terminated precursors, as well as their conversion into environmentally stable conductive polymers<sup>(1-3)</sup>. In general, the precursors, are thermally polymerized as a melt at 120-300 °C for a few hours and then postcured in an air environment at 300 °C for 50 hours. Actually, this is a much longer cure time than required; for example, the acetylene groups are completely reacted after a few hours at 250-300 °C. Other polymerizable groups under investigation, however, are much less reactive and longer cures are required. For comparative purposes, all the materials have been cured under identical conditions. Following the 300 °C cure, the polymers are insulators (< 10<sup>-12</sup> S/cm); conductivity develops on further processing at higher temperatures in an inert atmosphere. Although extended processing conditions have been used as a means of standardizing the treatment of these materials, it is important to emphasize that these conditions are not necessarily optimal. Long processing times are used to minimize the effects of heating and cooling cycles relative to the isothermal stage, to establish thermal equilibrium in the furnace, to help define thermal stability, and to minimize small time/temperature errors. Faster processing is possible.

Interest in acetylene-terminated resins (ATR) and precursors is high and a large number of systems have been synthesized and studied by the Air Force, NASA, Hughes, Gulf Research, National Starch, and others. Several reviews are available in this area<sup>(4-6)</sup>. However, with the exception of some work by Rossi et al.<sup>(7-9)</sup>, in which the structure of the central aromatic ring was extensively varied and the interior conjugation extended in the precursor, this is the only ATR system that has been successfully processed at high temperatures into conductive polymers.

The present report details the mechanical, physical, and thermal properties of a conductive polymer prepared from an all-meta acetylene-terminated resin precursor. The processibility of this isomer is excellent<sup>(3)</sup>, allowing for easy preparation of mechanical test specimens with minimal or no damage encountered as specimens are processed at higher temperatures. Additional property data are also presented for polymers prepared from the meta-para-meta isomer of this precursor.

#### **EXPERIMENTAL**

### Processing

The synthesis and characterization of the all-meta (mmm) acetylene-terminated resin, N,N'-(1,3-phenylenedimethylidene)bis(3-ethynylaniline), and the meta-para-meta (mpm) isomer, N,N'-(1,4-phenylenedimethylidene)bis(3-ethynylaniline), have been previously described<sup>(1-3)</sup>.

$$HC \equiv C$$
  $CH = N$   $C \equiv CH$ 

mmm isomer

$$HC \equiv C$$
  $CH = N$   $C \equiv CH$ 

mpm isomer

Unless otherwise noted, the mmm precursor was thermally cured in an air environment for 2 hours at 120 °C, 1 hour at 145 °C, 2 hours at 150 °C, 1 hour at 200 °C, 1 hour at 250 °C, and 50 hours at 300 °C. Physical and mechanical test specimens were cured for 24 hours at 155 °C in silicone molds. Specimens cured above 155 °C were free-standing. The mpm precursor was thermally cured in an air environment for 2 hours at 150 °C, 1 hour at 200 °C, 1 hour at 250 °C, and 50 hours at 300 °C.

A standardized heating procedure was used to process the samples into conductive polymers. Following the 300 °C cure in air, samples were successively heated in an argon atmosphere to 400 °C for 100 hours, 500 °C for 100 hours, and so on in 100 °C increments to 800 °C. Property measurements were obtained following each 100 hour period. Heating and cooling rates were 0.5 °C/min.

#### Mechanical Measurements

Tensile measurements at room temperature were carried out with an Instron 4206 instrument at a crosshead speed of 0.508 mm/min. Tensile strength measurements were made with a minimum of three ASTM D638 type V specimens at a grip separation of 1.0 in. Tensile moduli were obtained on rectangular or dogbone shaped specimens with a clip-on strain gage mounted at a gage length of 1.0 in. The end tabs for all specimens were potted in Epon 828/Versamid 140 (1:1 by weight) to allow firm gripping without

any premature fracture in the grips. Strain values were calculated from tensile strength and modulus data assuming completely brittle failure.

Dynamic mechanical measurements were obtained with a Dupont 982/1090 system at a heating rate of 2 °C/min and nitrogen flow rate of 100 ml/min. A length correction of 1.00 was measured for a sample processed to 300 °C, and 1.50 for a sample processed to 600 °C. Oscillation amplitude was set at 0.05 mm, and a value of 0.33 was assumed for Poisson's ratio. Dynamic property measurements were also obtained with an Imass Dynastat Mark II instrument.

## Thermogravimetric and Thermomechanical Analysis

Thermogravimetric analysis (TGA) was performed on approximately 4 mg samples with a Perkin-Elmer TGA 7 instrument at a heating rate of 10 °C/min. Thermal stability was determined in an argon atmosphere and oxidative stability in an air environment at purge flow rates of 100 ml/min. Powdered samples were used to standardize the level of oxidation during heating.

Thermal expansion measurements were performed on cylindrical specimens 3-6 mm in diameter and 6-12 mm in height with a Perkin-Elmer TMA 7 instrument using a quartz expansion probe. Measurements were made over the temperature range 45-55 °C at a heating/cooling rate of 1 °C/min and an applied force of 1 mN.

#### **Density Measurements**

Bulk density measurements were performed by immersion of specimens in vacuum degassed, deionized water at 24.0 °C using a 25 ml pycnometer. A minimum of five measurements were recorded for each sample cure temperature with several specimens having weights ranging from 0.3 to 0.7 g.

### **RESULTS AND DISCUSSION**

#### Mechanical Properties

Tensile measurements for the mmm ATR materials are shown in Figure 1 for specimens processed at temperatures up to 800 °C. The high process temperatures used to increase electrical conductivity results in a significant increase in tensile strength and modulus. Two distinct property regimes are evident. Below 400-500 °C, mechanical properties are relatively insensitive to the process temperature. Tensile strengths of approximately 45 MPa, tensile moduli of 4-5 GPa, and strain to break values near

1.0% are obtained. Processing above 400 °C, however, markedly changes the mechanical properties. For example, following 100 hours at 800 °C, a tensile strength as high as 120 MPa, a tensile modulus of approximately 30 GPa, and a strain to break near 0.4% are obtained. These results, along with evers to be discussed later, indicate that the polymer network is being extended and further crosslinked during exposure to high process temperatures. As less stable bonds are broken more stable bonds are formed, extending the conjugated network and  $\pi$ -band delocalization that are accompanied by improved mechanical properties and, presumably, increased electrical conductivity.

The precision in the tensile strength data, represented by one standard deviation in Figure 1, clearly indicates that improvement in the structural properties is occurring during high temperature processing. Similar error bars for tensile moduli measurements are not shown due to the improved repeatability of these measurements for individual specimens as well as the limited number of samples tested. Failure strain values were not directly measured but instead were calculated from tensile strength and modulus data assuming completely brittle failure in the type V specimens.

Preliminary studies of the influence of uniaxial stress on electrical conductivity have also been undertaken. Results for two specimens with conductivities of 1.1 S/cm and  $1.1x10^{-2}$  S/cm, respectively, have indicated that the conductivity remains constant up to the point of failure with no influence of repeated stress cycling. Provided the electrical conductivity is intrinsically associated with the network, conceivably the distortion of bond lengths and angles accompanying deformation would influence the electrical behavior. Indeed, one might expect an increase or decrease in conductivity depending on whether strain improved or distorted the  $\pi$ -bond alignment. The observed insensitivity of conductivity to strain may simply be due to the small magnitude of the strain in these highly crosslinked, rigid materials.

Dynamic moduli for the mmm ATR materials are shown in Figure 2. The mechanical response was measured for a sample processed at 300 °C for 50 hours followed by processing to 600 °C for 60 hours. The relative stability of the modulus at high temperatures is evident following each processing period. Although a few apparent transitions are shown following processing at 600 °C, a detailed analysis of this behavior has not been made.

Similar measurements on the mpm ATR materials have also been performed. Figure 3 shows data from several preparations and repeated runs of the mpm materials processed at 300 °C for 50 hours and 600 °C for 60 hours. The reproducibility shown is very good and the results indicate that a significant retention of the modulus is obtained at high temperatures for both ATR materials.

Although the dynamic mechanical response is quite similar for both isomers after the initial 300 °C cure, processing the materials to 600 °C results in significant differences in this behavior. The mmm isomer shows a higher room temperature modulus than the mpm isomer (17 vs 14 GPa) that decreases more rapidly at higher temperatures (8.5 vs 10.5 GPa at 500 °C, for example). At present the reasons for these differences are unknown; further work in this area is necessary before any substantive arguments concerning this behavior can be presented.

It should be emphasized that data collected with the DuPont 982 instrument is based on the natural resonance frequency of the sample, which changes with temperature. In these studies, the frequency varied from 32 Hz at room temperature to 14 Hz at 500 °C. In order to assess how these frequency changes might affect the modulus measurements, a Dynastat Mark II instrument was used to measure the dynamic properties of mmm ATR materials. These experiments were performed on a sample processed to 400 °C for 100 hours over a range of frequencies and amplitudes at temperatures up to 200 °C (Table 1). Only a modest frequency dependence of the dynamic modulus is observed, consistent with an absence of significant viscoelastic motions in these highly crosslinked materials (the loss tangent is typically less than 0.01). The variation in frequency associated with the dynamic mechanical results should therefore exert a negligible effect on the measured moduli.

Comparisons of dynamic modulus data obtained with both instruments for the mmm ATR materials and tensile moduli data previously discussed show similar values. At room temperature, the storage modulus measured by DMA and the Dynastat are about the same, approximately 4 GPa. Tensile modulus measurements, as previously mentioned, are within the 4-6 GPa range for materials processed up to 400 °C. For samples processed to 600 °C for 60 hours, the dynamic modulus is near 14 GPa, while tensile moduli for samples processed to 600 °C for 100 hours are 18-19 GPa.

## Thermal and Oxidative Stability

Thermal stability of the mmm ATR material in an inert atmosphere is illustrated in Figure 4. Both weight loss (Figure 4) and electrical conductivity (Figure 5) increase asymptotically with higher processing temperatures, reaching values of 13.3 % and 113 S/cm at 800 °C, respectively. A corresponding increase in linear shrinkage is associated with this weight loss<sup>(2)</sup>. Samples processed at these temperatures have shown excellent integrity with no cracking or failure observed during processing.

Figures 6 and 7 show TGA oxidative and thermal stability of mmm ATR materials (powdered) in air and nitrogen environments, respectively. The samples for this study were cured to 250 °C in air for 2 hours rather than only 1 hour. One sample was removed and the remaining samples were then transferred to an furnace for oxygen-free processing to 300 °C for 50 hours, 400 °C for 100 hours, 500 °C for 100 hours, 600 °C for 100 hours, and 700 °C for 100 hours. After each 100 °C interval the samples were cooled to room temperature, and one specimen was removed for TGA analysis.

In an oxidative environment very little difference in the weight stability is observed for samples initially cured at 250 °C and further processed up to 500 °C. At processing temperatures above 500 °C, however, a continuing improvement in the oxidative stability is seen (curves 1 and 2 in Figure 6). The temperature at which complete degradation occurs in an air environment is also higher than for samples processed up to 500 °C.

A slight weight increase in air is noted between 275-400 °C for samples processed at 250 °C, 300 °C, and 400 °C. Final catastrophic degradation occurs at approximately 525 °C. This weight increase is due to the formation of oxidation products in the polymer. Infra-red studies have indicated the formation of carbonyl groups under these conditions. This is believed to occur in the conjugated polyene structure that forms during the initial thermal polymerization of all acetylene-terminated resins<sup>(10)</sup>:

$$= CH - C = CH - C =$$

Such an unsaturated polyene is expected to be sensitive to oxidation. For samples processed at higher temperatures (> 400 °C), these structures are converted into aromatic or condensed aromatic ring structures that are not readily oxidized. Thus, samples processed at temperatures of 500 °C and above do not exhibit any weight gain.

It is apparent from these observations that the bulk, solid polymer may react with oxygen in a diffusion controlled process, even though no weight loss occurs for extended cures in air at 300 °C. Although any oxygen uptake may be expected to increase moisture absorption, no differences in electrical conductivity were observed between samples cured in air and samples cured in an air-free environment.

Infra-red studies for specimens cured at 150 °C have shown the presence of unreacted terminal acetylene groups, indicating an incomplete cure in these materials<sup>(3)</sup>. After 50 hours at 300 °C, the acetylene C-H absorption can no longer be observed by FTIR, indicating substantially complete reaction. Actually, infra-red absorptions by terminal acetylenic groups are absent from the FTIR spectrum after a few hours at 300 °C, but all samples were processed for 50 hours at this temperature as a means of standardizing the process conditions.

The thermal stability in a nitrogen environment (Figure 7) shows a similar improvement as samples are processed at higher temperatures. The fact that the 250 °C and 300 °C processed samples exhibit some weight gain in the 300-400 °C region indicates a trace amount of oxygen in the nitrogen carrier gas. The gradual weight loss, approximately 2.5%, from 30-450 °C is primarily absorbed water<sup>(2)</sup>.

The lower weight loss observed in TGA samples processed at higher temperatures can, in part, be attributed to prior weight loss during processing. The extended controlled processing may actually

increase the thermal and oxidative stability thereby contributing to the observed lower weight loss. For example, the difference in weight loss between a sample processed at 600 °C for 100 hours followed by 700 °C for 100 hours (Figure 6) is only approximately 0.4%. TGA weight loss data, by comparison, shows a difference of approximately 2.5% between the two samples at 700 °C and 7% at 900 °C rather than the 0.4% that might be expected if the improvement were due totally to additional weight loss during the 700 °C processing. Since the TGA experiment involves a more aggressive thermal treatment (continuous heating to 900 °C at 10 °C/min) compared to the processing conditions (heating rates of 0.5 °C/min and long isothermal times of 100 hours before processing to the next higher temperature), the stable structures that develop during processing may not have time to form at the higher temperatures in the TGA before decomposition begins. Polyene structures formed in the initial polymerization, for example, are much less stable than the condensed aromatic ring structures that form at higher temperatures. Since the solid state multimolecular reactions that are occurring at these higher temperatures are relatively slow processes, a too rapid heating schedule can fragment the polyenes, forming volatile species, before they have condensed into aromatic structures.

## Physical Properties

Thermal expansion and density were measured to determine the influence of process conditions on the bulk structural properties of mmm ATR materials. In addition, these properties are important for materials intended for applications in high temperature composites. Table 2 summarizes the thermal expansion coefficients of mmm ATR materials measured at 50 °C. Linear expansion coefficients vary by an order of magnitude for samples cured below 400 °C as compared with samples cured to 800 °C. Following the 300 °C cure, for example, the coefficient is 4.3x10<sup>-5</sup>/°C; after processing for 100 hours at 700 °C the coefficient is reduced to 0.4x10<sup>-5</sup>/°C. As with the mechanical properties, it appears that most of the variation in the expansion coefficient occurs during processing at temperatures where the electrical conductivity increases most rapidly (400-600 °C). In comparison with crosslinked epoxy resins exhibiting coefficients near 50x10<sup>-6</sup>/°C, the mmm ATR material cured at 700 °C is a much closer match to the thermal expansion coefficients of typical composite fibers such as graphite (parallel: -0.75x10<sup>-6</sup>/°C; radial: 10x10<sup>-6</sup>/°C) and E glass (4.9x10<sup>-6</sup>/°C) and thus may reduce the thermal stress between fiber and

polymer during heating and cooling. It is expected that dimensional changes in structural components would also be reduced for these materials.

A continued increase in density for mmm ATR materials processed at higher temperatures is evident with most of the increase again occurring over the 400-600 °C range (Figure 8). Interestingly, a nearly constant density is observed for materials processed above 700 °C, accompanied by slight increases in the weight loss and linear shrinkage<sup>(2)</sup>. While experimental error may account for some small differences in the values between 700-800 °C, it is important to note that significant improvements in electrical conductivity and mechanical properties are realized by processing at these temperatures.

#### SUMMARY AND CONCLUSIONS

Earlier papers have demonstrated that acetylene-terminated resin systems yield materials with stable conductivities when exposed to aggressive environments. The present report demonstrates that the mechanical, physical, and thermal properties of a polymer prepared by thermal cure of a completely conjugated acetylene-terminated resin, N,N'-(1,3-phenylenedimethylidene)bis(3-ethynylaniline), are significantly improved during processing at the high temperatures used to increase conductivity. Both tensile modulus and strength significantly increase when inert processing is extended to 800 °C. Two distinct regimes for materials processed below and above 400 °C are also evident. Materials processed from 150 °C to 400 °C in an oxygen-free environment show relatively invariant mechanical properties: tensile strengths are near 45 MPa, tensile moduli near 5 GPa, and strain to break values near 1.0 %. These materials all have conductivities below 10<sup>-9</sup> S/cm. Materials processed above 400 °C show increased electrical conductivity and mechanical properties. Specimens processed 100 hours at 800 °C in an oxygen-free environment, for example, have a room temperature electrical conductivity in excess of 10+2 S/cm, a tensile strength as high as 120 MPa, a tensile modulus of approximately 30 GPa, and a strain to break of 0.4 %. These materials also retain their dynamic properties at elevated temperatures, with moduli of 14 GPa at room temperature and 10 GPa at 500 °C for the mpm isomer, and 17 GPa and 8.5 GPa, respectively, for the mmm isomer. The high oxidative and thermal stability has also been demonstrated, with a 5% weight loss at 575 °C in air and 625 °C in argon for samples processed to 700 °C; at 900 °C in argon the weight loss is only 17.5%. Although the high crosslink density of these polymers

results in a relatively brittle material, the resulting low coefficient of thermal expansion (4x10<sup>-5</sup>/°C to 4x10<sup>-6</sup>/°C at 50 °C) should help to minimize thermal stresses. Finally, although our initial interest in the development and application of these materials was due to their conductivity, the demonstrated high temperature stability and mechanical properties suggests potential applications in heat resistant composites, and carbon-carbon and carbon-glass composites.

## **ACKNOWLEDGEMENT**

This research was partially funded by the Naval Air Development Center, Warminster, PA under their 6.2 Airborne Materials Block Program and we specifically thank Mr. In Shaffer and Dr. Leonard Buckley of that Laboratory for this support.

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TABLE 1
TEMPERATURE AND FREQUENCY DEPENDENCE OF THE
STORAGE MODULUS FOR mmm ATR MATERIALS CURED AT 400 °C

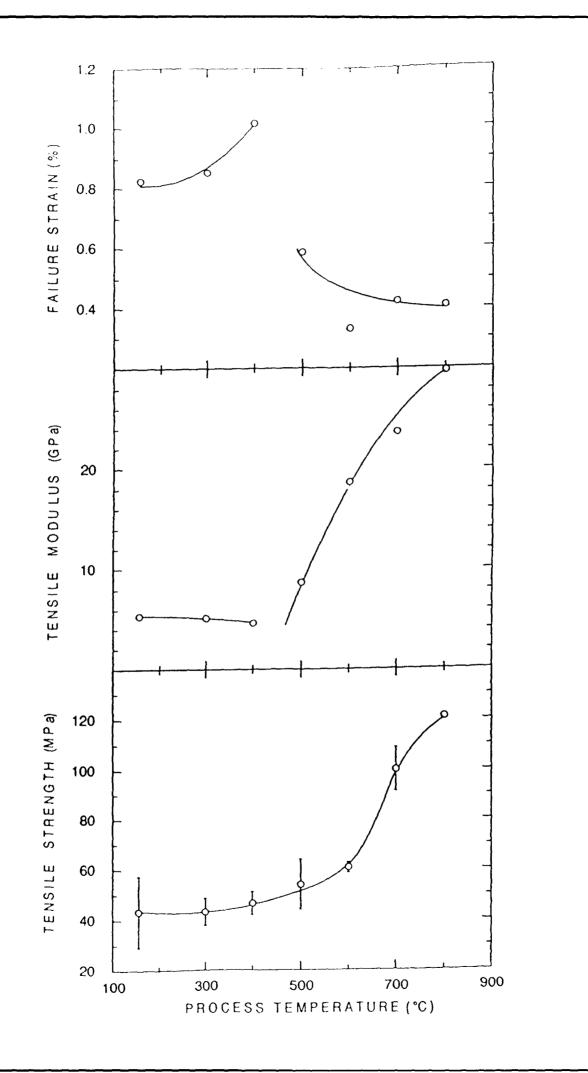
TEMPERATURE _ (°C)	STORAGE MODULUS (GPa)			
	0.01 Hz	0.1 Hz	1.0 Hz	10.0 Hz
40.0	3.82	3.84	3.89	3.97
79.7	3.71	3.85	3.91	3.95
128.0	3.71	3.75	3.82	3.87
163.8	3.53	3.66	3.74	3.79
199.4	3.51	3.63	3.70	3.74

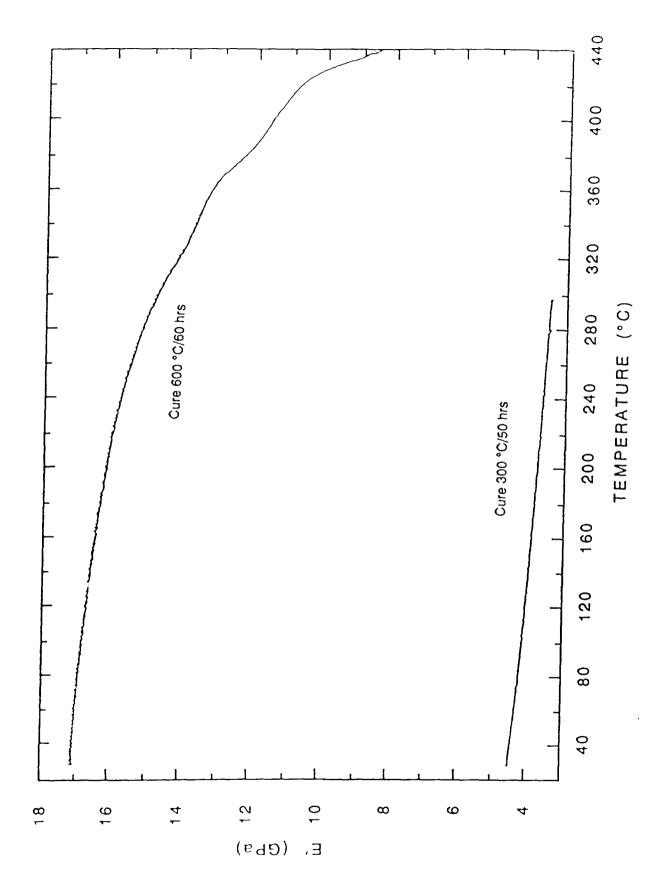
TABLE 2
THERMAL EXPANSION COEFFICIENTS AT 50 °C FOR mmm ATR
MATERIALS PROCESSED AT ELEVATED TEMPERATURES

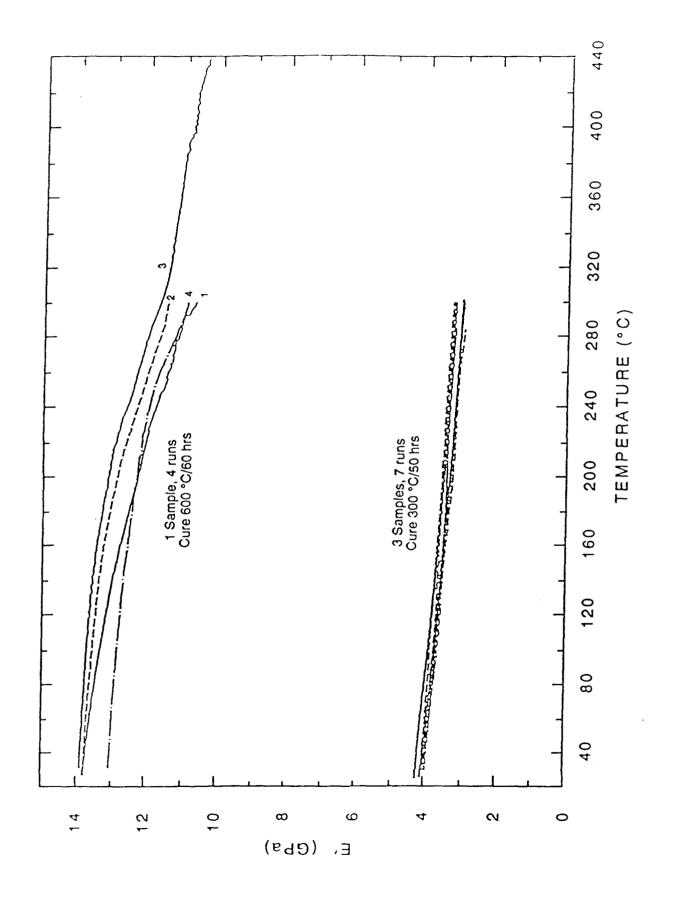
PROCESS TEMPERATURE (°C)	THERMAL EXPANSION COEFFICIENT (°C-1 x 10+5)
155	3.9
300	4.3
400	3.0
500	1.1
600	0.6
700	0.4

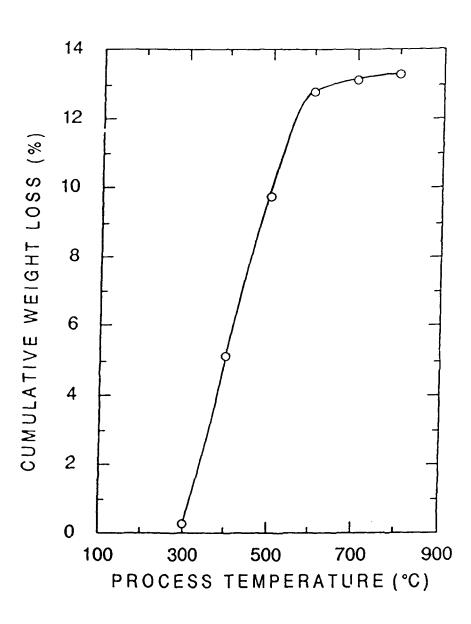
#### FIGURE CAPTIONS

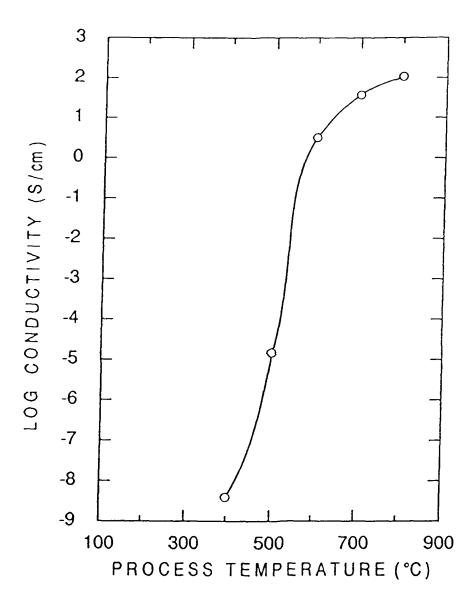
- Fig. 1. Room temperature tensile strength, tensile modulus, and failure strain of the mmm ATR polymer processed at elevated temperature. Measurements were made at 0.508 mm/min. Ordinate error bars are for 1 standard deviation in the sample. Two regimes are clearly indicated for materials processed below and above 400 °C; at higher process temperatures, increases in conductivity are accompanied by improved mechanical properties.
- Fig. 2. Dynamic mechanical spectra under inert environment for an mmm ATR sample processed at 300 °C for 50 hours, followed by processing up to 600 °C for 60 hours.
- Fig. 3. Dynamic mechanical spectra under inert environment for an mpm ATR sample processed at 300 °C for 50 hours, followed by processing up to 600 °C for 60 hours. Numbered curves indicate successive experiments under conditions detailed in the text.
  - Fig. 4. Cumulative weight loss for the mmm ATR polymer processed at elevated temperature.
- Fig. 5. Room temperature electrical conductivity of the mmm ATR polymer processed at elevated temperature as measured by the four-point probe technique<sup>(2)</sup>.
- Fig. 6. Oxidative stability of mmm ATR polymer powders processed at elevated temperature as measured by TGA in air. A continuing improvement in the oxidative stability is indicated for materials processed above 500 °C.
- Fig. 7. Thermal stability of mmm ATR polymer powders processed at elevated temperature as measured by TGA in nitrogen. A continuing improvement in the thermal stability is observed for materials processed at higher temperatures.
- Fig. 8. Bulk density of the mmm ATR materials measured at 24.0 °C by immersion in distilled water. Increases in density parallel the increase in structural development above 300 °C with a plateau at 700 °C and above. Ordinate error bars are for 1 standard deviation in the sample.

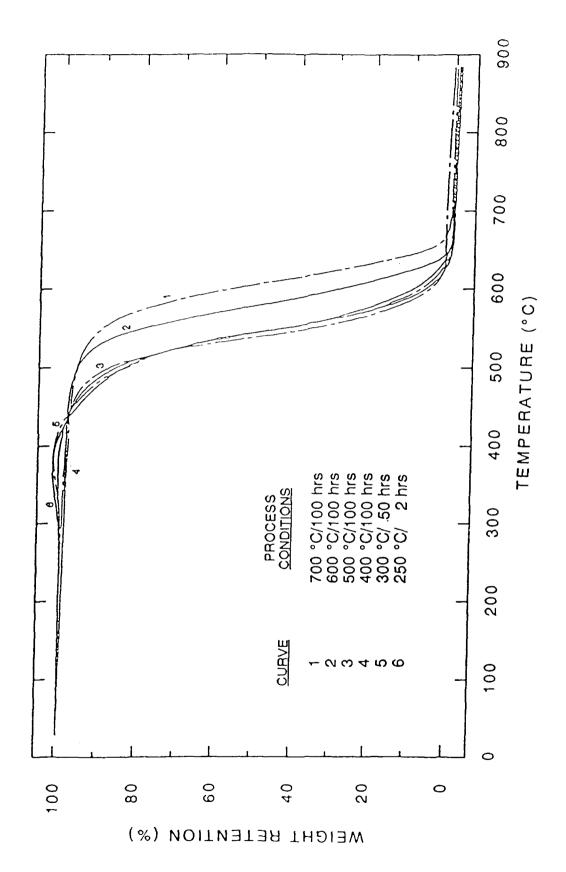


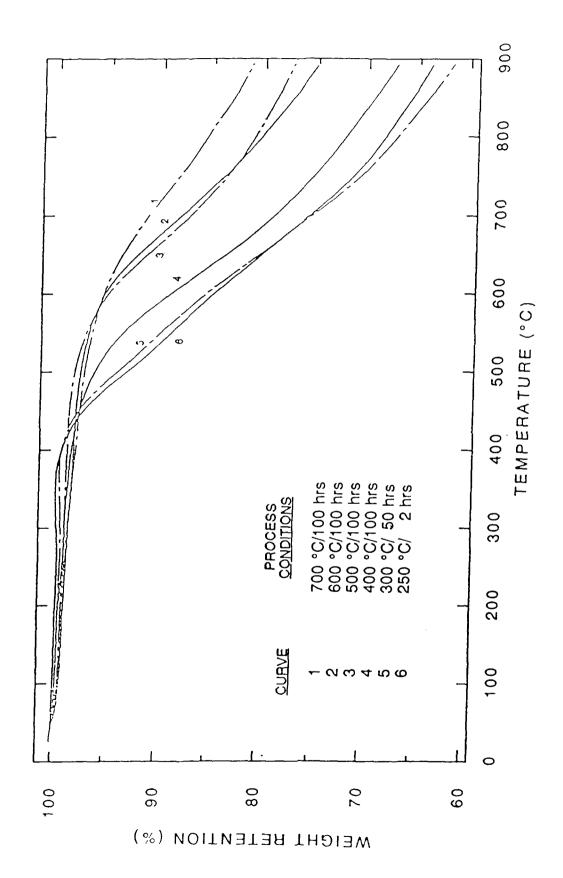


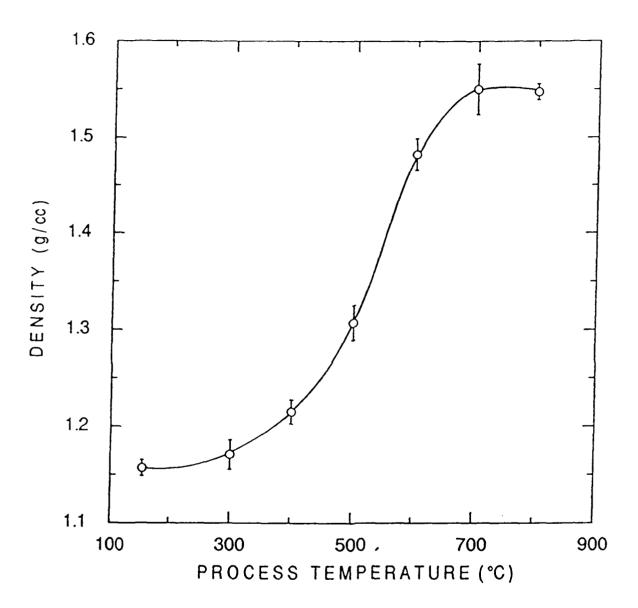


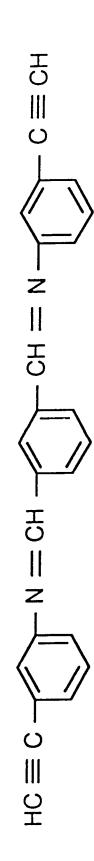


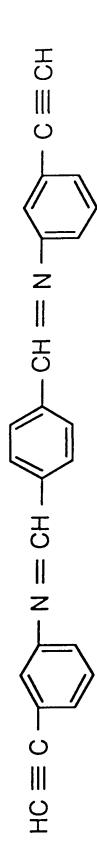


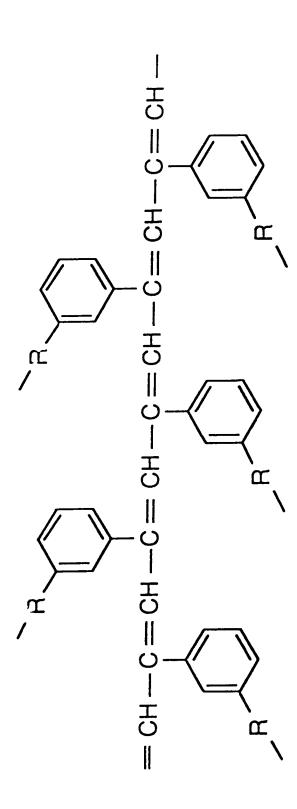












# APPENDIX K

TENSILE AND FRACTURE PROPERTIES OF A PHTHALONITRILE POLYMER



# TENSILE AND FRACTURE PROPERTIES OF A PHTHALONITRILE POLYMER

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## Synopsis

The properties of a polymer prepared from an aromatic diether-linked phthalonitrile resin. 4,4'-bis(3,4-dicyanophenoxy)biphenyl, and cured with 1,3-bis(3-amino phenoxy)benzene are reported. The resin is easily processed from the melt of the monomer in a controlled manner as a function of the amine content and processing conditions. The resulting polymers show an excellent retention of mechanical properties following inert atmosphere postcure to 375°C and oxidative aging at 315°C for 100 h. Results are reported for the effects of cure, postcure, and aging conditions on the tensile and fracture properties of these polymers.

(Keywords: phthalonitrile; mechanical properties; fracture toughness; double torsion; elevated temperature; thermal stability)

## Introduction

Organic polymers that are both thermally and oxidatively stable above 315°C (600°F) are in demand as matrix materials for advanced composite applications, as adhesives for high temperature materials, and as weight-reducing replacement materials for metals. A new class of phthalonitrile-based polymers<sup>1.8</sup> with excellent thermoxidative properties has been under investigation for application in these areas. The phthalonitrile monomers are readily converted to crosslinked thermosetting polymers in the presence of thermally stable aromatic diamines<sup>6</sup>. The polymerization reaction occurs through the terminal phthalonitrile units which are interconnected by aromatic dioxy linkages, yielding heterocyclic crosslinked materials. Shaped components are easily processed by heating the polymerization mixture above its melting point or glass transition until gelation occurs. The prepolymers formed from these monomers are soluble in common solvents and indefinitely stable at room temperature.

Previous investigations of the properties of these materials have shown a high retention of weight and mechanical properties following exposure to elevated temperatures and oxidative conditions. Keller et. al. have reported the dynamic mechanical behavior<sup>1,2,5,7</sup>, the thermal and oxidative weight loss for various cure and aging conditions<sup>1-3,5-8</sup>, the network characteristics and

glass transition behavior<sup>1,25</sup>, and the conductivity behavior of phthalonitrile-based materials<sup>3,2</sup>. However, little information exists concerning the failure properties of these materials or the influence of postcure and aging conditions on these properties. The present work details the tensile failure and fracture properties of the phthalonitrile polymer following exposure to elevated temperature and oxidative conditions.

## Experimental

Synthesis and Polymerization — The synthesis and characterization of the monomer has been previously described<sup>1-4,6-8</sup>. The phthalonitrile resin was synthesized by the nucleophilic displacement of a nitro substituent from 4-nitrophthalonitrile by the dialkali salt of 4,4'-biphenyldiol in dry dimethyl sulfoxide. The phthalonitrile monomer (I) was melted at 240°C, cooled to 220-230°C, and 1,3-bis(3-aminophenoxy)benzene (APB, 1.5% by weight) was added with vigorous stirring. The resulting mixture was poured into aluminum or brass moulds

4,4'-bis(3,4-dicyanophenoxy)biphenyl (I)

and cured successively in air at 240°C for 6 h, 280°C for 16 h, and 315°C for 24 h. Free-standing samples were successively postcured in an argon atmosphere at 350°C for 12 h and 375°C for 12 h. Samples previously postcured at 375°C were oxidatively aged in an air-recirculating oven at 315°C for 100 h.

Mechanical Measurements — Tensile strength measurements were made on a minimum of five dogbone-shaped specimens for each cure, postcure, and aging condition. Specimen dimensions, chosen to minimize material requirements, were 50 mm long overall with a gage region of approximately 16 mm (L) x 3 mm (W) x 2 mm (T). All tensile strength specimens were abrasively ground and polished to final dimensions. Tensile modulus measurements were obtained on rectangular or dogbone-shaped specimens with a clip-on strain gage mounted at a gage length of

25.4 mm. The end tabs for all specimens were potted in Epon 828/Versamid 140 (1:1 by weight) to allow firm gripping without premature fracture in the grips.

Tensile measurements at room temperature ( $22 \pm 2^{\circ}$ C) were carried out using an Instron 4206 instrument at a crosshead speed of 0.508 mm min<sup>-1</sup>. A grip separation of 25.4 mm was used for the tensile strength specimens, producing an initial strain rate of 5.3 x  $10^{-4}$  sec<sup>-1</sup> in the gage region. The stress-strain response was recorded with a computer data collection and instrument control system which allowed for calculation of the tensile modulus.

Fracture Measurements — The concepts of linear elastic fracture mechanics, often applied to crack growth in brittle polymeric materials, have been extensively developed, both theoretically and experimentally  $^{9-14}$ . The double-torsion technique (DT) $^{9-14}$  was used for these studies due to the relative simplicity of specimen geometry and preparation, the stability of the crack growth, and the potential for studying variations in material and test conditions. The relation between the stress intensity factor in mode I fracture,  $K_1$ , specimen geometry, and load,  $K_2$ , for a DT specimen in plane-strain is given by  $^{9-14}$ ,

$$K_1 = P W_m \left[ \frac{(1+\nu)}{W t^3 t_n k_1} \right]^{1/2}$$
 (1)

where,  $W_m$  = moment arm (9.525 mm), W = specimen width, t = specimen thickness,  $t_n$  = web thickness (specimen thickness less the depth of the V-groove), v = Poisson's ratio, P = applied load,  $K_1$  = stress intensity factor or fracture toughness, and  $k_1$  = correction factor constant for finite specimen width<sup>10</sup>.

The strain-energy release rate, or fracture energy, for a given crack velocity, G<sub>li</sub>, is given by 10,

$$G_{li} = \frac{1}{2} \frac{P_i^2}{t_0} \frac{dC}{da}$$
 (2)

where,  $P_i$  = load at a given crack velocity, and dC/da = derivative of the experimentally determined compliance, C, which varies with crack length, a. Alternatively, the critical strain-energy release rate,  $G_{Ic}$ , may be determined from  $^{10}$ ,

$$G_{1c} = \frac{K_{1c}^2}{4^2} (1 - v^2) \tag{3}$$

where E is Young's modulus.

The average crack speed,  $\dot{a}$ , for a crosshead rate,  $\dot{x}$ , is given by 12,

$$\dot{a} = \frac{\dot{x}}{P(dC/da)} \tag{4}$$

Double torsion specimens were prepared from moulded 10 cm x 10 cm plates. Specimen dimensions, selected in accordance with generally accepted dimensional ratios 10,14, were approximately 100 mm (L) x 38 mm (W) x 6 mm (T). All samples were abrasively ground, giving uniform dimensions and parallel faces. A grooving/scoring technique was used for the tensile face as an aide in specimen alignment and in control of the crack growth profile. A centered V-shaped groove was produced along the length of the specimen by using a 90° high-speed spiral fluted bit, held inverted to produce successively deeper cuts as the sample was passed over the cutter. The sample was held at an angle of 45° to the axis of the bit rotation by the use of a plexiglas jig. A final groove depth of 2.0 mm was produced by this method. The center of the groove was then lightly scored with a razor blade along the length of the specimen, in the direction of crack growth, to a depth of 0.1 mm. A stress-relieved cut-crack was made by drilling a 0.6 mm hole perpendicular to the center of the V-groove at various lengths along the sample The cut-crack was completed by using a 0.3 mm saw to cut the sample from the centerline of the V-groove at the loading end of the specimen to the drilled hole. The cut was ground to remove score marks and a razor blade was used to introduce a pre-crack along the centerline of the V-groove. By following this procedure, any overloading due to an initially blunt crack tip was minimized.

Fracture tests at room temperature ( $22 \pm 2^{\circ}$ C) were performed on an Instron 4206 at a displacement rate of 0.508 mm min<sup>-1</sup>. A fracture test fixture was used to apply the load to the specimen, thereby propagating the crack in a stable and controlled manner. Test specimens were used to determine both the steady crack propagation load,  $P_c$ , and the compliance response at various initial crack lengths. A computer data collection and instrument control system was used to record the load-crosshead displacement response and calculate the compliance for each initial crack

length. Specimens used to determine the compliance were successively cut to various lengths along the V-groove and pre-cracked as described above prior to testing.

## Results and Discussion

Mechanical Properties — Tensile strength values for the phthalonitrile polymer at room temperature are summarized in Table 1 for various cure, postcure, and oxidative aging conditions. These properties indicate a high retention of mechanical performance with only a small decrease following oxidative aging for 100 h at 315 °C. Compared with other state-of-the-art thermosetting materials, the neat phthalonitrile resin exhibits an excellent, if not superior, retention of mechanical strength. PMR resins, by comparison, have tensile strengths of 48-83 MPa<sup>15</sup> at room temperature. Other thermosetting polyimides exhibit similar or higher mechanical property values but, in certain cases, do not maintain the same degree of thermal and oxidative stability as is shown by phthalonitrile-based materials<sup>15</sup>. Materials formed from acetylene-terminated resin (ATR) precursors have also been shown to exhibit superior mechanical and thermoxidative properties<sup>16</sup>. The very brittle nature of ATR materials, however, limits their potential applications.

Preliminary tensile stress-strain measurements at room temperature indicate that the phthalonitrile polymers behave as brittle materials for cure/postcure conditions at 315°C or above. Tensile moduli range from 3.6 GPa to 2.4 GPa for specimens cured at 315°C and 375°C, respectively. Previous results¹ have shown similar dynamic storage moduli with evidence of viscoelastic transitions to the rubbery state between 200-360°C for materials cured at 350°C or below. Phthalonitrile polymers postcured at 375°C, as well as those aged at elevated temperatures following postcure at 375°C, do not show any evidence of glass/rubber transitions up to 375°C. The maximum in the loss tangent also shifts to higher temperatures for cure temperatures below 375°C; glass transition maxima are observed at 290°C and 332°C following cure for 16-24 h at 315°C and postcure for 4 h at 350°C, respectively¹.

Fracture Behavior — The room emperature fracture behavior of phthalonitrile materials cured at 315°C for 24 h has been studied. Stable crack growth was achieved under specimen and test

conditions previously described which allowed for a determination of crack propagation loads and specimen/test fixture compliance. As shown in Figure 1, for each cut-crack length a near linear load-displacement response was obtained until an initiated crack profile developed. At this point the load became nearly constant with the crack propagating at a steady rate along the length of the specimen. Occasionally, a slight overloading was observed prior to steady state crack growth (Figure 1, curve c); however, the overloading was apparently not sufficiently great enough to cause complete and unsteady failure in the specimens. The relatively small crack arresting potential of these specimens compared with thicker materials generally resulted in unstable crack growth for specimens with initial cut-cracks greater than 50 mm. For this reason, compliance calibrations were restricted to a cut-crack length of 10-50 mm. Since the stress intensity is generally constant over the length of the specimen, with the exception of the initial and final 15-20 percent<sup>10</sup>, a determination of the compliance in this region allowed for calculation of the fracture energy. Figure 2 shows a linear variation of the compliance with initial cut-crack length as is expected for brittle materials.

The fracture toughness and energy of the phthalonitrile materials were calculated using equations (1) and (2), respectively. Test results on five samples yield a fracture toughness of 0.61-0.63 MNm<sup>-3/2</sup>, and a fracture energy of 120-130 Jm<sup>-2</sup>. The corresponding crack speeds were 70-80 mm/min. Tests on similar poly(methyl methacrylate) (PMMA) specimens, performed as a means of comparison with published results, yielded K<sub>1c</sub> values of 1.2-1.3 MNm<sup>-3/2</sup>. By comparison, values between 1.1-1.3 MNm<sup>-3/2</sup> are cited for the room temperature fracture toughness of PMMA at similar crack speeds<sup>12</sup>. A comparison of the fracture properties of phthalonitrile polymers with other the mosetting materials shows that the phthalonitrile materials are typical of highly crosslinked brittle resins at room temperature test conditions. Representative fracture toughness values for unmodified epoxy resins<sup>9,13,17</sup>, for example, range from 0.5-0.8 MNm<sup>-3/2</sup>.

Preliminary results obtained to verify the requirements of plane-strain conditions due to specimen thickness have also been made. Plate specimens, prepared without V-grooves, with thicknesses ranging from 4.0 to 6.0 mm have been tested, yielding  $K_{tc}$  values between 0.60-65

MNm  $^{30}$ , and fracture energy values comparable to the grooved specimens. Limited testing was performed with ungrooved specimens, however, due to the difficulty in specimen alignment in the fracture jig, and the increased potential for crack wandering. According to ASTM E399-83, the minimum thickness required for plane-strain conditions is at least  $2.5(K_{1c}/\sigma_y)^2$ , where  $\sigma_y$  is the tensile yield stress. Using a value of 94 MPa for  $\sigma_y$ , the required minimum thickness is approximately 0.1 mm. The observed insensitivity of the fracture toughness results  $\Omega$  specimen thickness and groove geometry are therefore consistent with the existence of plane-strain conditions in these specimens.

## Summary and Conclusions

Phthalonitrile-based polymers prepared from 4,4'-bis(3,4-dicyanophenoxy)biphenyl, cured in the presence of a diamine, and exposed to elevated postcure and aging conditions, have been shown to exhibit a high retention of mechanical strength. The thermoxidative properties of these polymers are excellent with very low weight loss and good mechanical properties obtained for cure conditions to 315°C, inert atmosphere postcure to 375°C, and oxidative aging at 315°C. The plane strain fracture behavior of the phthalonitrile polymers shows properties similar to unmodified epoxies, with brittle failure at room temperature observed. Due to the ease of processing and excellent mechanical properties evidenced by these materials it is anticipated that potential applications will include fabricated composites and structures subjected to elevated temperature and oxidative environments.

## Acknowledgements

Partial funding support from the Office of Naval Research is gratefully acknowledged.

**Table 1** Effect of Cure Conditions on the Room Temperature Tensile Strength of Biphenyldiol-Based Phthalonitrile Polymers

	Cure/Po	Tensile Strength at Break	
(°C)	(hrs)	Atmosphere	(MPa)
315	24	Air	94 ± 17
350	12	Argon	94 ± 21
375	12	Argon	80 ± 7
315	100	Air	72 ± 5

<sup>\*</sup>All materials were initially cured at 240°C for 6 h and 280°C for 16 h. Tabulated conditions are successive thermal and oxidative treatments.

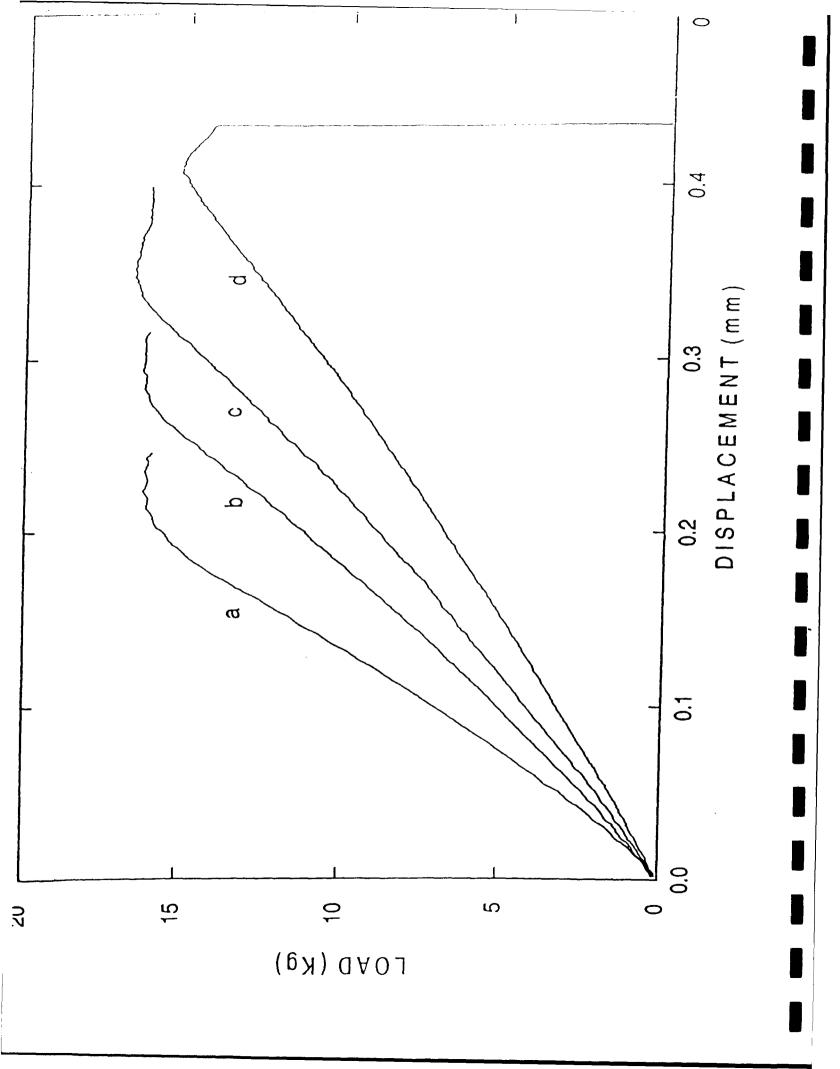
### References

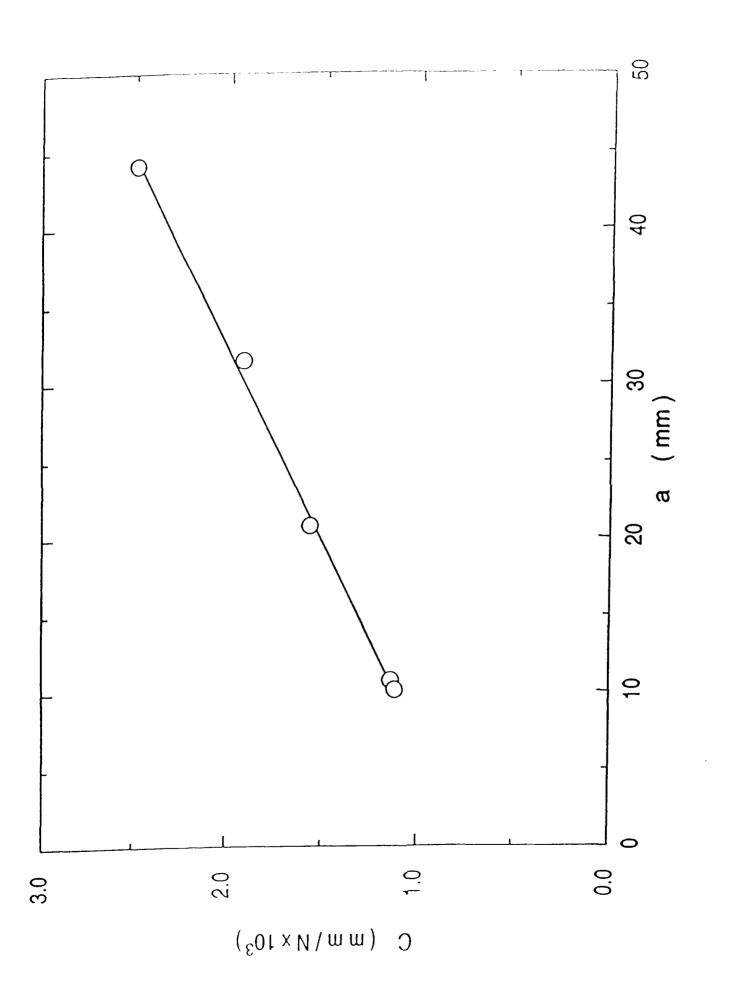
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## Figure Captions

- Fig. 1. Load-displacement response at 22°C for the phthalonitrile polymer cured at 315°C for 24 h. The curves represent the compliance response for various initial cut-crack lengths:

  (a) 10.1 mm, (b) 20.7 mm, (c) 31.4 mm, and (d) 44.0 mm.
- Fig. 2. Compliance calibration at 22°C for the phthalonitrile polymer showing the linearity of specimen compliance variation with crack length.





## APPENDIX L

OBSERVATION OF SPINOIDAL DECOMPOSITION AND POLYMER DIFFUSION VIA 129XENMR



Observation of Spinoidal Decomposition and Polymer Diffusion Via 129Xe NMR

J. H. Walton, J. B. Miller, C. M. Roland, and J. B. Nagode\*

Chemistry Division, Code 6120

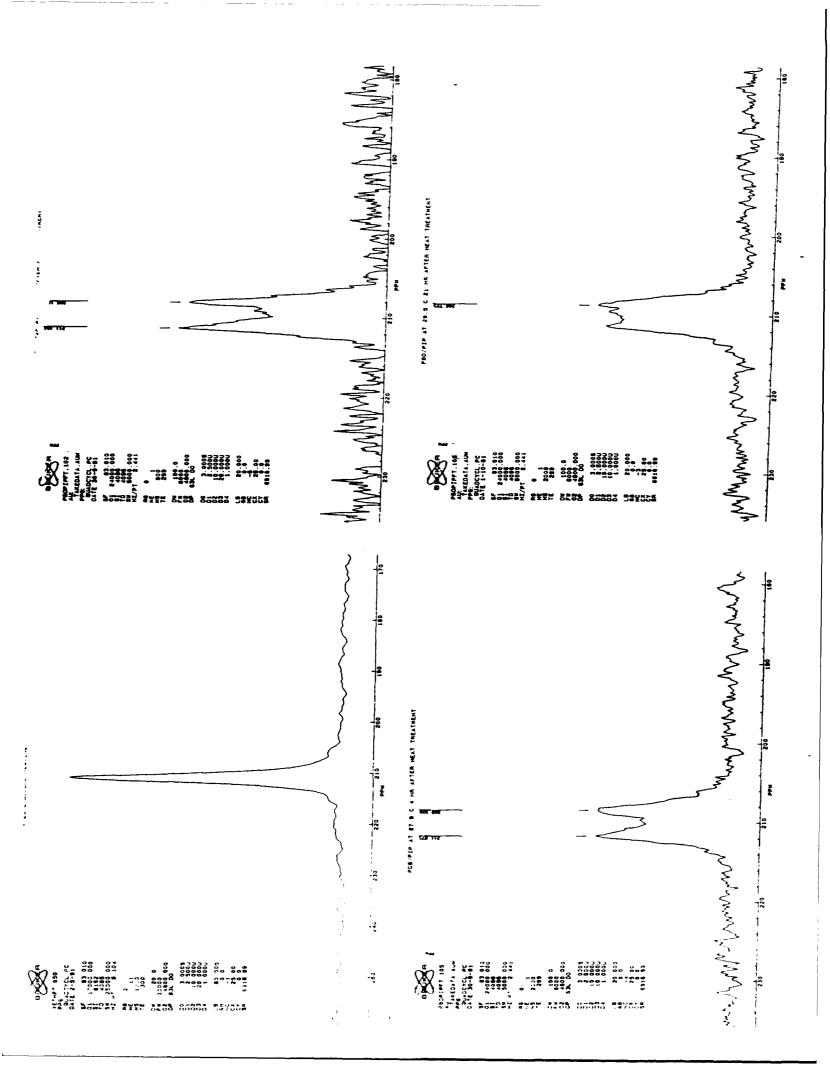
Naval Research Laboratory

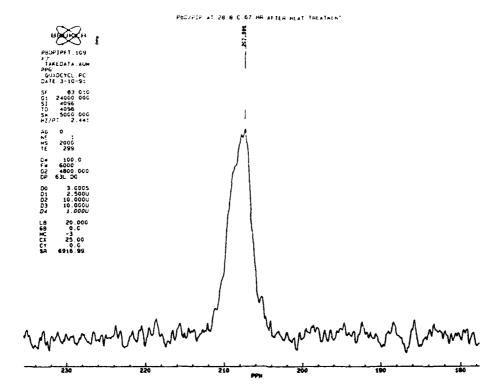
Washington, D.C. 20375-5000

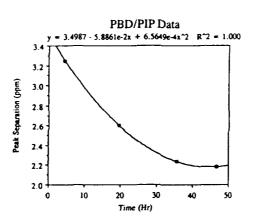
We present <sup>129</sup>Xe NMR results on Xe dissolved in a blend of 34 % cis -1,4-polyisoprene (M<sub>w</sub> = 115,000) and 66 % 1,4-polybutadiene (M<sub>w</sub> = 23,600). This blend is known to have an LCST<sup>1</sup> at approximately 97 C. Annealing at 103 C induces spinoidal decomposition and rapid quenching to room temperature produces a metastable phase separation. The <sup>129</sup>Xe NMR lineshape is used to follow the mutual diffusion of the two constituent polymers back to miscibility.

<sup>1</sup> C. A. Trask and C. M. Roland, Poly. Comm., 29, 332 (1988).

\*Geo-Centers Inc., Fort Washington, MD 20744







Figures - preceding page - clockwise from top left: Polyisoprene/Polybutadiene blends at 1. 26°C prior to annealing; 2. immediately after annealing; 3. 4 hours after annealing; 4. 21 hours after.

Figures - this page top: PIP/PBD blend 67 hours after annealing; bottom: NMR peak separation as a function of time, showing a return to single phase in the blend.

## APPENDIX M

A NOVEL ROUTE TO TWO HEAVILY FLUORINATED EPOXY RESINS FROM A FLUORODIIMIDEDIOL



A NOVEL ROUTE TO TWO HEAVILY FLUORINATED EPOXY RESINS FROM A FLUORODIIMIDEDIOL. Henry S-W. Hu, Geo-Centers, Inc., Fort Washington, MD 20744 and James R. Griffith, Naval Research Laboratory, Washington, D.C. 20375

Two synthetic methodologies leading to heavily fluorinated epoxy resins are reported. The title compound  $\underline{3}$ , a fluorodiimidediol, was prepared through the condensation of the corresponding anhydride  $\underline{1}$  and diamine  $\underline{2}$ . The conversion of  $\underline{3}$  into diepoxy via dichlorohydrin  $\underline{4}$  resulted in the formation of a network polymer  $\underline{5}$  in which the imide structure has disappeared to yield amide components. On the other hand, the curing of a fluorodiepoxy  $\underline{6}$  with equivalent  $\underline{3}$  in the catalytic presence of tetramethylammonium bromide resulted in a network polymer  $\underline{7}$  which has intact imide rings.  $\sigma$ ,

A Novel Route to Two Heavily Fluorinated Epoxy Resins from a Fluorodiimidediol

by

Henry S-W. Hu Geo-Centers, Inc., Fort Washington, MD 20744

and

James R. Griffith
Naval Research Laboratory, Washington, D.C. 20375

#### INTRODUCTION

Fluorinated epoxy resins are the key intermediates for new types of practical organic coatings and plastics which have fluorocarbon properties and convenient characteristics such as hydrophobicity, oleophobicity, light stability, low friction and possibly high thermal stability in some cases.

A series of studies have been carried out in our laboratory to design and synthesize new fluorinated epoxy and acrylic resins for many applications because of their unique properties. 1,2,3

In this paper we report two specific synthetic methodologies by using a fluorodiimidediol  $\underline{3}$  to prepare the heavily fluorinated epoxy resins as shown in  $\underline{Scheme\ 1}$  and  $\underline{Scheme\ 2}$ .

#### EXPERIMENTAL

#### <u>Materials</u> and Methods

4-(2-Hydroxyhexafluoro-2-propyl)phthalic anhydride 1 was prepared by a multistep route according to the procedure of Griffith, O'Rear and Hexadecafluoro-1,12-decanediamine 2 was obtained from Fluorochem, Inc., (680 Ayon Ave., Azusa, CA 91702). The diglycidyl ether 6 of 1,3bis-(2-hydroxyhaxafluoro-2-propyl)-5-tridecafluorohexyl-benzene was prepared by a multistep route according to the general procedure of Griffith and O'Rear.<sup>5,6</sup> IR spectra were recorded on a Perkin-1800 Fourier Transform Infrared <sup>1</sup>H-NMR spectra were recorded on Spectrophotometer. a 90 MHz Varian EM390 Spectrometer.

Preparation of diimidediol 3

Anhydride 1 (41q, 0.130mole) and diamine 2 (25g, 0.051mole) in 300 mL of toluene were heated to reflux for 20h under a Dean Stark trap. cooling in an ice bath, a red oil settled to the bottom. It was separated and solidified. crude product was stirred as a suspension in water at 50°C until the water became neutral. filtered and dried in vacuo to give a white powder recrystallization yield 34%, hexanes/THF (10:1) with 90% recovery, mp 145-148°C. One spot in TLC (Silica gel, CH<sub>2</sub>Cl<sub>2</sub> 1.5mL/ acetone 3 drops), rf = 0.27. IR(KBr pellet) 3415 (OH), 1780 and 1720 (imide), 1450, 1388, 1300-1100 (F), 980, 970, 750, 722 cm<sup>-1</sup>. NMR(CDCl<sub>3</sub>/TMS) $\delta$  8.38 (m, 1H), 8.3-7.8 (m, 2H), 4.07 (m, 2H, N-CH<sub>2</sub>-), 2.9-2.2  $(m, 2H, -CH_2-CF_2-).$ 

Preparation of 5 from 3 via 4

A mixture of 3 (0.2g, 0.186mmole), epichlorohydrin (1.74g, 18.80mmole) and tetramethylammonium bromide (0.030g, 0.195mmole) was stirred and maintained at 90°C for 70h. Evaporation at 90°C/20mm left a viscous liquid 0.36g of dichlorohydrin 4. IR(neat film) 3480, and 3300 (OH), 3070, 3006, 2960, 1781 and 1720 (imide), 1485, 1450, 1385, 1300-1050 (F), 1015, 982, 945, 915, 858, 750, 726, 708 cm<sup>-1</sup>. NMR(CDCl<sub>3</sub>/TMS) $\delta$  showed only 8.3-7.9 in the aromatic region indicated no  $\delta$  present, but additional integration in 4.3-2.2 indicated there was some epichlorohydrin left.

Dehydrochlorination of 4 was accomplished by first mixing it with toluene (5g) and ethanol (1.7q) under nitrogen and stirring in an ice bath; a 18% sodium hydroxide solution (4g) was then added dropwise during 10 min. After stirring at room temperature overnight and then at 55°C for 1h, a yellow oil stayed between the top organic layer and bottom aqueous layer. Tetrahydrofuran (2mL) was stirred and the mixture was at temperature for 10 min during which the yellow oil dropped to the bottom. It was separated and dried in\_vacuo at room temperature for 2h to a semisolid which became a white solid 5 on standing. yield 78%, no mp observed but there was softening and color change. IR(KBr pellet) 3400 (OH), 3080, 2980, 2890, 1655, 1625, 1600, 1570, 1390, 1300-1100 (F), 1020, 995, 970, 840, 735, 715, 700, 543 cm<sup>-1</sup>.

Preparation of 7 from 3 and 6

Diepoxy <u>6</u> (0.84g, 0.001mole) and diimidediol <u>3</u> (1.07g, 0.001mole) were mixed well and the mixture heated at  $110^{\circ}$ C overnight during which no change

took place. Tetramethylammonium bromide in catalytic amount was added, mixed well and heated at  $110^{\circ}$ C. After one day a brittle transparent solid was obtained. During two more days it became a very hard solid 7. IR(KBr pellet) 3480 (OH), 1785 and 1730 (imide), 1452, 1388, 1300-1100 (F), 1050, 1018, 983, 750, 730, 716, 708 cm<sup>-1</sup>.

#### RESULTS AND DISCUSSION

The absence of an infrared absorption peak around 913 cm<sup>-1</sup> (10.95 $\mu$ ) for both  $\underline{5}$  and  $\underline{7}$  indicates that the glycidyl rings are mostly converted into resins through cross-linking. Heavily fluorinated compounds,  $\underline{5}$  and  $\underline{7}$ , have very strong infrared absorption in the region of 1300-1100 cm<sup>-1</sup>.

Upon the formation of 5, the absence of 1780 and 1720 cm<sup>-1</sup> peaks for an imide ring and the appearance of an amide peak at 1655 cm<sup>-1</sup> as well as a carboxylate salt peak at 1570 cm<sup>-1</sup> strongly indicated that the imide ring reacted with the glycidyl ring. Since amides are curing reagents for epoxies, the imide ring may be partially hydrolyzed into secondary amide and carboxylate salt under the basic conditions with the presence of tetramethylammonium bromide as catalyst; it may have further reacted with epoxy to form tertiary amide as shown in Scheme 3.

For the formation of  $\underline{7}$  from equivalent amounts of 3 and 6, reaction took place only in the presence of tetramethylammonium bromide catalyst. In contrast to the behavior of 5, the imide ring structure remained but with a shift in infrared absorption from 1780 and 1720 cm<sup>-1</sup> to 1785 and 1730cm<sup>-1</sup>. One might expect the product generated from 3 and 6 to have less intermolecular hydrogen bonding. Therefore, the classical reaction between epoxy and alcohol is suggested as shown in Scheme 4 to produce less acidic alcohol. The fluorodiepoxy 6 has been reported recently in curing with SA type silicone diamine to form a network polymer, while in our study a catalyst such as tetramethylammonium bromide is required for the reaction of  $\underline{6}$  with  $\underline{3}$ .

#### Acknowledgement

Partial funding support from the Office of Naval Research is gratefully acknowledged.

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<u>5</u>

#### Scheme 1

$$\begin{array}{c} \text{CF}_{3} \\ \text{(CF}_{2})_{5} \\ \text{CH}_{2} - \text{CH} - \text{CH}_{2} - \text{O} - \text{C} \\ \text{CF}_{3} \\ \text{CF}_{3} \\ \text{CF}_{3} \\ \text{CF}_{3} \\ \end{array}$$

<u>6</u>

Network polymer

7

Scheme 2

Scheme 3

Scheme 4

## APPENDIX N

PROCESSABLE HEAVILY FLUORINATED ACRYLIC RESINS WITH LOW DIELECTRIC CONSTANTS



## PROCESSABLE HEAVILY FLUORINATED ACRYLIC RESINS WITH LOW DIELECTRIC CONSTANTS

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#### INTRODUCTION

Materials exhibiting dielectric constants below 3 are in increasing demand in the aerospace and electronic circuit industries. Present computer operations are limited by the coupling capacitance between circuit paths and integrated circuits on multilayer boards, since the computing speed between integrated circuits is reduced by this capacitance and the power required to operate is increased. The maximum frequency of operation of the field-effect transistor can be given by the frequency corresponding to the dielectric constant in the reverse proportion.

Reductions in such parasitic capacitance can be achieved in a number of ways through proper selection of materials and the design of circuit geometry. In 1988 St. Clair et al. reported a reduction of dielectric constant down to 2.39 by chemically altering the composition of a polyimide backbone to reduce the interactions between linear polyimide chains and by the incorporation of fluorine atoms.

A series of studies have been carried out in our laboratory to design and synthesize new fluorinated epoxy and acrylic resins. These materials have many applications because of their unique properties.

In this paper we report the preparation of a series of new processable heavily fluorinated acrylic resins which exhibit dielectric constants as low as 2.10, very close to the known minimum values 2.0-2.08 for poly(tetrafluoroethylene) (PTFE), and 1.89-1.93 for copolymers of 2,2-bis(trifluoromethyl)-4,5-difluoro-1,3-dioxole and tetrafluoroethylene.

#### EXPERIMENTAL

The synthetic routes used to obtain the monomers and polymers are outlined in Scheme I. Details of the synthesis will be reported elsewhere.

#### Dielectric constant (DE) measurements

Dielectric constant values are reported as "Permittivity" with symbol  $\epsilon$  or  $K_{\epsilon}$ . The polymer donuts were used for the measurement of DE on a Hewlett Packard 8510 Automated Network Analyzer over a frequency band of 500 MHz to 18.5 GHz. Samples stood at room temperature in air prior to testing; measurements were run at room temperature and approximately 25% RH. A virgin Teflon (PTFE) sheet (MMS-636-2) was obtained from Gilbert Plastics & Supply Co. and cut into the same donut size. The result for PTFE reported here is the average of DE values measured for three samples.

Summary of Data for Dielectric Constant Measurements\*

Table I

	troquency (GHz)				
samples	6	3.5	9.5	15.5	
3	2.11	2.10	2.12	2.13	
6	2.23	2.23	2.23	2.22	
7	2.22	2.23	2,24	2.22	
PTFE*	1.96	1.98	1.99		

\*See Experimental Section for detail. \*Poly(tetrafluoroethylene).

#### RESULTS AND DISCUSSION

The preparation of etherdiol 1 and Monomers: triol 4 was carried out in multisten routes according to the reported procedures. As summarized in Scheme I, the synthesis of acrylic esters, 2 and 5, was carried out in a fluorocarbon solvent such as Freon 113 by the reaction of alcohols, 1 and 4, with acryloyl chloride and an amine acid acceptor such as triethylamine. Other attempts to esterify the fluoroalcohols directly with acrylic acid or acrylic anhydride were not successful. Product purification by distillation was not feasible because of the temperature required, but purification by percolation of fluorocarbon solutions through neutral alumina resulted in products, 2 and 5, of good purity identified by TLC, NMR and IR.

The stability of the monomers 2 and 5 is good at room temperature, and it is found that no change can be detected by use of IR and NMR after storing in air for three months.

<u>Polymerization</u>: Due to their liquid or semisolid nature, monomers  $\underline{2}$  and  $\underline{5}$  are easy to process into polymers. For radical polymerization the use of solid AIBN for liquid 2 at room temperature and liquid MEKP for semisolid 5 or a mixture of 2 and 5 with some heating is convenient.

During the course of curing at 85-100°C the problem of surface inhibition of free radicals by oxygen of air can be avoided by inert gas blanketing.

Dielectric constant (DE): In order to validate the accuracy of our measurements, three samples of virgin Teflon (PTFE) in the same donut size were measured and found to have average DE values around 1.96-1.99, which are close to the reported values 2.0-2.08. Summarized results of the DE measurement on the polymer donuts  $\underline{3}$ ,  $\underline{6}$ ,  $\underline{7}$ , and PTFE are shown in **Table I**. All the new polymers exhibit unusually low DEs around 2.10-2.24 over a wide frequency region of 500 MHz to 18.5 GHz; the variation of DE values over the measured frequency region is within 0.03 for each polymer. The 50/50 (w/w) copolymer 1, having a molar ratio 45/55 of 2 and 5, showed DE of 2.22 which is close to that of 6; this indicates that the copolymer 7 was not completely homogeneous and compatible.

The wide range frequency independence of these low DEs and the processability of these monomers suggests many potential applications. In particular, it is known that the addition of a fluorine-containing group to the polymer backbone will reduce the polymer chain-chain electronic interactions, resulting in a reduction of DE as reported by St. Clair et al., but the DEs for 3 (fluorine content 57%) are at most 0.13 below those for 6 (fluorine content 46%), which may indicate that a minimum value might have been nearly reached regarding the structure/property relationship.

In 1990  $\operatorname{Resnick}^7$  reported that the lowest dielectric constant (1.89-1.93) of any polymer thus far was obtained from copolymers of 2,2-bis(trifluoromethyl)-4,5-difluoro-1,3-dioxole g and tetrafluoromethylene 9 with structures shown in scheme II. In addition to the effect of the fluorine content, the existence of similar perfluoroalkyl ether linkage on the double bonds in both monomers 8 and 2 seems to play a very important role in further reducing the dielectric constant values.

Scheme II

St. Clair et al. also observed that the low dielectric constant polyimides containing the -CF3 group show excellent resistance to moisture, exhibiting almost PTFE-like behavior. Since PTFE contains 76% fluorine, it appears that the DE values for the heavily fluorinated 3, 6 and 7 with fluorine content around 46-57% will be humidityindependent. It is known that water has a very high DE of 79.45. The low DE values for 3, 6 and 7 suggest a very low water content after polymerization of the hydrated monomers.

#### ACKNOWLEDGEMENT

We are indebted to Mr. Jonas K. Lodge of SFA, Inc. for the dielectric constant measurements. Partial funding support from the Office of Naval Research is gratefully ack owledged.

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## **APPENDIX O**

PROCESSABLE HEAVILY FLUORINATED ACRYLIC RESINS WITH LOW DIELECTRIC CONSTANTS



# PROCESSABLE HEAVILY FLUORINATED ACRYLIC RESINS WITH LOW DIELECTRIC CONSTANTS

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ABSTRACT: The preparation of a new class of processable heavily fluorinated acrylic resins with very low dielectric constants is reported. The title compounds 2 and 5 were prepared through the condensation of the respective alcohols 1 and 4 with acryloyl chloride. Unlike tetrafluoroethylene, monomers 2 and 5 are easy to process into polymers under normal conditions due to their liquid or semisolid nature. Radical polymerization of the title compounds with a trace amount of azobisisobutyronitrile or methyl ethyl ketone peroxide at 85-100°C leads to homopolymers 3 and 6 and copolymer 7. All polymers exhibit dielectric constants around 2.10-2.24 over a frequency region of 500 MHz to 18.5 GHz; the variation of dielectric constant values over the measured frequency region is within 0.03 for each polymer. These values are very

close to the known minimum dielectric constants of 2.0-2.08 for poly(tetrafluoroethylene) and 1.89-1.93 for a terpolymer of 2,2-bis-(trifluoromethyl)-4,5-difluoro-1,3-dioxole 8, perfluoropropylene and tetrafluoroethylene 9. The dielectric constants for poly(tetrafluoroethylene) measured with the same method are observed to be around 1.96-1.99 in order to validate the accuracy of our measurement.

#### Introduction

Materials exhibiting dielectric constants below 3 are increasing demand in the aerospace and electronic circuit industries. 1 Dielectric constant is defined as a measure of the ability of a dielectric to store an electric charge. A dielectric is a nonconducting substance or an insulator. Dielectric constant is directly proportional to the capacitance of a material, which means that the capacitance is reduced if the dielectric constant of a material is reduced. For high-frequency, high-speed digital circuits, the capacitances of substrates and coatings are critical to the reliable functioning of the circuits. Present computer operations are limited by the coupling capacitance between circuit paths and integrated circuits on multilayer boards since the computing speed between integrated circuits is reduced by this capacitance and the power required to operate is increased. The maximum frequency of operation of the field-effect transistor can be given by the frequency corresponding to the dielectric constant in the reverse proportion.<sup>3</sup>

With recent trends toward microminiaturization and utilization of very thin conductor lines, close spacings, and very thin insulation of 5 mils or less, greater demands are being placed on the insulating layer. Insulating materials must possess very low dielectric constants and at the same time must retain other required engineering and manufacturing properties. For high frequency linear circuits, such as those used in radar assemblies, the dielectric constant of insulators again becomes important, especially since it may vary with changes in frequency.

Reductions in such parasitic capacitance can be achieved in a number of ways through the proper selection of materials and the design of circuit geometry. In 1988 St. Clair *et al.* reported a reduction of dielectric constant to 2.39 by chemically altering the composition of a polyimide backbone to reduce the interactions between linear polyimide chains and by the incorporation of fluorine atoms.<sup>4</sup>

Poly(tetrafluoroethylene) (PTFE), which is a solid at room temperature, has a dielectric constant<sup>5</sup> in the range of 2.00-2.08 while its monomer, tetrafluoroethylene, is a gas at room temperature. Poly(tetrafluoroethylene) is exceptionally chemically inert, has excellent electrical properties, has outstanding stability, and retains mechanical properties at high temperatures. The problem with poly(tetrafluoroethylene) is that it is not processable. A commercial material believed to be a terpolymer of tetrafluoroethylene 9, perfluoropropylene and 2,2-bis(trifluoromethyl)-4,5-difluoro-1,3-dioxole 8 (a derivative of

hexafluoroacetone) is reported to have a dielectric constant in the range of 1.89-1.93. It is reported to be more processable than poly(tetrafluoroethylene).<sup>6</sup>

A series of studies have been carried out in our laboratory to design and synthesize new fluorinated epoxy and acrylic resins. These materials have many applications because of their unique properties. In this paper we report the preparation of a series of new processable heavily fluorinated acrylic resins which exhibit dielectric constants as low as 2.10, very close to the known minimum values.

#### Experimental Section

Materials. Triethylamine was fractionally distilled from lithium aluminum hydride under nitrogen and acryloyl chloride was distilled under nitrogen. 1,1,2-Trichlorotrifluoroethane (Freon 113) was distilled from phosphorus pentoxide under nitrogen. Azobisisobutyronitrile (AIBN) was used as received. Methyl ethyl ketone peroxide (MEKP) (9% A.O. Max., Organic Peroxide VN 2550) was obtained from Witco. Alumina (neutral, Brockman activity 1, 80-200 mesh) was obtained from Fisher Scientific Co. All other reagents were used as received or purified by standard procedures.

Techniques. Infrared spectra were obtained with a Perkin-Elmer 1800 Fourier Transform Infrared Spectrophotometer and the absorption frequencies are reported in wavenumbers (cm<sup>-1</sup>). Proton-1 NMR spectra were obtained with a 300 MHz BZH-300 Bruker FT NMR spectrometer. Chloroform-d was used as solvent, and chemical

shifts are reported in ppm downfield from tetramethylsilane for  $\delta$ . Rf values were obtained from silica gel thin layer chromatography developed with a mixture of methylene chloride 1.5 mL and acetone 3 drops. The number of hydrate water molecules was calculated from the integration of proton-1 NMR spectra.

Preparation of etherdiacrylate 2 from 1. 1,3-Bis-(2-hydroxy-hexafluoro-2-propyl)-5-(4-heptafluoro-isopropoxy-1,1,2,2,3,3,4,4-octafluoro-1-butyl)benzene 1, bp 95°C/1.0mm, was prepared by the multistep route reported by Griffith and O'Rear<sup>8</sup>. Elemental analysis showed it to be anhydrous, but after long storage it had 0.5 H<sub>2</sub>O as water of hydration. Rf 0.36; <sup>1</sup>H NMR δ 8.35 (s, 1H, 2-ArH), 8.09 (s, 2H, 4,6-ArH), 4.14 (s, 2H, -OH), 2.13 (s, 1H, H<sub>2</sub>O); IR(neat) 3620 and 3515 br (OH), 3125, 1618, 1468, 1365, 1350-1100(CF), 1005, 992, 980, 948, 938, 900, 872, 841, 800, 775, 768, 758, 738, 728, 707, 690, 668, 621 cm<sup>-1</sup>.

The etherdiacrylate 2 was prepared by a modification of the procedure of Griffith and O'Rear. To a solution of 1 (3 g, 3.78 mmol) in Freon 113 (10 mL) in an ice-water bath under nitrogen, triethylamine (0.791 g, 7.81 mmol) in Freon 113 (5 mL) was added dropwise in 10 min. After 10 more min, acryloyl chloride (0.707 g, 7.81 mmol) in Freon 113 (5 mL) was added dropwise in 20 min; a precipitate formed immediately. After stirring 2 hr at room temperature, filtration through Celite to remove the solid, followed by evaporation at room temperature in vacuo, 3.02 g of a viscous liquid was obtained.

The liquid was dissolved in a mixture of methylene chloride (20

mL) and Freon 113 (10 mL); filtration through neutral alumina (1 g) gave a clear filtrate. It was cooled in an ice bath, washed twice with 1.3N sodium hydroxide 10 mL, washed with water 10 mL, dried over anhydrous sodium sulfate, percolated and washed through a column of neutral alumina (3 g) twice, and evaporated *in vacuo* at room temperature for 3 hr to give a colorless viscous liquid 2 1.38 g, yield 40%. Rf 0.92; IR(neat) 3118, 1772, 1637, 1468, 1410, 1365, 1350-1100(CF), 1055, 1040, 992, 900, 878, 848, 800, 770, 759, 740, 728, 718, 670 cm<sup>-1</sup>; <sup>1</sup>H NMR & 7.68 (s, 2H, 4,6-ArH), 7.63 (s, 1H, 2-ArH), 6.61 (d, J=17 Hz, 2H), 6.29 (d, d, J=17, 10 Hz, 2H), 6.13 (d, J=10 Hz, 2H), 1.57 (s, 2H, H<sub>2</sub>O) indicated 1.0 H<sub>2</sub>O as water of hydration.

Preparation of triacrylate  $\underline{5}$  from  $\underline{4}$ . 1,3,5-Tris(2-hydroxy-hexafluoro-2-propyl)benzene  $\underline{4}$  was prepared by a multistep route according to the procedure of Soulen and Griffith. <sup>10</sup> This compound was hygroscopic as also observed by Griffith and O'Rear. <sup>8</sup> Rf 0.15; IR(KBr pellet) 3660, 3618, 3590 and 3513 (OH), 3125, 1465, 1300-1100(CF), 1026, 1013, 980, 891, 775, 730, 709, 693 cm<sup>-1</sup>; <sup>1</sup>H NMR  $\delta$  8.25 (s, 1H, ArH), 4.44 (s, 1H, -OH), 2.11 (s, 1.5H, H<sub>2</sub>O) indicated 2.25 H<sub>2</sub>O as water of hydration.

The triacrylate 5 was prepared by a procedure similar to that described for the synthesis of 2. Triol 4 (2.176 g, 3.78 mmol), triethylamine (1.517 g, 15.0 mmol) and acryloyl chloride (1.358 g, 15.0 mmol) were used. After filtration and evaporation, 1.82 g of a viscous liquid was obtained. It was dissolved in a mixture of methylene chloride (20 mL) and Freon 113 (20 mL), and a gelatinous

solid was removed by filtration through neutral alumina (1 g). The filtrate was purified by percolation and washing through a column of neutral alumina (3 g) twice. Evaporation at room temperature in vacuo 3 hr gave 1.12 g (40% yield) of  $\underline{5}$  as a very viscous colorless liquid, which upon standing at  $-13^{\circ}$ C became a semisolid. <sup>1</sup>H NMR  $\delta$  7.53 (s, 3H),  $\delta$ .57 (d, J=17 Hz, 3H),  $\delta$ .24 (d, d, J=17, 10 Hz, 3H),  $\delta$ .06 (d, J=10 Hz, 3H) indicated no water of hydration present. This semisolid is, however, hygroscopic. Rf 0.85; IR(KBr pellet) 3515, 3130, 2920, 2850, 1770, 1635, 1630, 1412, 1350-1100(CF), 1090, 1055, 995, 940, 900, 802, 750, 740, 725, 715,  $\delta$  89,  $\delta$  669 cm<sup>-1</sup>;  $\delta$  1 NMR  $\delta$  7.51 (s, 3H),  $\delta$  6.60 (d, J=17 Hz, 3H),  $\delta$  6.28 (d, d, J=17, 10 Hz, 3H),  $\delta$  6.11 (d, J=10 Hz, 3H), 1.56 (s, 3H, H<sub>2</sub>O) indicated 1.5 H<sub>2</sub>O as water of hydration.

Preparation of polymer "cylindrical donuts" from monomers. In order to prepare the samples for dielectric constant measurements, etherdiacrylate 2 was mixed with a trace amount of AIBN at room temperature in a cylindrical donut mold made from General Electric RTV 11 silicon molding compound. Donuts had an outer diameter of 7.0 mm, inner diameter of 3.0 mm and a thickness of 3.0 mm; the semisolid triacrylate 5 was mixed with a trace amount of liquid methyl ethyl ketone peroxide (MEKP) with some heating to obtain a clear liquid; equal masses of 2 and 5 were also mixed with MEKP with some heating.

For polymerization the filled donut molds were kept under an inert atmosphere, the temperature was raised to  $85^{\circ}$ C over 2 hr, then kept at  $85-100^{\circ}$ C for 20 hr. Two homopolymers 3 (from 2) and

 $\underline{6}$  (from  $\underline{5}$ ), and one copolymer 50/50 (w/w)  $\underline{7}$  were obtained.

Dielectric constant (DE) measurements. Dielectric constant values are reported as "Permittivity" with the symbol  $\epsilon$  or  $K_{\rm S}$ . The polymer cylindrical donuts were used for the measurement of DE on a Hewlett Packard 8510 Automated Network Analyzer. The analyzer is capable of measuring 401 data points over a frequency band of 500 MHz to 18.5 GHz. Typically S11 and S21 values, which correspond to reflection and transmission respectively, are measured and then these values are used to calculate the permittivity and permeability.

Samples stood at room temperature in air prior to testing; measurements were run at room temperature and approximately 25% RH. A virgin PTFE sheet (MMS-636-2) was obtained from Gilbert Plastics & Supply Co. and cut into the same cylindrical donut size. The result for PTFE reported here is the average of DE values measured for three samples.

#### Results and Discussion

Monomers. The preparation of etherdiol 1 and triol 4 was carried out in multistep routes according to the reported procedures. 8,10 As summarized in Scheme I, the synthesis of acrylic esters, 2 and 5, was carried out in a fluorocarbon solvent such as Freon 113 by the reaction of alcohols, 1 and 4, with acryloyl chloride and an amine acid acceptor such as triethylamine. Other attempts to esterify the fluoroalcohols directly with acrylic acid or acrylic anhydride were not successful. 9 Product purification by

distillation was not feasible because of the temperature required, but purification by percolation of fluorocarbon solutions through neutral alumina resulted in products, 2 and 5, of good purity identified by TLC, proton-1 NMR and IR.

In proton-1 NMR spectra, both 2 and 5 showed a characteristic ABX pattern for acrylate in the region of 6 6.8-6.0 with a pair of doublet couplings for each vinyl proton as shown in Figure II and Figure III; the products purified by percolation over alumina contained no detectable hydrate water or polymerized impurities. After standing in air at room temperature, both alcohols 1, 4 and acrylates 2, 5 are found to contain hydrate water as shown in Table II with the chemical shifts and integral ratios. Only the alcohol 4 was reported<sup>8,10</sup> to be a hygroscopic solid but gave anhydrous product by distillation or sublimation. It is interesting to note that the etherdiacrylate 2 contained twice the amount of hydrate as the etherdiol 1, while the triacrylate 5 contained only two-thirds the amount of hydrate as the triol 4 because in the case of the acrylates, there are equivalent hydrates for both 2 and 5.

The monomers  $\underline{2}$  and  $\underline{5}$  are stable at room temperature, and no change can be detected by use of IR and NMR after storing in air for three months.

**Polymerization.** Due to their liquid or semisolid nature, monomers  $\underline{2}$  and  $\underline{5}$  are easy to process into polymers. For radical polymerization the use of solid azobisisobutyronitrile (AIBN) for liquid  $\underline{2}$  at room temperature and liquid MEKP for semisolid  $\underline{5}$  or a mixture of  $\underline{2}$  and  $\underline{5}$  with some heating is convenient. During the

course of curing at 85-100°C the problem of surface inhibition of free radicals by oxygen of air can be avoided by inert gas blanketing.

Since each acrylate group is difunctional, the etherdiacrylate  $\underline{2}$  is tetrafunctional while the triacrylate  $\underline{5}$  is hexafunctional. For polymerization of the polyfunctional monomers at sufficiently high degrees of conversion, the branching must result in the formation of cross-links to give a three dimensional network. As expected, the homopolymers  $\underline{3}$ ,  $\underline{6}$  and the 50/50 (w/w) copolymer  $\underline{7}$  are semitransparent, hard solids.

The degree of polymerization or conversion of  $\underline{2}$  or  $\underline{5}$  can be easily monitored with fourier transform infrared spectrophotometer, for example, by examining the intensity of the absorption frequencies at 1635 and 1410 cm<sup>-1</sup> which are assigned to the acrylate functional groups as shown in Figure IV.

Dielectric constant (DE). In order to validate the accuracy of our measurements, three samples of virgin PTFE in the same cylindrical donut size were measured and found to have average DE values around 1.96-1.99, which are close to the reported values 2.0-2.08.<sup>5</sup> Summarized results of the DE measurement on the polymer donuts 3, 6, 7, and PTFE are shown in Table I, while the observed measurement curves are shown in Figure I. All the new polymers exhibit unusually low DEs around 2.10-2.24 over a wide frequency region of 500 MHz to 18.5 GHz; the variation of DE values over the measured frequency region is within 0.03 for each polymer. The 50/50 (w/w) copolymer 7, having a molar ratio 45/55 of 2 and 5,

showed DE of 2.22 which is close to that of  $\underline{6}$ ; this indicates that the copolymer  $\underline{7}$  was not completely homogeneous and compatible.

The wide range frequency independence of these low DEs and the processability of these monomers suggest many potential applications. In particular, it is known that the addition of a fluorine-containing group to the polymer backbone will reduce the polymer chain-chain electronic interactions, resulting in a reduction of DE as reported by St. Clair et al.<sup>4</sup>, but the DEs for 3 (fluorine content 57%) are at most 0.13 below those for 6 (fluorine content 46%), which may indicate that a minimum value might have been nearly reached regarding the structure/property relationship.

In 1990 Resnick<sup>6</sup> reported that the lowest dielectric constant (1.89-1.93) of any polymer thus far was obtained from copolymers of 2,2-bis(trifluoromethyl)-4,5-difluoro-1,3-dioxole 8 and tetrafluoroethylene 9 with structures shown in Scheme II. In addition to the effect of the fluorine content, the existence of similar perfluoroalkyl ether linkage on the double bonds in both monomers 8 and 2 seems to play a important role in further reducing the dielectric constant values.

It has been reported<sup>11</sup> that in the preparation of polyimides the more flexible meta-linked diamine systematically gave lower DE values than the corresponding para-linked system and that this may be related to free volume in the polymer since the meta-substituted systems should have a higher degree of entropy. This meta effect may be somewhat responsible for the low DE values for the fully

1,3,5-substituted 3, 6 and 7.

St. Clair et al.  $^4$  also observed that the low dielectric constant polyimides containing the -CF $_3$  group show excellent resistance to moisture, exhibiting almost PTFE-like behavior. Since PTFE contains 76% fluorine, it appears that the DE values for the heavily fluorinated  $\underline{3}$ ,  $\underline{6}$  and  $\underline{7}$  with fluorine content around  $\underline{46}$ -57% will be humidity-independent. It is known that water has a very high DE of 79.45. The low DE values for  $\underline{3}$ ,  $\underline{6}$  and  $\underline{7}$  suggest a very low water content after polymerization of the hydrated monomers.

Processibilty and Applications. The liquid or low melting solid monomers 2 and 5 can be cured to the solid state by incorporating therein a curing catalyst and heating the mixtures below the decomposition temperature thereof. Moreover the cured solids 3, 6, and 7 are transparent and hard polymers formed of three dimentional networks and reasonable thermostability.

In the course of curing, the viscosity varies from thin to syrupy liquids which is favorable for use to impregnate reinforcing materials, such as fiber glass scrim, used in making wiring boards or circuit boards or other electronic components used in electric or electronic applications.

Dielectric constants of these materials can be further lowered by known means such as by incorporating air bubbles in the materials or by mixing glass therewith. A difference of a couple of hundredths in the dielectric constant value may be important when one is at the low extremes thereof.

A compound containing two or three unsaturated groups would be

expected to polymerize to a crosslinked, solid, and non-linear thermosetting polymer. Fluorinated compounds which have one unsaturated group can be used in a mixture with  $\underline{2}$  or  $\underline{5}$  to polymerize to a solid polymer of a thermoset nature.

#### Conclusions

In this work we have demonstrated that a new class of heavily fluorinated acrylic resins can be efficiently synthesized and then cured to solid form with a catalyst at elevated temperatures. These cured resins were found to have unusually low dielectric constants, which are close to the known minimum values for PTFE and its terpolymers. In contrast to tetrafluoroethylene, our monomeric fluorinated aromatic acrylates are processable under normal conditions due to the fact that they are liquids or low melting solids, and moreover are soluble in common organic solvents. A practical manipulation in making network polymers is also established. We foresee many applications of these new fluoropolymers in aerospace and electronic circuit industries.

Acknowledgement. We are indebted to Mr. Jonas K. Lodge of SFA, Inc. for the dielectric constant measurements. Partial funding support from the Office of Naval Research is gratefully acknowledged.

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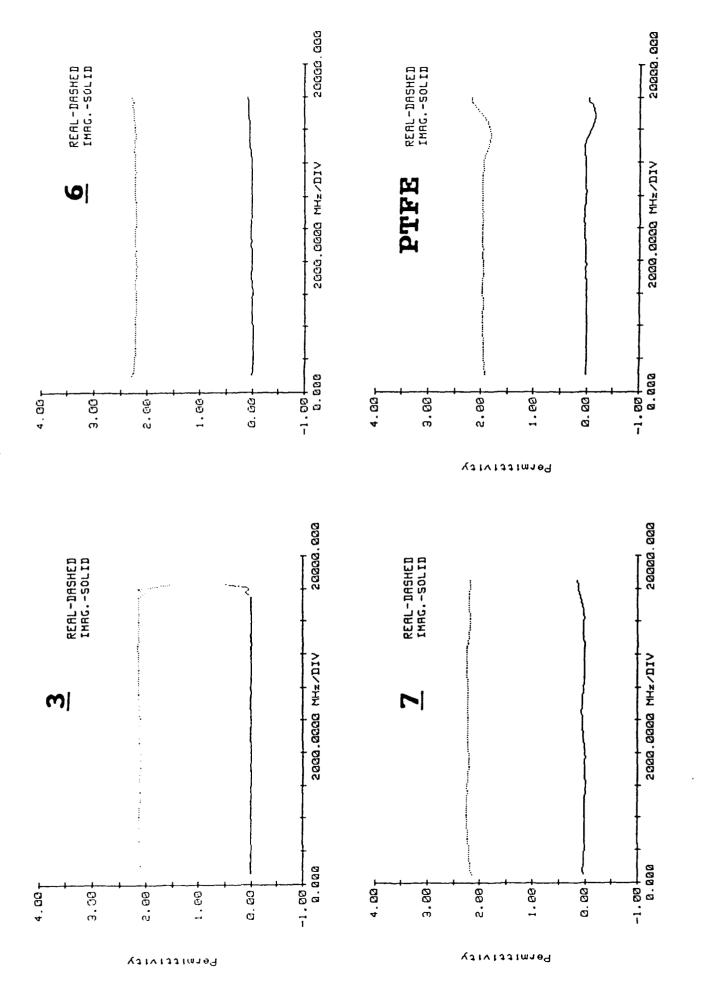
H. D., Hergenrother, P. M., Eds.; Blackie: Glasgow, U.K., 1990; pp 58-78. see p 74.

Table I

Summary of Data for Dielectric Constant Measurements<sup>a</sup>

	all	frequency (CH2)		
Samnles		(mrs) (ama-b		
	0	3.5	9.5	15.5
8	2.11	2.10	2.12	2.13
9	2,23	2.23	2.23	000
t			C4:4	77.7
	2.22	2.23	2.24	2.22
PTFE	1.96	1.98	1.99	1 0 0

<sup>a</sup>See Experimental Section for detail. <sup>b</sup>Poly(tetrafluoroethylene).



Observed curves of dielectric constant measurement for  $\underline{3}$ ,  $\underline{6}$ ,  $\underline{7}$  and Teflon. Figure I

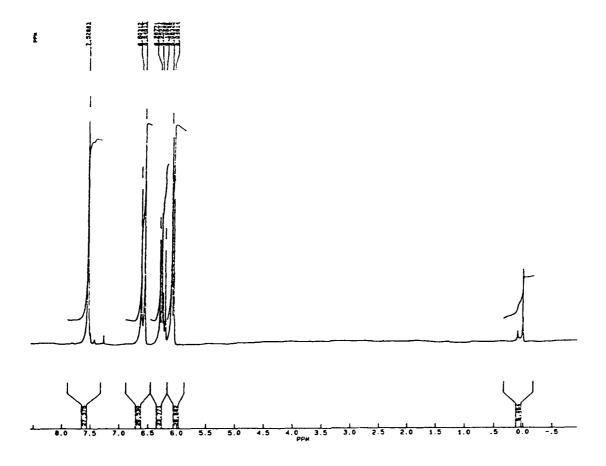


Figure II 300 MHz  $^1$ H NMR spectrum of anhydrous  $\underline{5}$  in CDCl<sub>3</sub>.

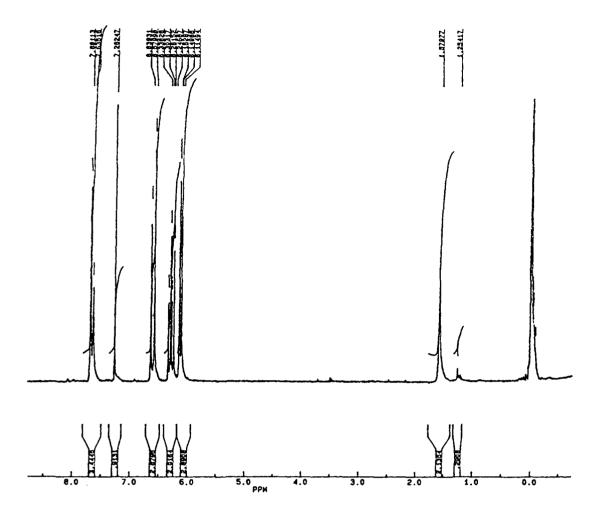
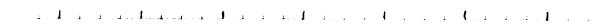


Figure III 300 MHz  $^{1}$ H NMR spectrum of hydrated  $\underline{2}$  in CDCl<sub>3</sub>.



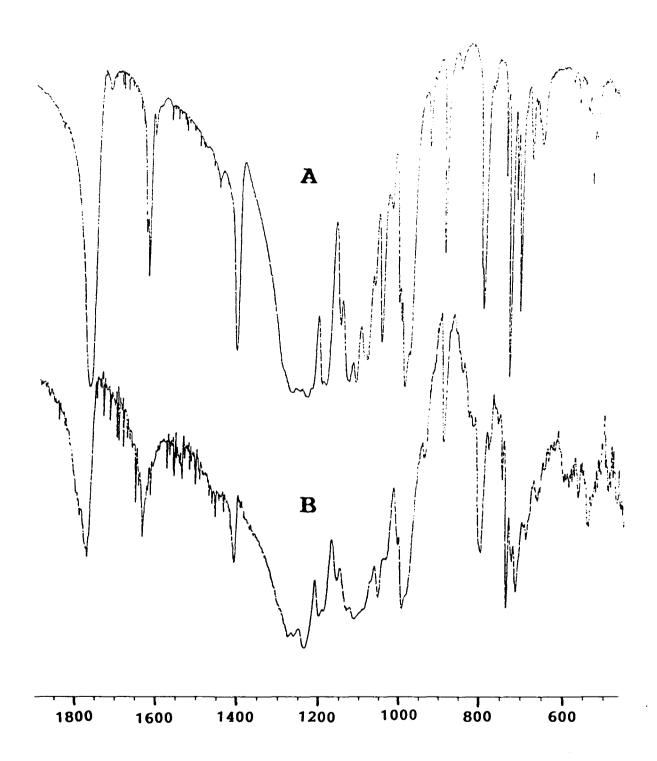
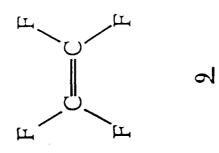


Figure IV FT-IR (KBr pellet) spectra of (A) anhydrous  $\underline{5}$  and (B) its curing with AIBN at 100°C for 18 hr.

Table II

Summary of Data for Hydrate Water Relationship

	<sup>1</sup> H NMR 6(0	<sup>1</sup> H NMR 6(CDCl <sub>3</sub> /TMS)	$(H_2O)_n$	functionality ratio
samples	но-	(H <sub>2</sub> O) <sub>n</sub>	c	vinyl or -OH vs $(H_2O)_n$
	4.14	2.13	0.5	2 : 1
2		1.57	1.0	4:2
4	4.44	2.11	2.25	3 : 4.5
2		1.56	1.5	6:3



# Scheme II

 $\infty$ 

# APPENDIX P L-PROLINE MODIFIED NYLONS



### L-Proline Modified Nylons

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### INTRODUCTION

The conformational constraints that L-proline imposes upon natural polypeptides has been recognized as the most significant factor in the occurrence of 8 bends and pleats. The amino group of this most unusual natural amino acid is secondary and contained in a five-membered ring structure, and as one consequence of this, the peptide linkage formed with the amine group lacks an available hydrogen atom for hydrogen bonding. The implications for the materials properties, as opposed to the biological properties, of natural and synthetic polyamides containing L-proline are most interesting. Thus we have begun a synthetic effort with a first objective of defining the minimal structure of synthetic polymer for which L-proline will control the elastic properties.

A most effective synthesis of regularly ordered copolyamides, which could properly be called the Bailey Synthesis. has produced a number of unique products of this type. This is the first application of this general synthetic procedure to a proline-containing copolyamide. The 11-carbon moiety was selected as the reactant to complement proline for several reasons. First, proline compounds are frequently oils, which are difficult to crystallize and purify. This problem, however, is offset by the relative ease with which long chain aliphatic amides can be crystallized. Second, in the polymers that contain proline, there should be a length of inert chain between the beta type bends, and the straight-chain 11-carbon aliphatic structure is a good substitute for the hydrophobic amino acid residues often found in natural chains. Last, the 11-aminoundecanoic acid is a readily available, long-chain amino acid reactant.

# EXPERIMENTAL

As illustrated in Scheme 1, the polyamide of 11-aminoundecanoic acid (Nylon 11) § was synthesized by a seven-step procedure. 11-Aminoundecanoic acid 1 was first converted to its ethyl ester amine hydrochloride 2 (mp 140-143°C, lit°. 143°C) with hydrogen chloride in anhydrous ethanol. Refluxing 2 with phosgene in toluene to emit hydrogen chloride, ethyl 11-isocyanatoundecanoate 3 (bp 150°C/2.0 mm, lit°. 149°C/2.0 mm) was obtained through distillation in quantitative yield. Addition of t-butanol to 3 at 60°C with di(n-butyl)tin diacetate catalyst gave a nearly quantitative yield of semisolid ethyl 11-t-butyloxycarbonylaminoundecanoate 4 (mp ≈25°C). This gave the crystalline solid 11-t-butyloxycarbonyl-aminoundecanoyl hydrazide 5 (mp 93-94°C) upon treatment with methanolic hydrazine at 40°C. This was converted to solid 11-t-butyloxycarbonylamino-undecanoyl azide 6 in 93% yield with addition of aqueous sodium nitrite at -10°C into a mixture of dimethylformamide (DMF), dichloromethane, acetic acid and hydrochloric acid. Compound 6 was then deblocked at -20°C with hydrogen bromide in acetic acid, propionic acid and acetic anhydride. The mixture was then added to cooled dry ether (-40°C) to precipitate a very hygroscopic crystalline solid 11-amino-undecanoyl azide hydrobromide 7 in 60% yield. Finally, a very slow addition of

triethylamine to the DMF solution of 7 at  $-20^{\circ}\mathrm{C}$  followed by standing for 3 days at  $-15^{\circ}\mathrm{C}$  yielded DMF-insoluble and DMF-soluble polymers. The former 8 was filtered off, and the latter was obtained by precipitation with water.

## Scheme 1

Scheme 2

Copolyamide 14 of 1 and L-proline was synthesized in Scheme 2 from 4 and 6. The preparation of methyl 11-t-butyloxycarbonyl-aminoundecanoyl-L-prolinate 10 (mp 43.5-45°C) has been carried out in two steps. Hydrolysis of ethanolic 4 with the addition of aqueous sodium hydroxide and acidification gave 11-t-butyloxycarbonylamino-undecanoic acid 2 (mp 67.5-68.5°C) in 91% yield, which was then coupled with methyl L-prolinate hydrochloride in 78% yield by using dicyclohexyl- carbodiimide and 1,4-diazabicyclo[2,2,2]octane in dry methylene chloride. The condensation of 6 with methyl L-prolinate in ethyl acetate at 5°C resulted in an oil in 29% yield, which showed a similar infrared spectra to that of 10. It is acceptable for practical use although it can not be crystallized.

Compound  $\underline{10}$  was converted into crystalline 11-t-butyloxycarbonylaminoundecanoyl-L-prolyl hydrazide  $\underline{11}$  (mp 58-61°C) with methanolic hydrazine at 40°C in a quantitative yield. Solid 11-t-butyloxycarbonylaminoundecanoyl-L-prolyl azide  $\underline{12}$  was obtained in 80% yield with the same method described above. Deblocking of  $\underline{12}$  at  $-20^{\circ}$ C with hydrogen bromide in acetic acid, propionic acid and acetic anhydride yielded a semisolid 11-aminoundecanoyl-L-prolyl azide hydrobromide  $\underline{13}$  in 90% yield. A very slow addition of triethylamine to the DMF solution of  $\underline{13}$  at  $-20^{\circ}$ C followed by standing for 3 days at  $-15^{\circ}$ C resulted in DMF-insoluble polymers  $\underline{14}$ .

# RESULTS AND DISCUSSION

Our study of the preparation of polypeptides through the polymerization of the amino acid azide hydrobromides basically followed Bailey's general with modifications. The key to the success of Bailey's method is the isolation and purification of crystalline amino acid or peptide Thus, the synthesis of a azide hydrobromides. regular alternating copolyamide of 11-aminoundecanoic acid and L-proline was accomplished by the condensation of 11-aminoundecanoyl-L-prolyl azide hydrobromide 13. Similarly, the polyamide of 11-aminoundecanoic acid was obtained through the condensation of 11-aminoundecanoyl azide hydrobromide 7.

Compound  $\mathfrak J$  is a colorless liquid obtained from distillation; all others are isolated as solids. Due to thermal decomposition to emit nitrogen gas, compounds  $\underline 6$ ,  $\underline 7$ ,  $\underline 12$  and  $\underline 13$  containing the acyl azide group should be always kept around -15°C. Melting points can not be obtained. Compounds  $\underline 6$  and  $\underline {12}$  are resistant to water while the corresponding amine hydrobromide salts  $\underline 7$  and  $\underline {13}$  are extremely hygroscopic and must be always kept under dry nitrogen. The characteristic infrared absorptions can be easily used to demonstrate the reaction pathway.

We note that the infrared spectra of 14 did not show the typical absorption bands of 960 and 1355 cm<sup>-1</sup> characteristic for poly-L-proline Form I in which all the peptide bonds are in the cis conformation<sup>1</sup>. It is obvious that the steric restraint to rotation about the carbonyl carbon-ac carbon bond and the carbonyl carbon-nitrogen (proline) is lower than that of poly-L-proline.

It was shown that a regularly alternating copolyamide in which one of the comonomers was an  $\alpha$ -aminoacid was biodegradable. For example, the alternating copolyamide of glycine and 6-aminocaproic acid is readily broken down in several weeks and utilized as food by a number of bacteria and fungi. In contrast, polyglycine and Nylon 6 are both relatively inert under the same condition. We have observed that  $\underline{14}$ , but not  $\underline{8}$ , decomposed at elevated temperature while poly-L-proline and Nylon 11 are both stable.

In differential scanning calorimetry measurements compound § showed  $T_{\rm g}$  at  $50^{\circ}{\rm C}$  and  $T_{\rm m}$  at  $158^{\circ}{\rm C}$  which remained unchanged even after several hours heating. The commercial Nylon 11 produced from 11-aminoundecanoic acid at 200-220°C has  $T_{\rm m}$  at  $185^{\circ}{\rm C}$ . The decrease of  $T_{\rm m}$  in § may reflect a lower molecular weight produced by the method of polymerization. Compound 14 showed a decomposition starting from 125°C and finishing at  $188^{\circ}{\rm C}$ . The presence of L-proline in 14 may cause the decomposition at elevated temperature.

We have observed that  $\underline{13}$  decomposed at room temperature rapidly into a white solid with melting point around 130-140 $^{0}$ C. Infrared absorption spectra showed strong peaks at 1607 and 1659 cm $^{-1}$  indicating a copolyamideurea may be obtained.

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# APPENDIX Q

L-PROLINE MODIFIED NYLONS

1. THE ALTERNATING COPOLYAMIDE OF L-PROLINE AND 11AMINOUNDECANOIC ACID



L-Proline-Modified Nylons. 1. The Alternating Copolyamide of
L-Proline and 11-Aminoundecanoic Acid
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L-Proline is a principal amino acid in the resilient natural proteins, such as elastin. The unique elastic properties of elastin may arise from the cyclic secondary amine structure without hydrogen-bonding capacity found at a proline peptide linkage. The synthesis of an alternating copolyamide of L-proline and 11aminoundecanoic acid as well homopolyamide as a aminoundecanoic acid involving the tertiary butoxycarbonyl group (t-BOC) and the azide functionality is reported here. copolyamide is one in a series of nylon-type synthetic polymers which promise exceptionally tough and resilient materials.

# INTRODUCTION

The conformational constraints that L-proline imposes upon natural polypeptides has been recognized as the most significant factor in the occurrence of ß bends and pleats<sup>1, 2, 3</sup>. The amino group of this most unusual natural  $\alpha$ -amino acid is secondary and contained in a five-membered ring structure, and as one consequence of this, the peptide linkage formed with the amine group lacks an available hydrogen atom for hydrogen bonding. The implications for

the materials properties, as opposed to the biological properties, of natural and synthetic polyamides containing L-proline are most interesting. Thus we have begun a synthetic effort with a first objective of defining the role of L-proline in the control of elastic properties of synthetic copolyamides.

A most effective synthesis of regularly ordered copolyamides, which could properly be called the Bailey Synthesis<sup>4, 5</sup>, has produced a number of unique products of this type. This is the first application of this general synthetic procedure to a L-proline-containing copolyamide. The readily-available 11-carbon moiety was selected as the reactant to complement proline for several reasons. First, proline derivatives are frequently oils, which are difficult to crystallize and purify. This problem, however, is offset by the relative ease—with which long chain aliphatic amides can be crystallized. Second, in the polymers that contain proline, there should be a length of inert chain in order to achieve the β-type bends; the straight-chain 11-carbon aliphatic structure is a good substitute for the hydrophobic amino acid residues often found in natural chains.

# **EXPERIMENTAL**

As illustrated in Scheme 1, the polyamide  $\underline{8}$  (Nylon 11) of 11-aminoundecanoic acid was synthesized by a seven-step procedure. 11-Aminoundecanoic acid  $\underline{1}$  (300g) in 1.5L of anhydrous ethanol was first converted to its ethyl ester amine hydrochloride  $\underline{2}$  in 96% yield with the addition of hydrogen chloride (131g) at room temperature. Refluxing  $\underline{2}$  (186g) in 0.5L of toluene with the

addition of phosgene (90g) to emit hydrogen chloride, ethyl 11isocyanatoundecanoate 3 was obtained through distillation in 98% yield. Addition of t-butanol (10.6g) to 30g of 3 at  $60^{\circ}$ C with di(n-butyl)tin diacetate catalyst (12 drops) gave a 95% yield of semisolid ethyl 11-t-butoxycarbonylaminoundecanoate  $\underline{4}$ . This gave the crystalline solid 11-t-butoxycarbonylaminoundecanoyl hydrazide 5 in 72% yield upon treatment with anhydrous methanolic hydrazine at 40°C. Solid 11-t-butoxycarbonylaminoundecanoyl azide 6 was obtained in 93% yield with addiction of aqueous sodium nitrite at  $-10^{\circ}$ C into a solution of 5 in a mixture (8/2/2.5/5 by volume) of dimethylformamide (DMF), dichloromethane, acetic acid and 1N hydrochloric acid. The butoxycarbonyl group of  $\underline{6}$  in ether was then removed at -20°C with hydrogen bromide in acetic acid, propionic acid and acetic anhydride. The mixture was then added to a cooled dry ether (-40°C) to precipitate a very hygroscopic crystalline solid 11-amino-undecanoyl azide hydrobromide 7 in 60% yield. Finally, a very slow addition of triethylamine (1.0g) to the DMF (12ml) solution of 7 (0.8g) at  $-20^{\circ}$ C followed by standing for 3 days at -15°C yielded DMF-insoluble and DMF-soluble polymers. The former 8 in 57% yield was filtered off, and the latter was obtained by precipitation with water. The overall yield of  $1 \rightarrow 8$  is 20%.

Copolyamide 14 of 1 and L-proline was synthesized as shown in Scheme 2. The preparation of methyl 11-t-butoxycarbonylamino-undecanoyl-L-prolinate 10 has been carried out in two ways. Hydrolysis of 20 ml ethanolic 4 (5g) with the addition of 10ml aqueous sodium hydroxide (0.75g) and acidification gave 11-t-butoxycarbonylaminoundecanoic acid 9 in 91% yield, which was then

coupled with methyl L-prolinate hydrochloride (2.75g from Aldrich Co.) in 78% yield by using dicyclohexylcarbodiimide (3.3g) and 1,4diazabicyclo[2,2,2]octane (1.5q) in 100ml of dry methylene On the other hand, methyl L-prolinate hydrochloride (0.25g) in water was neutralized with 5% aqueous sodium hydroxide, its ethyl acetate extract was then condensed with  $\underline{6}$  (0.50g) at 5°C resulted in an oil in 29% yield, which showed a similar infrared spectra to that of 10. This oil is acceptable for practical use although it can not be crystallized. Compound 10 was converted crystalline 11-t-butoxycarbonylaminoundecanoyl-L-prolyl hydrazide 11 with methanolic hydrazine at 40°C in 99% yield. Solid 11-t-butoxycarbonylaminoundecanoyl-L-prolyl azide 12 was obtained in 80% yield with the same method described above for 6. Deblocking of 12 at  $-20^{\circ}$ C with hydrogen bromide in acetic acid, propionic acid and acetic anhydride yielded a semisolid 11aminoundecanoyl-L-prolyl azide hydrobromide 13 in 90% yield. very slow addition of triethylamine to the DMF solution of 13 at -20°C followed by standing for 3 days at -15°C resulted in a DMFinsoluble polymer 14. The overall yield of  $1 \rightarrow 4 \rightarrow 9 \rightarrow 14$  is 16%. Properties: mp, bp,  $T_0$ , and  $T_m$  in  ${}^0$ C, recrystallization solvent, yield, infrared absorption frequencies in cm<sup>-1</sup> and NMR chemical shift  $\delta(CDCl_3/TMS)$  with assignments are reported. 2. 140-143 (lit<sup>6</sup>. 143), (EtOAc), 96%. 3. bp 150/2.0 mm (lit<sup>6</sup>. 149/2.0 mm), 98%, 2265 OCN, 1733 COO. 4.14 (q, J = 7 Hz, 2H, COOCH<sub>2</sub>), 3.29 (t, J = 7 Hz, 2H,  $OCNCH_2$ ), 2.29 (t, J = 7 Hz, 2H,  $CH_2COO$ ), 1.7-1.2 (m, 19H,  $CH_2$ and  $CH_3$ ).  $\underline{4}$ .  $\approx 25$  (Hexane), 95%, 3380 NH, 1736 COO, 1719 OCON.

4.6 (br, 1H, NH), 4.14 (q, J = 7 Hz, 2H, OCH<sub>2</sub>), 3.10 (2t, J = 7Hz, 2H, NCH<sub>2</sub>), 2.30 (t, J = 7 Hz, 2H, CH<sub>2</sub>COO), 1.7-1.2 (m, 28H, CH<sub>2</sub> and  $CH_3$ ). 5. 93-94 (EtOAc), 72%, 3355 and 3305 NH, 1688 OCON, 1660 1638 CON. 7.25 (br, 1H, NH), 4.63 (br, 1H, NH), 3.85 (br, 2H,  $NH_2$ ), 3.09 (2t, J = 7 Hz, 2H,  $NCH_2$ ), 2.16 (t, J = 7 Hz, 2H,  $CH_2COO)$ , 1.7-1.2 (m, 25H,  $CH_2$  and  $CH_3$ ). <u>6</u>. no mp (decomposed), (ppt from water), 93%, 3395 NH, 2138 NNN, 1718 CONNN, 1690 OCON.  $\underline{7}$ . no mp (decomposed), (ppt from ether), 60%, 3370 NH, 2138 NNN, 1700 CONNN. 8. DMF-insoluble: Tg 50, Tm 158, 57%, 3310 NH, 1640 CON. 9.67.5-68.5 (EtOAc/petroleum ether, 1:1), 91%, 3370 NH and OH, 1700 COO, 1690 OCON. 9.04 (br, 1H), 3.10 (2t, J = 7 Hz, 2H,  $NCH_2$ ), 2.34 (t, J = 7 Hz, 2H,  $CH_2COO$ ), 1.7-1.2 (m, 25H,  $CH_2$  and  $CH_3$ ). 10. 43.5-45 (EtOAC/petroleum ether, 1:10), 78%, 3395 NH, 1745 COO, 1693 OCON, 1640 CON. 4.53 (m, 1H, CHCOO), 3.73 (s, 3H, OCH<sub>3</sub>), 3.58 (m, 2H, NCH<sub>2</sub>), 3.11 (2t, J = 7 Hz, 2H, NHCH<sub>2</sub>), 2.31 (t, J = 7 Hz,2H,  $CH_2COO$ ), 2.05 (m, 4H, 3,4- $CH_2$ ), 1.5-1.1 (m, 28H,  $CH_2$  and  $CH_3$ ). 11. 58-61 (EtOAc/petroleum ether, 1:1), 99%, 3385, 3340 NH, 1688 OCON, 1657 CONH, 1637 CON. 4.55 (m, 1H, CHCOO), 3.54 (m, 2H,  $NCH_2$ ), 3.11 (2t, J = 7 Hz, 2H,  $NHCH_2$ ), 2.31 (t, J = 7 Hz, 2H,  $CH_2COO)$ , 1.98 (m, 4H, 3,4- $CH_2$ ), 1.5-1.1 (m, 25H,  $CH_2$  and  $CH_3$ ). no mp (decomposed), (ppt from water), 80%, 3395 NH, 2155 NNN, 1725 CONNN, 1695 OCON, 1642 CON. 13. no mp (decomposed), (ppt from ether), 90%, 3370 NH, 2155 NNN, 1720 CONNN, 1645 CON. 14. DMFinsoluble: decomposed from 125 to 188°C, 35%, 3300 NH, 1650 CONH, 1640 CON.

Our study of the preparation of polyamides through the polymerization of the amino acid azide hydrobromides basically followed Bailey's general method<sup>4, 5</sup> with modifications. The key to the success of Bailey's method is the isolation and purification of crystalline amino acid or peptide azide hydrobromides. Thus, the synthesis of an alternating copolyamide of 11-amino-undecanoic acid and L-proline was accomplished by the condensation of 11-aminoundecanoyl-L-prolyl azide hydrobromide 13. Similarly, the homopolyamide of 11-aminoundecanoic acid was obtained through the condensation of 11-aminoundecanoyl azide hydrobromide 7.

Compound  $\underline{3}$  is a colorless liquid obtained from distillation; all others are isolated as solids. Due to thermal decomposition to emit nitrogen gas, compounds  $\underline{6}$ ,  $\underline{7}$ ,  $\underline{12}$  and  $\underline{13}$  containing the acyl azide group should be always kept around  $-15^{\circ}$ C. Melting points can not be obtained. Compounds  $\underline{6}$  and  $\underline{12}$  are resistant to water while the corresponding amine hydrobromide salts  $\underline{7}$  and  $\underline{13}$  are extremely hygroscopic and must be always kept under dry nitrogen. The characteristic infrared absorptions can be easily used to demonstrate the reaction pathways. The spectra (Fig. 1 and 2) indicate  $\underline{6} \rightarrow \underline{7} \rightarrow \underline{8}$  and  $\underline{12} \rightarrow \underline{13} \rightarrow \underline{14}$ .

We note that the infrared spectra of  $\underline{14}$  did not show the typical absorption bands of 960 and 1355 cm<sup>-1</sup> characteristic for poly-L-proline Form I in which all the peptide bonds are in the cist conformation<sup>7, 8</sup>. It is obvious that the steric restraint to rotation about the carbonyl carbon- $\alpha$  carbon bond and the carbonyl carbon-nitrogen (proline) is lower than that of poly-L-proline.

It has been shown that a regularly alternating copolyamide in

which one of the comonomers was an  $\alpha$ -aminoacid was biodegradable. For example, the alternating copolyamide of glycine and 6aminocaproic acid is readily broken down in several weeks and utilized as food by a number of bacteria and fungi. In contrast, polyglycine and Nylon 6 are both relatively inert under the same In differential scanning calorimetry measurements conditions. compound  $\underline{8}$  showed  $\underline{T}_{_{G}}$  at  $50^{0}C$  and  $\underline{T}_{_{m}}$  at  $158^{0}C$  which remained unchanged even after several hours heating. The commercial Nylon 11 produced from 11-aminoundecanoic acid at  $200-220^{\circ}\text{C}$  has  $T_m$  at  $185^{\circ}\text{C}$  and an infrared spectrum  $^{10}$  similar to that of  $\underline{8}\,.\,$  The decrease of  $T_{m}$  in  $\underline{8}\,$ may reflect product variations produced by the method of polymerization; for example, the IR peak at 2040 cm<sup>-1</sup> in 8 and 14 data doesn't appear in commercial Nylon 11. Compound 14 showed a decomposition starting from  $125^{\circ}$ C and finishing at  $188^{\circ}$ C. presence of L-proline in 14 may cause the decomposition at elevated temperature.

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Scheme 1

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Scheme 2

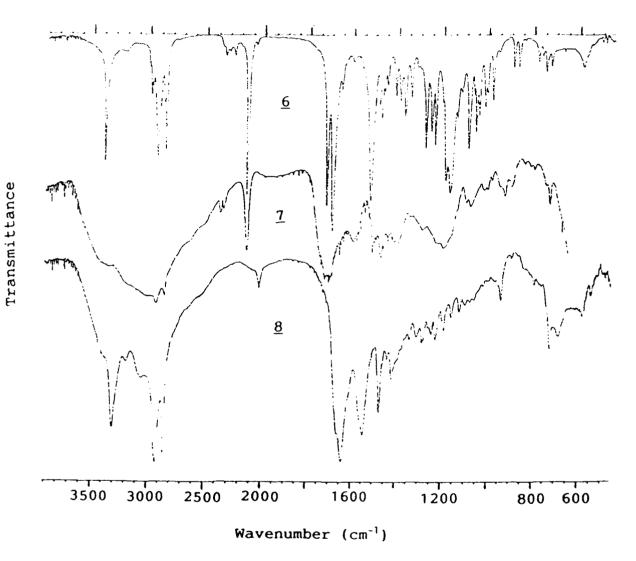


Figure 1: Infrared spectra of  $\underline{6}$ ,  $\underline{7}$  and  $\underline{8}$ .

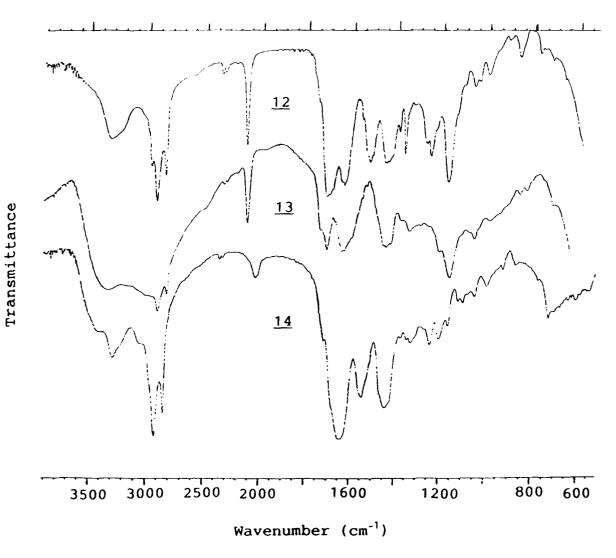


Figure 2: Infrared spectra of  $\underline{12}$ ,  $\underline{13}$  and  $\underline{14}$ .

# APPENDIX R NYLON 6 VS NYLON 66 SHOCK LOADING



February 7, 1992

Nylon 6 vs Nylon 66 Shock Loading

Distribution

# **Summary**

Previous work on the relative performance of nylon 6 vs. nylon 66 in airdrop applications by D. C. Prevorsek et al. suggested nylon 6 webbings had some property advantages over similar webbings based on commercially available nylon 66. It was unclear whether this performance enhancement was inherent to nylon 6 or was influenced by the different fabric stress-strain behavior. To further substantiate these results and define the inherent benefit due to nylon 6, we have performed similar shock loading experiments at the Naval Research Laboratory (NRL) in Washington D.C. The original test was slightly modified to achieve higher loading rates (Figure 1) to address our concerns about viscoelastic effects and any potential heat generation during this cyclic fatigue test. This modification allowed us to achieve a minimum loading rate of 10,000 lbs/sec as measured via chart recorder (Figure 2) with oscilloscope estimation suggesting a much higher rate (circa 20,000 lbs/sec).

Two different sets of nylon 6 (N6) and nylon 66 (N66) webbings were tested at this higher loading rate, the original Murdock webbings and a new set of webbings produced by Elizabeth. These webbing sets differed in stress-strain and shock loading behavior. The N6 and N66 webs produced by Murdock were very different (Figure 3), while the Elizabeth webs had very similar properties (Figure 4). The webbing properties after shock loading are shown in Figures 5-7 and are summarized in Table 1.

Web temperature was measured on the Elizabeth webs one inch from the 90° steel abrasion point (Figure 8) and found to be insignificant (1°C) over 30 cycles as shown in Figure 9.

Based on these shock loading experiments we believe the initial webbing stress-strain behavior dictates the energy absorption characteristics of the web after shock loading. Therefore, N6 and N66 should perform equally in airdrop applications if they are processed such that the final web properties are similar. However, if the Murdock webs are more representative of the current military webbings, than the higher energy absorption characteristic of N6 could yield superior performance in this application. Further work is suggested to confirm these results on fabrics made from N6/N66 yarns with similar properties and to jointly develop this end-use testing procedure with the Army.

Table 1°

<u>Sample</u>	Breaking Strength	Ultimate Elongation	Energy To Break
Elizabeth We	LBS bs	%	Joules
Nylon 6			
Control Fatigued 10X Fatigued 30X		30.5 24.1 (79) 22.5 (74)	380 357 (94) 298 (78)
Nylon 66			
Control Fatigued 10X Fatigued 30X		28.1 22.8 (81) 19.8 (70)	380 351 (92) 301 (79)
Murdock Web	os		
Nylon 6			
Control Fatigued 10X	15026 15487 (103)	34.5 25.9 (75)	392 377 (96)
Nylon 66			
Control Fatigued 10X	15412 16688 (108)	24.5 17.4 (71)	320 293 (92)

<sup>\*</sup> All properties represent one good Instron tensile test. Further testing for statistical averaging was not preformed since these results suggested further test method development must be undertaken and there was a limited quantity of these webs available.

<sup>&</sup>quot;Represents percent property retained

# **Experimental**

The web stress-strain behavior was obtained using a computer controlled Instron 4206 equipped with a Wallace optical extensometer. A crosshead speed of 2"/minute was employed with grip center-to-center distance of circa 8 inches. Fach sample was preloaded to 50 Kg at which time the webbing was marked with lines 50mm apart to allow tracking of the actual web strain with the optical extensometer. A "good" Instron break is defined as one which occurs by web failure outside the gripping region.

A MTS 810 testing frame with an Instron hydraulic system equipped with a wave generator was operated under load control to perform these fatigue experiments. The shock loading procedure was performed as described below using the apparatus shown in Figure 8. The slack in the system was removed by slowly loading the web until the system could sustain a 5000 lb. load for one minute at a distance below the crossheads' maximum travel. At this time the load was reduced to 3000 lbs. and the sample was cycled between 3000 and 5000 lbs. at 0.5 Hz for 10 or 30 cycles. Upon completion of this procedure the webbing was removed and immediately tested on the Instron. Temperature measurements were made by placing a small thermocouplation the middle of the loose web at the 90° steel abrasion point. Upon tensioning the web to 3000-5000 lbs., the thermocouple moved to a point 1-2 inches from the actual abrasion point.

# **Discussion**

# Test Method

The actual load profile during deployment is shown in Figure 1 along with the profiles selected for simulation purposes. It is apparent from this Figure that neither procedure duplicates the real life situation. The original Albany test went from 0 to 5000 lbs. compared to the 3000 to 5000 lb. cycle used at NRL. The smaller cycle amplitude used at NRL allowed attainment of higher stress rates more indicative of parachute deployment. The cycling frequency used in the Albany test is not obvious from the original report while 0.5 cycles/second was used at NRL. Therefore, the Albany test with lower cycling frequencies, from 0 minimum load to 5000 lbs. (approximately 30% of the nominal breaking load), compared to the NRL test with high cycling frequencies from 3000 to 5000 lbs. (18 to 30% of the nominal breaking load) are both extreme cases. The former simulates ten initial deployments of the parachute, and the latter, the sinusoidal fluctuations of several deployments. If the purpose of the test is to simulate a worst case load profile during 10 different deployments of the airdrop sling, a lower frequency should be chosen or the web should be loaded and then relaxed several hours and loaded again. If we are trying to simulate the actual stress scheme, 0.5 Hz would be the correct frequency and four sinusoidal load peaks should be used as one deployment simulation. Relaxing the web after this test and repeating this scheme x times would probably be the best duplication of multiple deployments. During the testing at NRL, a square wave profile was selected becau: it offers the fastest possible loading response. Further di cussion with R&T and the end-user should be undertaken to arrive at the best loading scheme. This analysis suggests neither of

the shock loading experiments duplicate the real airdrop deployment, but both assess the relative fatigue resistance of these webbings under dynamic loading over a steel edge.

Heat generation was measured during both loading profiles and found to be trivial relative to the melting point of these fibers. As mentioned above, these test do not reflect the measured response which shows four load peaks, and these simulation tests were run at higher loads for 10-30 cycles.

In conclusion we believe the tests developed thus far demonstrate the relative performance of N6 and N66 under cyclic loading over a steel edge. However, further test refinement is required to yield a procedure which more accurately reflects the actual measured response.

# **NRL Results**

Table 1 and Figures 3-6 summarize the results obtained at NRL and suggest the following trends.

- 1) Strength loss was rarely observed after this cyclic loading procedure.
- 2) Intermediate modulus of the web always increased after shock loading.
- 3) Ultimate elongation always decreased after dynamic loading.
- 4) Energy to break was always reduced after tension fatigue.
- 5) Except for the 30 cycle fatigue samples web failure did not occur in the abraded area.
- 6) There was no significant relative change difference (in contrast to absolute values) for N6 and N66 for any of these properties

Points 1-5 indicate this was not a very severe test and the higher modulus, UE/energy-to-break decrease, are most likely due to cycling the web and packing the constituent strands into jamming positions during testing, rather than actual fiber property reduction due to fatigue.

Under the higher loading rate conditions employed at NRL, N6 and N66 webs exhibit equal performance under cyclic loading if adjusted to similar stress-strain response.

# Albany International Testing

The test results obtained at Albany International are summarized below in Table 2.

Table 2

<u>Sample</u>	Breaking Strength LBS	Ultimate Elongation %	Energy To Break IN-LBS/IN
Murdock Wel	os		
Nylon 6			
Control Fatigued 10X	15500 13600 (88)	35.5 25.3 (71)	2310 1140 (49)
Nylon 66			
Control Fatigued 10X	17900 12900 (72)	28.0 19.5 (70)	1710 730 (43)

These results indicate a significant strength loss after shock loading due to localized abrasion at the 90° steel edge. However, percentage elongation and energy-to-break changes were similar for both materials. This behavior indicates the Albany test imparted much greater abrasion to the web than did the NRL test. There could be several origins for this higher abrasion compared to the NRL test, such as the greater load change, and differences in the experimental setup (e.g., type of steel, its roughness and the angle of the abrading edge).

This data indicates N6 has better strength retention than N66 after shock loading yet this might be expected from a web with higher initial toughness. Since strength retention is a major issue, inherent abrasion resistance becomes important as pointed out in the original report. Therefore, we should also consider finish and weave differences since these are known to affect abrasion. Energy-to-break retention was 6% greater for N6 but the significance of this could be questioned in light of the radically different web starting properties.

# Conclusions/Recommendations:

- 1) The current shock loading fatigue procedures do not properly simulate the measured airdrop sling load profile and need to be improved towards this end. It is recommended that a new test be developed jointly with R&T and the Army.
- 2) Loading rate does not appear to be an important factor in temperature rise or property retention over the experimentally covered range.
- 3) Initial web properties dictate the performance of these materials under these cyclic fatigue tests. N6 and N66 web designed to yield like stress-strain properties exhibit similar behavior under these conditions.
- 4) Abrasion resistance is a very important factor in determining the performance of the web in these tests and therefore, fabric finish and construction should be carefully controlled.
- 5) The best fundamental comparison between these materials could probably be achieved by comparing web produced from fiber of similar initial properties.

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# Distribution:

C.J. Nelson

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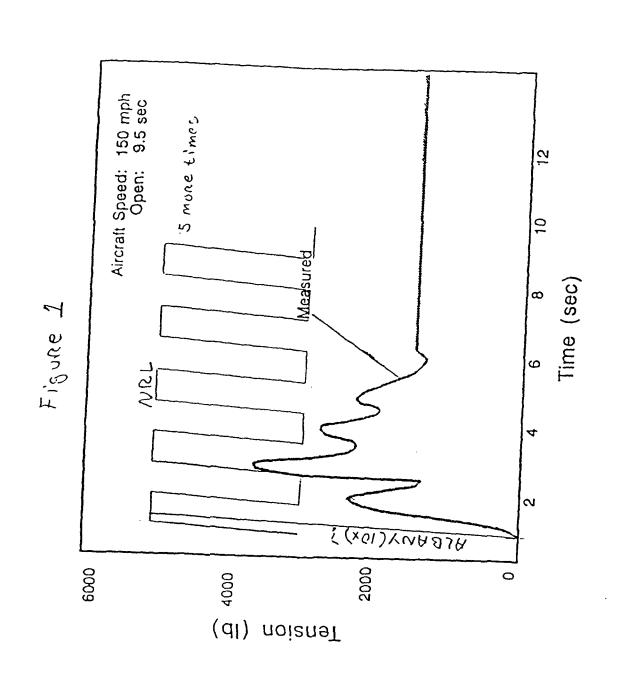
B.M. Mago

D.C. Prevorsek

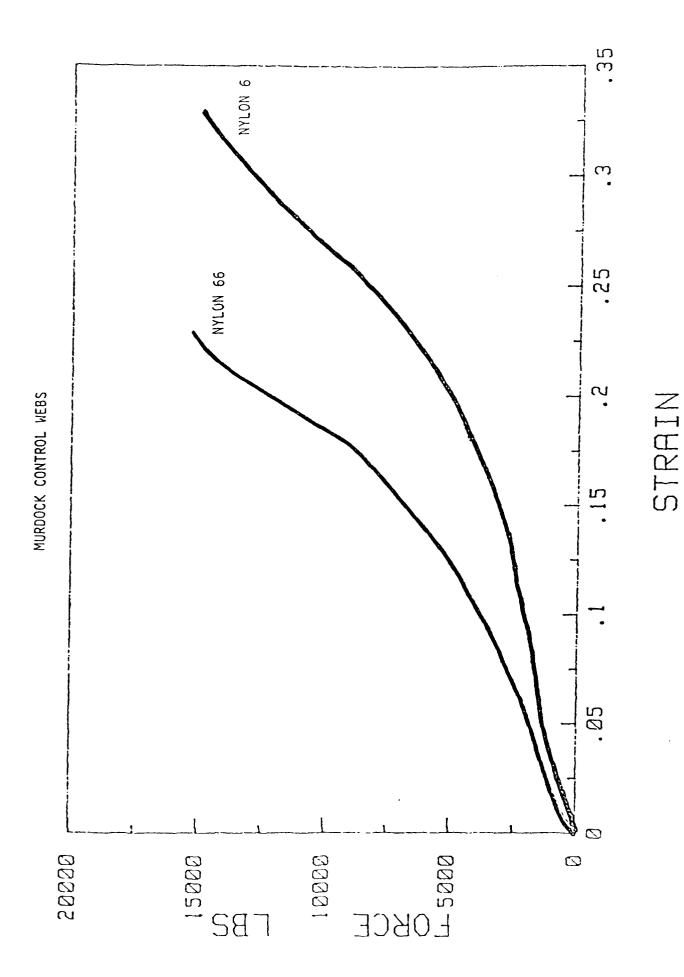
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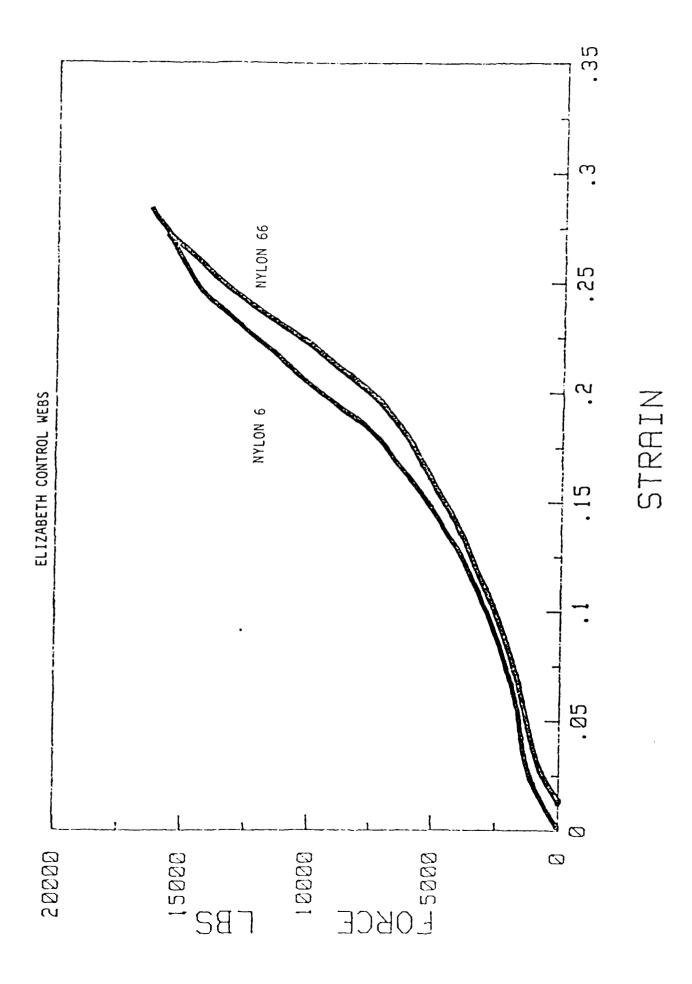
C.M. Roland

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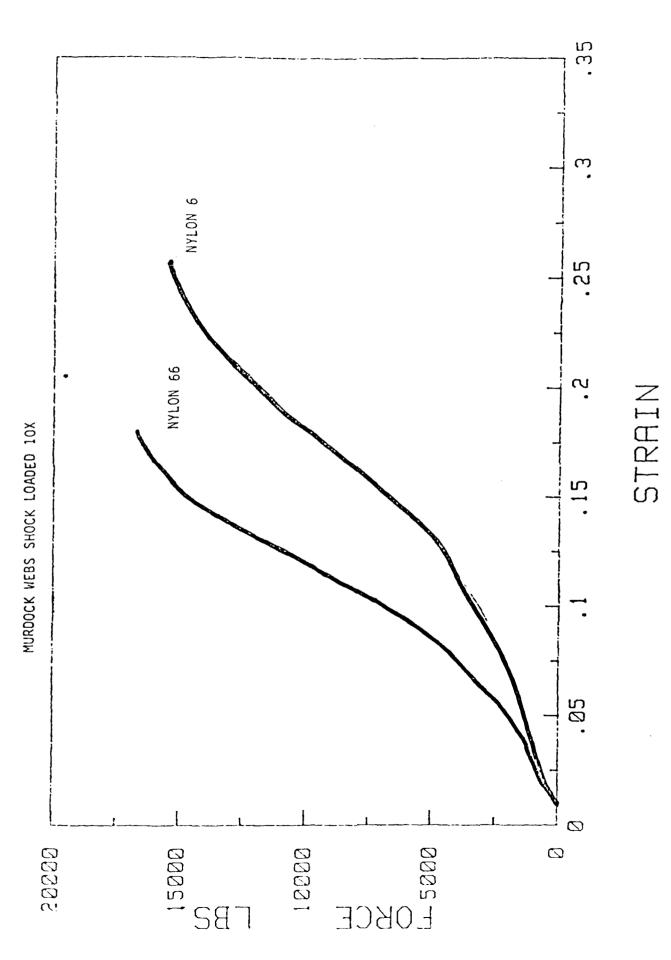
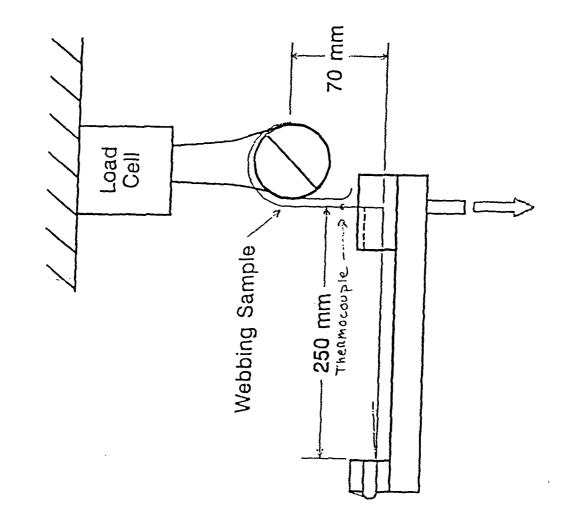


Figure 8

Web Impact Test



# WEB TEMPERATURE RISE 0.5 CYCLES/SEC 3000-5000 LBS

